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ABSTRACT

This handbook contains descriptions of information about specific federal programs that provide services for victims of domestic violence, and of federal agencies that have the potential to develop related projects and programs. Based primarily on information obtained through the Interdepartmental Committee on Domestic Violence review and analysis of federal programs, this comprehensive directory lists 87 program activities and services, including direct service grants, research and demonstration efforts, training and technical assistance, and information and referral services. Each program is detailed in terms of: (1) a description; (2) uses and use restrictions; (3) types of assistance; (4) formula and matching grants; (5) eligibility requirements; (6) applications and award procedures; (7) appropriations; (8) program accomplishments; and (9) information contacts. The handbook is organized alphabetically by government agency; within each agency heading, programs are arranged numerically by Office of Management and Budget number, and programs without numbers appear alphabetically at the end of the listing. A detailed table of contents and subject index are provided for those seeking funds for domestic violence projects. (Author/NRB)

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Handbook of Federal Resources on Domestic Violence

december 1980

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Interdepartmental Committee on Domestic Violence

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Table of Contents

Introduction..... v
How To Use This Handbook..... vii
Action..... 1
72.001 Foster Grandparent Program..... 1
72.002 Retired Senior Volunteer Program..... 4
72.003 Volunteers in Service to America (VISTA)..... 7
72.005 National Center for Service-Learning..... 10
72.010 Mini-Grant Program..... 13
72.013 Special Volunteer Program..... 16

Civil Rights Commission..... 19

Community Services Administration..... 21

Department of Agriculture..... 23
Farmers Home Administration
10.423 Community Facilities Loans..... 23
Food and Nutrition Service
10.550 Food Distribution (Food Donation Program)..... 26
10.551 Food Stamps..... 30
10.556 Special Milk Program for Children..... 33
10.557 Special Supplemental Food Program for Women, Infants, and Children (WIC Program)..... 36
10.558 Child Care Food Program..... 39
10.559 Summer Food Service Program for Children (Summer Program)..... 42
Science and Education Administration
10.881 Cooperative Extension Service..... 45

Department of Defense..... 49
Family Service Programs in the Military..... 49

Department of Education..... 51
13.429 Educationally Deprived Children -- Migrants..... 51
13.471 National Direct Student Loans..... 54
13.491 University Community Service -- Grants to States (Community Service and Continuing Education Program).. 56
13.493 Vocational Education -- Basic Grants to States..... 59
13.494 Vocational Education -- Consumer and Homemaking..... 62
13.554 Career Education..... 65
13.557 University Community Service -- Special Projects (Special Community Service and Continuing Education Projects)..... 67
13.565 Women's Educational Equity Act Program..... 70
13.596 Career Education State Allotment Program..... 73

Department of Health and Human Services..... 75
Public Health Service
13.224 Community Health Centers..... 75
13.228 Indian Health Services -- Health Management Development Program..... 78
13.231 Maternal and Child Health Research (Child Health Research Grant Programs)..... 81
13.232 Maternal and Child Health Services (MCH)..... 84
13.233 Maternal and Child Health Training..... 88
13.242 Mental Health Research Grants..... 91
13.244 Mental Health Clinical or Service Related Training Grants..... 95
13.252 Alcoholism Treatment and Rehabilitation/Occupational Alcoholism Services Program..... 98
13.254 Drug Abuse Demonstration Programs..... 101
13.282 Mental Health National Research Service Awards for Research Training..... 104
Child Abuse Program..... 107
National Center for Health Statistics..... 109
Office of Health Information and Health Promotion..... 110

Office of Human Development Services
13.600 Administration for Children, Youth and Families -- Head Start -- Indian and Migrant Programs Division.... 111
13.600 Head Start..... 114
13.603 Office for Handicapped Individuals..... 117
13.608 Administration for Children, Youth and Families -- Child Welfare Research and Demonstration..... 119
13.612 Native American Programs..... 122
13.623 Administration for Children, Youth and Families -- Runaway Youth..... 125
Office for Families..... 127A
13.628 Child Abuse and Neglect Prevention and Treatment..... 128
13.633 Special Programs for the Aging -- Title III, Parts A & B -- Grants for States and Community Programs on Aging..... 131
13.634 Special Programs for the Aging -- Title IV C -- Discretionary Projects and Programs..... 134
13.635 Special Programs for the Aging -- Title III Part C Nutrition Services..... 137
13.636 Special Programs for the Aging -- Title IV Part B Research and Development..... 140
13.637 Special Programs for the Aging -- Title IV A -- Training..... 143
13.638 Special Programs for the Aging -- Title IV G -- Multidisciplinary Centers of Gerontology..... 146
13.642 Social Services for Low Income and Public Assistance Recipients..... 149
13.645 Child Welfare Services -- State Grants..... 153
13.646 Work Incentive Program (WIN) (A)..... 156
13.648 Child Welfare Services Training Grants..... 159
Office on Domestic Violence..... 162

Health Care Financing Administration
13.714 Medical Assistance Program -- Medicaid, Title XIX (A)... 165
Social Security Administration
13.808 Assistance Payments -- Maintenance Assistance (State Aid)..... 168

Department of Housing and Urban Development..... 171
Office of Housing
14.120 Mortgage Insurance -- Homes for Low and Moderate Income Families (Section 221[d][2])..... 171
14.135 Mortgage Insurance -- Rental Housing for Low and Moderate Income Familie (Sections 221[d][3] and 221[d][4])..... 174
14.139 Mortgage Insurance -- Rental Housing in Urban Renewal Areas (Section 220 -- Multifamily)..... 178
14.156 Lower-Income Housing Assistance Program (Section 8 -- Housing Assistance Payment Program for Lower-Income Families)
New Construction Program..... 181
Section 8 Existing Housing and Moderate Rehabilitation Program..... 184
Substantial Rehabilitation Program..... 187
14.157 Housing for the Elderly or Handicapped..... 190
Community Planning and Development Office of Block Grant Assistance
14.218 Community Development Block Grants/Entitlement Grants... 193
14.219 Community Development Block Grant/Small Cities Program.. 198
New Program (Urban Initiatives Anti Crime Program)..... 201
Office of the Assistant Secretary for Neighborhoods, Voluntary Associations and Consumer Protection
Community/Tenant Services, Consumer Affairs..... 203
Consumer Forums and Consumer Publications..... 205
Division of Consumer Complaints..... 207
Office of Policy Development and Research
Research Initiatives for Fiscal Year 1980-81..... 209

Department of the Interior..... 211
Bureau of Indian Affairs
15.103 Indian Social Services -- Child Welfare Assistance..... 211
15.113 Indian Social Services -- General Assistance..... 213
15.144 Indian Social Services -- Indian Child Welfare Act - Title II Grants..... 215

Department of Justice..... 217
16.501 Law Enforcement Assistance-Discretionary Grants (Safe Streets, Crime Control)
Court Delay Reduction Program..... 217
Family Violence Program..... 219
National Victim/Witness Strategy Program..... 222
16.502 Law Enforcement Assistance-Improving and Strengthening Law Enforcement and Criminal Justice (Safe Streets, Crime Control)
Formula Grant Program..... 224
Treatment Alternatives to Street Crime..... 227

16.507 National Institute of Justice
Law Enforcement Research and Development -- Project Grants..... 229
16.510 Statistics on Crime and Criminal Justice..... 233
16.535 Community Anti-Crime Program
Office of Community Anti-Crime Programs (CACP)(B)..... 235
16.540 Juvenile Justice and Delinquency -- Prevention..... 239

Department of Labor..... 245
Employment and Training Administration
17.232 Comprehensive Employment and Training Programs..... 245
17.233 Employment and Training Research and Development Projects..... 250
17.243 Special Programs and Activities for the Disadvantaged... 253

Legal Services Corporation..... 257

Subject Index..... 259

Introduction

Although domestic violence has occurred throughout history, we have only recently begun to acknowledge the extent of the problem nationwide and to establish service programs to assist its victims. Violence within the family may take various forms including spouse abuse, child abuse, sibling violence, or violence against parents or elderly family members. Often different types of violence may occur within the same family. While there is no universally accepted definition of domestic violence, the term refers to any act or threatened act of violence which results in physical injury that is committed against a relative or another person with whom one resides in a husband-wife relationship.

The victims of domestic violence are for the most part women. A recent national survey established that at least 2 million women are beaten by their husbands each year. This violence has an impact on all members of the family. Abused women and their children need an array of services ranging from simple emotional support to counseling, emergency or interim housing, medical and legal services, child care, financial assistance, or job placement and training. Children who have witnessed violence within the family need supportive services to counteract the emotional stress or potential psychological damage which can result when violence among loved ones is sanctioned or reinforced. Similarly, those who rely on physical violence as a principal problem-solving mechanism also need assistance to enable them to develop coping techniques and alternatives to violence and physical abuse.

Since domestic violence has become an issue of public concern, there has been an increased demand for information on where to turn for assistance at the Federal, State, and local levels. Locally designed shelter programs providing immediate protection and crisis services have been a major source of assistance in many communities. More recently community service organizations are seeking to expand existing services for victims of domestic violence by developing linkages with State and local service agencies.

In an effort to strengthen the response of Federal programs to the needs of victims of domestic violence, President Carter established an Interdepartmental Committee on Domestic Violence in April 1979. This committee is chaired by the Secretary of the Department of Health and Human Services, and has representatives from the Departments of Agriculture, Defense, Education, Housing and Urban Development, Interior, Justice, Labor, and Transportation; ACTION; The Community Services Administration; the Commission on Civil Rights, the Legal Services Corporation; and the Veterans Administration. Over the past year its major task was to conduct a review of Federal programs currently providing or capable of providing assistance to victims of domestic violence. The results of this review are contained in this Handbook.

This Handbook of Federal Resources on Domestic Violence was developed to simplify the process of identifying potential funding sources. It contains se-

lectively compiled information on specific Federal programs currently sponsoring programs which provide services--directly or indirectly--for victims of domestic violence, and on Federal agencies which have the potential for developing related projects and programs. Based primarily upon information obtained through the Interdepartmental Committee's review and analysis of Federal programs, the Handbook is a comprehensive directory. It contains 87 program entries covering a broad range of information on activities and services including direct service grants, research and demonstration efforts, training and technical assistance, and information and referral services.

The Committee gratefully acknowledges the assistance provided by the many individuals in all the Federal agencies who submitted their program descriptions. The Committee extends a special note of thanks to the Office on Domestic Violence for making its resources available to this project. It is our hope that this Handbook will make it a little easier for those seeking funds for domestic violence projects to obtain information on available Federal resources.

How To Use This Handbook

How To Find Program Descriptions

This Handbook has been prepared to help users identify pertinent Federal Government funding for domestic violence programs. Although many of the programs were not originally intended to serve domestic violence victims, they are nevertheless important because they have services relevant to this group as well as to a larger, more general segment of the population. Information was compiled from materials provided directly by program offices and supplemented from the 1979 Catalog of Federal Domestic Assistance.

The Handbook is organized alphabetically by government agency. Within each agency heading, programs are arranged in numerical order by Office of Management and Budget (OMB) number. Programs without OMB numbers appear alphabetically at the end of the listing. In addition to the detailed table of contents, a subject index is provided at the end of the Handbook to help readers identify programs by specific category. The reader should refer not only to the table of contents and the index but also take the time to peruse the entire text in an effort to locate those additional programs that might be potentially relevant to individual program needs.

How To Read Program Descriptions

Generally, program descriptions in this Handbook are organized under 10 categorical headings. This serves a twofold purpose: (1) it breaks down, by function, the variables within each program that differentiates it from other, similar programs; and (2) it serves as a guide to users for determining the best program for meeting particular needs. A short definition of information within each heading follows:

Program Description. This brief summary lists program goals and specifically defines individual services that the program supports, such as direct services, training, technical assistance, information and referral services, demonstration projects, and research.

Uses and Use Restrictions. This information readily identifies the uses or restrictions placed on monies allocated to each program. It is a concise, well-defined mechanism for early determination of what program funds can or cannot be used for.

Types of Assistance. Since each program has its own unique assistance components, information under this heading spells out the various forms assistance can take such as project grants, federally guaranteed insured loans, direct service grants, training and technical assistance, research and information, etc.

Formula and Matching Grants. Formula grants are those which contain factors prescribed by law or administrative regulation to allocate sums of money

among States, their subdivisions, or other entities. Matching grants refer to the range of financing that is required from non-Federal sources.

Eligibility Requirements. Requirements are defined for applicants and beneficiaries.

Application and Award Process. The steps that an applicant must follow to apply for funds.

Appropriations. The amount of funding available for the fiscal year is listed for those programs involving the disbursement of money. For all other programs, the operating costs, including salaries and expenses, are indicated.

Program Accomplishments. Program output, results, and services are described. In many cases, specific reference is made to accomplishments in the area of domestic violence.

Information Contact(s). The names and addresses of the administering offices are provided.

Action
Older Americans Volunteer Programs
Foster Grandparent Program

72.001 Foster Grandparent Program

PROGRAM DESCRIPTION

To provide opportunities for low-income persons age 60 or over to offer supportive person-to-person services in health, education, welfare, and related settings to children with special needs.

This program supports direct services.

USES AND USE RESTRICTIONS

The grants may be used for: staff salaries and fringe benefits, staff travel, and project support expenses. A total of 90 percent of the Federal share must be used for Foster Grandparent direct benefits. Assignment of Foster Grandparents to children may occur in residential and non-residential facilities including pre-school establishments and to children living in their own homes. Funds are not available for construction. JOINT FUNDING: This program is considered suitable for joint funding with closely related Federal financial assistance programs in accordance with the provisions of OMB Circular No. A-111. For programs that are not identified as suitable for joint funding, the applicant may consult the headquarters or field office of the appropriate funding agency for further information on statutory or other restrictions involved.

TYPES OF ASSISTANCE

Project Grants. Preservice and in-service volunteer training.

FORMULA AND MATCHING GRANTS

There are no matching requirements. Organizations can receive funds on an ongoing basis. However, the program is operating on a continuing resolution and funds are restricted for FY 80.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Grants are made only to public or non-profit private agencies or organizations including State and local governments.

BENEFICIARY ELIGIBILITY:
Foster grandparents must be 60 years of age or over with an annual income at or below eligibility levels set by the Director of ACTION; interested in serving children; and physically, mentally, and emotionally capable of serving the selected children on a person-to-person basis.

CREDENTIALS/DOCUMENTATION:
The applicant must furnish evidence of: availability of low-income older persons, approvable service settings, ability to provide sufficient matching nonfederal funds, and assurance of compliance with Title III of the Civil Rights Act of 1964. A nonprofit agency must submit certification that it has (1) legal authority to receive a grant and operate the program, (2) a copy of its State charter, and (3) its IRS certification. Costs will be determined in accordance with FMC 74-4 for State and local governments.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: Organizations interested in exploring the possibility of developing a local FGP project must prepare the ACTION 'Preliminary Inquiry Form' (A-563) available from, and to be submitted to, ACTION State Program Offices. ACTION issues standard application Forms A-263 and A-556, as required by OMB Circular No. A-102 which must be used for this program, to applicants who have established their eligibility through the preliminary inquiry procedure. Applications are subject to State and areawide clearinghouses review pursuant to procedures in Part I, Attachment A of OMB Circular No. A-95 (revised).

Application Procedure: Applications are submitted to the ACTION State Program Office, with a copy to the State Office on Aging. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure: The ACTION Regional Director will make all grant awards except for those in the amount of $250,000 or more. The ACTION (Headquarters) Grants and contracts officer makes these awards chargeable to the appropriate regional allocation. Notification of awards must be made to the State Central Information Reception Agency in accordance with Treasury Circular 1082.

Deadlines: None.

Range of Approval/Disapproval Time: 150 days after receipt of application by ACTION.

Appeals: No formal appeals for denial of initial grant application, but regulations provide for hearings on terminations and suspensions.

Renewals: Grant continuation applications are submitted 120 days prior to the end of the last budget period.

APPROPRIATIONS

(Grants)	
Fiscal Year 1978	$34,900,000
Fiscal Year 1979	$34,900,000
Fiscal Year 1980	$46,900,000

PROGRAM ACCOMPLISHMENTS

Six programs in the domestic violence area are using 40 grandparents to serve children of abused spouses. As of September 30, 1978, there were 16,640 Foster Grandparents serving approximately 41,600 children. These Foster Grandparents

served in 199 different projects in 50 States, the District of Columbia, Puerto Rico and the Virgin Islands. Since 1974, an effort has been made to expand Foster Grandparent placement in non-institutional settings.

ENABLING LEGISLATION

Domestic Volunteer Service Act of 1973, Public Law 93-113, Title II, Part B, Section 211(a).

INFORMATION CONTACT

Laurie R. Sand
Program Specialist
Older Americans Volunteer Programs
ACTION
806 Connecticut Avenue, N.W.
Washington, D.C. 20531
(202) 254-7605

Action

Older Americans Volunteer Program

Retired Senior Volunteer Program

72.002 Retired Senior Volunteer Program

PROGRAM DESCRIPTION

This program develops a wide range of community service volunteer opportunities for persons 60 years of age or over through development of community-oriented cost-shared projects.

This program supports direct services and training and technical assistance activities.

USES AND USE RESTRICTIONS

Grants may be made to established community service organizations (public or private nonprofit) to assist in the development or operation, or both, of locally organized senior volunteer projects. This local community service organization develops a wide variety of volunteer service opportunities throughout the community, in hospitals, schools, courts, day care-centers, libraries, etc. A local program also arranges for transportation for the RSVP volunteers as needed. Grants may be used for staff salaries and fringe benefits, staff travel, equipment, space costs and related expenses, and for volunteer out-of-pocket expenses. Program volunteers could provide administrative, counseling and other support services in local domestic violence victim assistance efforts. JOINT FUNDING: This program is considered suitable for joint funding with closely related Federal financial assistance programs in accordance with the provisions of OMB Circular No. A-111. For programs that are not identified as suitable for joint funding, the applicant may consult the headquarters or field office of the appropriate funding agency for further information on statutory or other restrictions involved.

TYPES OF ASSISTANCE

Project Grants. Technical assistance to organizations in service delivery, proposal development, and training areas.

FORMULA AND MATCHING GRANTS

The nonfederal support of the budget during the first year will not be less than 10 percent. Grantees are expected to increase the local share of the project cost by 10 percent each year and to assume a minimum of 30 percent financial responsibility at the beginning of the third year and each year thereafter.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Any non-profit public or private agencies or organizations may apply including State and local governments.

BENEFICIARY ELIGIBILITY:
Volunteers must be retired persons aged 60 or over.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: Organizations interested in exploring the possibility of developing a local RSVP project must prepare the ACTION 'Preliminary Inquiry Form' (A-563) available from, and to be submitted to, ACTION State Program Offices. ACTION issues standard application forms A-263 and A-566, as required by OMB Circular No. A-102 which must be used for this program, to applicants who have established their eligibility through the preliminary inquiry procedure. Applications are subject to State and areawide clearinghouses review pursuant to procedures in Part I, Attachment A of OMB Circular No. A-95 (revised).

Application Procedure: Applications are submitted to the ACTION State Program Office, with a copy to the State Office on Aging. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure: Grant awards are made by the Regional Directors of ACTION. Notification of awards must be made to the State Central Information Reception Agency in accordance with Treasury Circular 1082.

Deadlines: None.

Range of Approval/Disapproval Time: 120 days after receipt of application by ACTION.

Renewals: Yearly continuations.

APPROPRIATIONS

Fiscal Year 1978	$20,100,000
Fiscal Year 1979	$20,100,000
Fiscal Year 1980	$26,200,000

PROGRAM ACCOMPLISHMENTS

As of September 30, 1979, a total of 250,000 Retired Senior Volunteers were serving in 682 RSVP projects in the 50 States, the District of Columbia, Guam, Puerto Rico and the Virgin Islands.

ENABLING LEGISLATION

Domestic Volunteer Service Act of 1973, Public Law 93-113, Title II, Part A, as amended.

INFORMATION CONTACT

Rey Tejada
Program Specialist
Retired Senior Volunteer Program
806 Connecticut Avenue, N.W.
Washington, D.C. 20525
(202) 254-7608

Action
Volunteers in Service to America

72.003 Volunteers in Service to America (VISTA)

PROGRAM DESCRIPTION

Volunteers from all age groups and occupations help low-income groups overcome the handicaps resulting from poverty and poverty-related problems and find opportunities for self-advancement. A total of 49 volunteers are working on 12 projects in the area of domestic violence.

This program supports direct services, training and technical assistance, and information and referral services.

USES AND USE RESTRICTIONS

VISTA provides full-time full-year volunteers at the request of community groups to work on clearly-defined tasks which lead to mobilization of the community's resources. Volunteers live among the people they serve, at subsistence levels of support, and work to improve the community's ability to solve its own problems. Low-income, locally-recruited volunteers may be assigned to serve in their home communities and should not be involved in religious, labor, pro or anti-labor, or political activities. Members of the community must actively participate in the development and implementation of the program. JOINT FUNDING: This program is considered suitable for joint funding with closely related Federal financial assistance programs in accordance with the provisions of OMB Circular No. A-111. For programs that are not identified as suitable for joint funding, the applicant may consult the headquarters or field office of the appropriate funding agency for further information on statutory or other restrictions involved. PROJECT GRANTS: Grant funds may be used for payment of volunteer allowances, and other forms of direct volunteer support such as staff salaries, volunteer and staff travel, consultant and contract services, preservice and in-service training. Grant funds may not be used to pay indirect or overhead costs of the grantee.

TYPES OF ASSISTANCE

Project Grants. Medical care and employment/training are provided for volunteers. Technical assistance, information, referral, and volunteers are available to interested organizations.

FORMULA AND MATCHING GRANTS

There are no matching requirements.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Any public or private non-profit agencies or organizations, including State and local governments, may apply for VISTA volunteers. Projects must be designed to help in the solution of poverty-related problems. Sponsors receiving program grants over $25,000 should be capable of managing grant funds and maintaining auditable disbursement records.

BENEFICIARY ELIGIBILITY:
Persons who are assisted by VISTA Volunteer activities must be low-income and VISTA activities must directly benefit the poor.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: Preliminary applications apply to both grants and standard VISTA projects. All application forms and assistance in applying for Volunteers may be obtained through the appropriate regional office listed in the appendix. Preapplication Coordination for Grants: Organizations interested in exploring the possibility of developing a local VISTA project must prepare the ACTION 'Preliminary Inquiry Form' (A-563) available from, and to be submitted to, ACTION State Offices. Any organizations interested in applying for national VISTA Program grants, which operate in more than one Federal Domestic Region, should submit the Preliminary inquiry to National VISTA Grants Program Office, Washington, DC.

Application Procedure: Prospective sponsors apply through ACTION State offices. Prospective national grant sponsors apply to National VISTA Grant, Program Office, 806 Connecticut Avenue, NW, Washington, DC 20525. National grants are awarded through a competitive process. The Governor or other chief executive office of the State concerned may disapprove programs submitted within 45 days of their submission. Application Coordination for Grants: ACTION issues standard application Forms A-263 and A-566 to applicants who have established their eligibility through the preliminary inquiry procedure. An original and two copies of each form are to be submitted to ACTION Regional Offices or to VISTA Headquarters for review. Grant programs are subject to OMB Circulars A-102 and A-110.

Award Procedure: Applicable for grants only. Notification of awards must be made to the designated State Central Information Reception Agency in accordance with Treasury Circular 1082.

Deadlines: For national grants, as announced in the Federal Register. Applicants for standard VISTA Projects should contact the appropriate State and Regional Offices.

Range of Approval/Disapproval Time. Not applicable.

Appeals: No formal appeals for denial of initial grant application, but regulations provide for hearings on termination and suspensions.

Renewals: Sponsors may negotiate with the regional office for an extension of the VISTA Volunteers' service. In these cases the Memorandum of Agreement would be modified to reflect the changes.

APPROPRIATIONS

Fiscal Year 1978	$25,630,000 (Direct Program Costs Only)
Fiscal Year 1979	$25,630,000
Fiscal Year 1980 (est.)	$37,399,000

Range and Average of Financial Assistance -- Depends on the number of volunteers.

PROGRAM ACCOMPLISHMENTS

A VISTA volunteer started the first modern day shelter for battered women in 1974. A total of 49 volunteers are working on 12 domestic violence projects including a self-help group for low-income women, a parent training and preventive child abuse program, emergency shelters for battered women and children, crisis counseling for battered women, and assistance for families in crisis and neglected children. Since 1978, ACTION's National Technical Assistance Center in Ann Arbor and its 10 regional centers have responded to 20,000 requests for information and have helped an estimated 3,500 women per month in 162 domestic violence programs.

ENABLING LEGISLATION

Domestic Volunteer Service Act of 1973, Public Law 93-113.

INFORMATION CONTACT

Beverly Lintner
Program Specialist
VISTA/ACTION
806 Connecticut Avenue, N.W.
Room 1100
Washington, D.C. 20525
(202) 254-5195

Action
National Center for Service-Learning (NCSL)

72.005 National Center for Service-Learning

PROGRAM DESCRIPTION

NCSL encourages students to work in projects that are directed toward the elimination of poverty and poverty-related human, social, and environmental problems.

This program supports direct services, training and technical assistance activities, and information and referral services.

USES AND USE RESTRICTIONS

NCSL does not place volunteers in or control local programs but offers consultant services, training, and technical assistance.

TYPES OF ASSISTANCE

In addition to information and referral, training, public education, and technical assistance, NCSL helps schools and universities to adopt curricula that will permit students to combine community service work with academic programs.

FORMULA AND MATCHING GRANTS

No money is allocated through this program. Other services are available on an ongoing basis and requests should be made directly to the program.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Any college or high school, desiring to create a new student volunteer program, or improve an existing program, may apply for free technical assistance materials and consultant services. Any local agency which uses student volunteers, and any State or national organization desiring to assist the development of student volunteer programs may also apply. Training is available for directors and advisors of student volunteer and service-learning programs.

BENEFICIARY ELIGIBILITY:
High school and college student volunteers; secondary and postsecondary educators, social service agencies which use students in their programming.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: None.

Application Procedure: Requests for consultant services must be made in writing by a high school, college, agency or organization official on behalf of the students, and must describe the type of consultation needed. Requests for materials may be made by students and program coordinators either in writing or by telephone to the NCSL. Professional directors/advisors may write or call to register for training sessions which are held periodically throughout the school year.

Award Procedure: Requestor will be contacted by NCSL staff via letter or telephone to arrange consultation dates.

Deadlines: None.

Range of Approval/Disapproval Time: Not applicable.

Appeals: None.

Renewals: Not applicable.

APPROPRIATIONS

Fiscal Year 1978	$517,000
Fiscal Year 1979	$517,000
Fiscal Year 1980 (requested)	$873,000

PROGRAM ACCOMPLISHMENTS

During fiscal year 1978, NCSL responded to over 8,000 requests for technical assistance, trained approximately 250 high school and college coordinators of service-learning programs in 7 training seminars, provided over 250 days of staff and expert consultation, and produced three issues of Synergist. In addition, 25,000 manuals and 120,000 copies of Synergist were mailed. Examples of student volunteer projects which are aimed at reducing domestic violence follow: The University of Maryland's School of Social Work and Community Planning provided four graduate students to the volunteer Prince George's County Task Force on Battered Wives. The students mailed out 10,000 pamphlets offering help to troubled families and designed questionnaires that were distributed to county agencies to obtain data on abused wives. In Chula Vista, Calif., social work students volunteered at the YMCA Family Stress Center, a child abuse/neglect facility for the San Diego area, which offers 24-hour emergency intake and caretaker services, individual counseling, family and group therapy for adults and children, classes in parenting, a day-care center and other resources for children, and education-consultation training and technical assistance to other professionals and agencies.

ENABLING LEGISLATION

Domestic Volunteer Service Act of 1973, Title I, Part B, Section 114, Public Law 93-113.

INFORMATION CONTACT

Lyn Baird, Director
National Center for Service-Learning
ACTION
Room 1105
806 Connecticut Avenue, N.W.
Washington, D.C. 20525
(202) 254-8370

Action
Office of Voluntary Citizen Participation
Mini-Grant Program

72.010 Mini-Grant Program

PROGRAM DESCRIPTION

To initiate, strengthen, and supplement volunteer efforts and to encourage broad-based volunteer citizen participation in antipoverty projects that will enhance community self-reliance.

This program supports direct services, training and technical assistance activities, and information and referral services.

USES AND USE RESTRICTIONS

Awards shall not exceed $5,000. Grants in excess of $2,000 must be accompanied by a dollar-for-dollar match of nonfederal funds of each dollar over $2,000. JOINT FUNDING: This program is considered suitable for joint funding with closely related Federal financial assistance programs in accordance with the provisions of OMB Circular No. A-111. For programs that are not identified as suitable for joint funding, the applicant may consult the headquarters or field office of the appropriate funding agency for further information on statutory or other restrictions involved.

TYPES OF ASSISTANCE

Grants program, training, information and referral, volunteer activities, and direct services by citizen volunteers. Technical assistance in developing proposals is given to interested organizations.

FORMULA AND MATCHING GRANTS

Grants are available on an ongoing basis for amounts up to $5,000. Each project must obtain matching funds for every dollar over $2,000.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Restricted to State and local government, public or private nonprofit organizations. Projects need not be restricted to serving low-income persons exclusively but should demonstrate an impact in this area.

BENEFICIARY ELIGIBILITY:
Communities having human, social and environmental problems, particularly those related to poverty.

CREDENTIALS/DOCUMENTATION:
An agency applying for a grant must be a bona fide public or private nonprofit

agency including State and local governments concerned with human, social and environmental needs. Its acceptability may be established by its nonprofit tax status or by its official position as a public agency. Costs will be determined in accordance with FMC 74-4 for State and local governments.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: Sponsors applying for an ACTION Mini-Grant should initially coordinate development of a proposal by contacting the appropriate ACTION State Program Office listed in the Appendix IV. The State Office is prepared to assist a sponsoring organization in developing the objectives toward which the sponsor will work and in preparing a formal proposal. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program.

Application Procedure: Prospective sponsors apply through the ACTION State Offices. This program is subject to the provisions of OMB Circular A-110.

Award Procedure: Applications are reviewed at the regional level by a Project Review Board (PRB), which determines suitability for funding. Acceptable sponsors are notified when a Notice of Grant Award is dispatched to them. Notification of awards must be made to the designated State Central Information Reception Agency in accordance with Treasury Circular 1082.

Deadlines: None.

Range of Approval/Disapproval Time: Not applicable.

Appeals: No formal appeals for denial of initial grant application, but regulations provide for hearings on termination and suspensions.

Renewals: Grants are awarded for one time only, and are nonrenewable.

APPROPRIATIONS

Obligations: (Grants) Fiscal Year 1978 $225,000, Fiscal Year 1979 $225,000, and Fiscal Year 1980 est $1,250,000. Range and Average of Financial Assistance: $800 to $5,000; $3,500. Estimated funding level for assistance to victims of domestic violence and their families: Fiscal Year 1978 3 grants = $5,490; Fiscal Year 1979 11 grants = $38,260.

PROGRAM ACCOMPLISHMENTS

During FY 79, 11 grants totalling $38,260 were given to provide a resource center, conduct self-help discussion groups, expand services and establish a resource program for battered women, establish a phone project for men in violent relationships, recruit volunteers to provide services for battered women, provide life counseling for minority and low-income women, provide supportive services to victims of sexual assault and domestic violence, establish an emergency shelter network, and recruit and train volunteers to assist staff or family abuse shelters.

ENABLING LEGISLATION

Title I, Part C, Section 122(a) of the Domestic Volunteer Service Act of 1973, Public Law 93-113.

INFORMATION CONTACT

Jeffrey M. Hammer
Program Specialist
ACTION
Washington, D.C. 20525
(800) 424-8867 or (202) 254-5324

Action
Office of Policy and Planning
Special Volunteer Program

72.012 Special Volunteer Program

PROGRAM DESCRIPTION

Volunteers from all age groups and occupations are encouraged to work on poverty-related problems. SVP also helps with wider volunteer participation, particularly on a short-term basis; tests and demonstrates new or improved volunteer delivery systems or methods; and identifies segments of the poverty community which could benefit from volunteer efforts.

This program supports direct services, research and demonstration grants, training, and information and referral services.

USES AND USE RESTRICTIONS

SVP seeks innovative programs including programs on domestic violence which use new ways of utilizing volunteers. Any nonprofit public or private agency is eligible for services if their project can show an impact on poverty-level persons. JOINT FUNDING: This program is considered suitable for joint funding with closely related Federal financial assistance programs in accordance with the provisions of OMB Circular No. A-111. For programs that are not identified as suitable for joint funding, the applicant may consult the headquarters or field office of the appropriate funding agency for further information on statutory or other restrictions involved.

TYPES OF ASSISTANCE

Demonstration grants are provided as well as training, research, volunteer activities, demonstration, information and referral, and employment services.

FORMULA AND MATCHING GRANTS

No matching funds are required.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Any non-profit public or private agency with programs directed toward or having an impact on low-income persons.

BENEFICIARY ELIGIBILITY:
All citizens in need of service, regardless of income, are eligible but particularly persons of low income.

CREDENTIALS/DOCUMENTATION:
An organization applying for a Demonstration Grant must be a bona fide nonprofit public or private organization concerned with the human, social, and environmental problems related to poverty and must be capable of administering Federal funds. The grant applicant must submit proof of nonprofit status and of financial capability. Costs will be determined in accordance with FMC 74-4 for State and local governments, FMC 73-8 for Educational Institutions and ACTION Handbook 2650.2.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: Preliminary coordination with ACTION is not mandatory. ACTION issues standard application forms, as required by OMB Circular No. A-102 which must be used for this program.

Application Procedure: Availability of grants will be announced periodically in the Federal Register. Applications should be submitted in accordance with instructions contained in those announcements. Unsolicited applications should be submitted to the Office of Policy and Planning, ACTION, 806 Connecticut Avenue, NW, Washington, DC 20525. This program is subject to the provisions of OMB Circular No. A-102 for State and local governments or OMB Circular No. A-110 for nonprofit organizations.

Award Procedure: Grants to support approved projects are made directly to the applicant organization or agency by ACTION. (Notification of the award is also sent to the respective Congressman). Notification of awards to State and local governments must be made to the designated State Central Information Reception Agency in accordance with Treasury Circular 1082.

Deadlines: Applicants may contact the Federal agency for deadline date(s).

Range of Approval/Disapproval Time: Not applicable.

Appeals: No formal appeals for denial of initial grant application, but regulations provide for hearings on termination and suspensions.

Renewals: Grants are made for one-year periods. Subsequent grant awards will be subject to ACTION's evaluation of grantee performance of previous year and availability of funds.

APPROPRIATIONS

Fiscal Year 1978	$1,500,000
Fiscal Year 1979	$1,500,000
Fiscal Year 1980 (est.)	$1,500,000

Funding levels for services or activities to provide assistance to victims of domestic violence and their families was $594,500 in Fiscal Year 1978, and $451,191 in Fiscal Year 1979.

PROGRAM ACCOMPLISHMENTS

During fiscal year 1978, 42 Demonstration Grants were awarded in the areas of: 1) urban services, 2) deinstitutionalization, 3) displaced spouses, 4) fixed

income counseling, 5) short-term volunteers, 6) energy, 7) urban emphasis, and 8) Small Grants. During fiscal year 1979, 476 timely applications were received under a competitive process and 16 grant awards were made. Priority consideration was given to demonstration projects emphasizing the needs of displaced spouses and victims of family violence, deinstitutionalization of the elderly, mentally retarded, mentally ill, imprisoned, and terminally ill, economic development through the use of rural credit unions, cooperative farms and better methods of food and energy production, and rural energy needs, especially modification of low-income persons' energy consumption habits, helping poor people gain access to weatherization assistance, and acquiring alternative energy sources. Demonstration Grants awarded in Fiscal Year 1979 included an educational workshop for abusive spouses, a National Technical Assistance Center on Family Violence, a Parents Anonymous project to provide volunteer services to abusive parents and their children, a Youth Against Violence project focusing on rural student volunteers, and a Rural Women's Economic Development Project.

ENABLING LEGISLATION

Domestic Volunteer Service Act of 1973, Public Law 93-113.

INFORMATION CONTACT

Calvin T. Dawson
Policy Development Analyst
Office of Policy and Planning
ACTION
806 Connecticut Avenue, N.W.
Room M604
Washington, D.C. 20525
(202) 254-8420

Civil Rights Commission

The Commission is a temporary, independent bipartisan agency established by Congress in 1957 and directed to the investigation and research of discrimination or denials of equal protection of the laws because of race, color, religion, sex, age, handicap, or national origin.

This agency supports research.

The following publications describe Commission accomplishments in the area of domestic violence:

(1) The Silent Victims: Denver's Battered Women (1977)

This report of the Colorado Advisory Committee to the U.S. Commission on Civil Rights examines the issue of battered women in Denver. The purpose of the study was to generate primary data on the magnitude of domestic violence in the city, to assess the range of difficulties faced by battered women, and to ascertain the options offered them as they seek police protection, legal assistance, refugee housing and other social services.

(2) Battered Women: Issues of Public Policy (1978)

These proceedings from a Commission sponsored consultation, January 30-31, 1978 in Washington, D.C. contain a special resource appendix which includes a directory of national, state and local organizations, as well as Federal agencies, a newsletter listing, and listing of bibliographies.

(3) Battered Women in Hartford, Connecticut (1979)

The purpose of this report of the Connecticut Advisory Committee to the U.S. Commission on Civil Rights was to determine if battered women were denied equal protection of the laws and if they had equal access to social services and social service agencies.

The following domestic violence projects are currently underway in Fiscal Year 1980:

(1) The New Jersey State Advisory Committee and the New Hampshire State Advisory Committee are conducting studies similar to the Connecticut and Colorado State Advisory Committees as discussed in (1) and (3) above.

(2) "The Federal Response to Battered Women"

The purpose of this study, conducted via contract, is to assess the adequacy of current Federal programs in addressing the needs of shelters and other local organizations which provide services to battered women, as well as the needs of domestic violence victims themselves. Selected

programs, clustered in terms of the particular need they address, will be discussed in terms of: (1) how they currently serve victim and organizational needs; (2) legislative, regulatory or administrative barriers that impede program responsiveness to the need; and (3) the potential for increased Federal responsiveness. The study will also identify issues and barriers common to many of the Federal programs, regardless of the individual need each may address. Further, it will describe past, current and proposed efforts by the Federal agencies to address domestic violence; and analyze pending legislation in view of victim and organizational needs.

(3) "Battered Women and the Administration of Justice"

This study will evaluate the treatment of women victims of domestic violence by the justice systems, both criminal and civil, and by various service agencies (e.g., shelters, welfare agencies and legal services programs) to the extent that they affect a victim's access to and interaction with the justice system. Two hearings form the heart of this project. The first hearing was held in February 1980 in Phoenix, Ariz., a State with traditional laws and practices which either gives little special help to victims of domestic assaults or channels their cases into the civil court system or various service agencies. The second was conducted in June 1980 in Harrisburg, Pa., a State which has enacted legislation intended to provide a greater degree of protection to victims of domestic violence by mandating or encouraging the handling of domestic assault cases within the criminal justice system. Both hearings will be held in FY 80.

INFORMATION CONTACT

Juanita Tamayo Lott
Office of Program Planning and Evaluation
United States Commission on Civil Rights
Washington, DC 20425
(202) 254-8127

Community Services Administration

PROGRAM DESCRIPTION

The primary goal of the Community Services Administration (CSA) is the eradication of "the paradox of poverty in the midst of plenty in this Nation." CSA's efforts are, therefore, directed toward enabling the poor to become self-sufficient and promoting institutional sensitivity and responsiveness to the needs of the poor.

This program supports direct services

In working toward this basic goal, CSA has four major objectives: these are:

1. to act as the representative of the poor within government, make their needs and aspirations known to the nation's decisionmakers, and mobilize both public and private sector resources on their behalf,

2. to promote the development and strengthening of community-based institutions which represent the interests of the poor on the local level and carry out a range of programs and developmental activities responsive to their needs,

3. to undertake research and experimentation designed to expand the knowledge base about poverty problems and develop and test innovative solutions, and

4. to develop and support local programs which meet the critical service needs of the poor and provide permanent improvements in living conditions.

CSA's representational efforts at the national level are paralleled at the local level by responsive and effective community-based organizations. Primary reliance for overall local advocacy is placed on a network of Community Action Agencies (CAA's), and in the more specialized field of economic development, on Community Development Corporations (CDC's) as well. Other special-purpose or limited-purpose community organizations are also supported. In all cases, CSA service and developmental programs serve as instruments for institutional change, as models which will effect permanent improvements in the living conditions of the poor and in the manner in which both the public and private sectors respond to their needs.

The CSA mission therefore requires a coordinated and comprehensive approach where representation, institution-building, experimentation, and program delivery all receive simultaneous emphasis, and in which the poor themselves participate to the fullest extent possible.

The Community Services Administration through its grantees provides a broad range of services (housing, basic education, health care, vocational training and employment opportunities, energy conservation, community food and nutrition, senior opportunity services and programs) for the purpose of assisting low-income people to achieve greater self-sufficiency and control over their lives.

Although CSA does not have any special categorical program emphasis or earmarked funds for Domestic Violence, local service deliverers can make application for funds, supplementary services and in-kind contributions from the local Community Action Agencies or through the State Economic Opportunity Offices (who are charged with the responsibility of mobilizing resources for low-income programs).

The Agency has funded several initiatives through its limited research and demonstration program: family crisis centers in Wisconsin and Maryland and two demonstration training grants in Massachusetts and Pennsylvania. The training grants should produce specific training aids in managing shelters, fiscal management assistance, program development, counseling interventions, paralegal counseling and use of media skills for the local services programs for battered women.

INFORMATION CONTACT

Office of Program Development
Community Action
1200 19th Street, N.W.
Washington, D.C. 20506
(202) 632-6694

Department of Agriculture
Farmers Home Administration
Community Facilities

10.423 Community Facilities Loans

PROGRAM DESCRIPTION

Funding is made available to rural communities so that community facilities which provide services to the residents may be constructed, enlarged, extended, or otherwise improved.

This program supports direct services.

USES AND USE RESTRICTIONS

Community facilities include but are not limited to those providing or supporting overall community development such as fire and rescue services, transportation, traffic control, community, social, cultural, health and recreational benefits; industrial park sites, access ways, and utility extensions. All facilities financed in whole or in part with FmHA funds shall be for public use.

TYPES OF ASSISTANCE

Guaranteed/Insured Loans.

FORMULA AND MATCHING GRANTS

Matching funds are not required, but may be used in connection with the funds provided from the applicant or other sources. Funds are allocated to States based upon rural population and number of households in poverty. $25,000,000 has been set aside for loans to Community and Migrant Health Centers in medically underserved rural areas which are receiving DHHS operating assistance.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
State agencies, political and quasi-political subdivisions of States and associations including corporations, Indian tribes on Federal and State reservations and other federally recognized Indian tribes and existing private corporations which (1) are operated on a not-for-profit basis, (2) have or will have the legal authority necessary for constructing, operating, and maintaining the proposed facility or service and for obtaining, giving security for, and repaying the loan, and (3) are unable to finance the proposed project from its own resources or through commercial credit at reasonable rates and terms. Assistance is authorized for eligible applicants in rural areas of the States, Puerto Rico, the Virgin Islands, Guam, American Samoa, and the Trust Territory of the Pacific Islands.

BENEFICIARY ELIGIBILITY:
Eligibility includes State agencies, political and quasi-political subdivisions of States and associations including corporations, Indian tribes on Federal and State reservations and other federally recognized Indian tribes and existing private corporations which are operated on a not-for-profit basis which serve residents of open country and rural towns and villages of not more than 10,000 population.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: Applications are subject to State and areawide clearinghouses review pursuant to procedures in Part I, Attachment A of OMB Circular No. A-95 (revised). The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. An environmental impact assessment is required for this program.

Application Procedure: Preapplication Form AD-621 is filed at the local FmHA County or District Office from which assistance may be obtained.

Award Procedure: After the preapplication has been reviewed by the FmHA District Director, it is forwarded to the FmHA State Director for review and processing instructions. Following loan approval, and completion of application processing requirements, funds are made available to the FmHA District Director for delivery. Notification of awards must be made to the designated State Central Information Reception Agency in accordance with Treasury Circular 1082.

Deadlines: None.

Range of Approval/Disapproval Time: 30 to 90 days.

Appeals: If an application is rejected, the reasons for rejection are fully stated. The applicant may request a review of the decision from the next higher management level of FmHA.

APPROPRIATIONS

(Loans)	
Fiscal Year 1978	$249,997,000
Fiscal Year 1979	250,000,000 (estimated)
Fiscal Year 1980	250,000,000 (estimated)

PROGRAM ACCOMPLISHMENTS

In fiscal year 1978, 447 loans were made. It is estimated that 422 loans will be made in fiscal year 1979 and that 397 loans will be made in fiscal year 1980.

ENABLING LEGISLATION

Consolidated Farm and Rural Development Act, Section 306, Public Law 92-419, 7 U.S.C. 1926.

INFORMATION CONTACT

John R. Bowles, Director
USDA
Farmers Home Administration
Community Facilities Loan Division
Room 6304-S
14th & Independence Avenue, S.W.
Washington, D.C. 20250
(202) 447-7667

Department of Agriculture
Food and Nutrition Service
Food Distribution Program

10.550 Food Distribution (Food Donation Program)

PROGRAM DESCRIPTION

This program seeks to improve the diets of school children and other individuals in need of food assistance, and to increase the market for domestically produced foods acquired under surplus removal or price support operations.

This program supports direct services.

USES AND USE RESTRICTIONS

Food is made available for distribution to qualifying households, individuals, child feeding programs, schools, charitable institutions, nutrition programs for the elderly, and nonprofit summer camps for children. Households and individuals may not be charged. Donated foods may not be sold, exchanged, or otherwise disposed of (authorized distribution excepted) without prior, specific approval of the Department. FNS administrative funds must be expended to assist in meeting operating expenses incurred in administering food distribution programs for needy persons in households. These funds may not be used to purchase real property (land or buildings).

TYPES OF ASSISTANCE

Formula grants; sale, exchange, or donation of property and goods.

FORMULA AND MATCHING GRANTS

Formula and matching requirements are not applicable to food donations. Cash assistance operating expense funds are allocated on the basis of need for funds. No matching funds are required, but states and localities are expected to bear costs of program administration aside from the additional contribution made by the formula grants.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Such State, Trust Territory of the Pacific Islands and Federal agencies that are designated as distributing agencies by the Governor, legislature, or other authority may receive and distribute donated foods. Frequently different agencies are designated for the household program and for the school and institutional programs. The State distributing agency which administers the household program is eligible to receive Federal cash assistance (administrative funds) to assist in meeting operating expenses incurred in administering the needy family program. Indian tribal organizations may request to be their own State agency for administration of the needy family program on

Indian reservations. Areas of the Trust Territory of the Pacific Islands which desire the program for needy households or individuals must apply to the appropriate State agency for foods and funds. School and other child feeding programs are eligible but must meet detailed requirements concerning facilities, meal content, meal charges, etc. Charitable institutions are eligible to the extent they serve needy persons. All must apply to their responsible State distributing agency. Elderly feeding programs under Title III must apply to their State Agency on Aging.

BENEFICIARY ELIGIBILITY:
Families must live in an area on or near an Indian Reservation which has the program and must be certified by local welfare authorities as having inadequate income and resources. The family head may be employed, pensioned, striking, or unemployed. Upper limits of allowable income and resources vary with family size and among various states. Expectant or new mothers, infants, and young children from low-income families - those most subject to health problems caused by malnutrition - are also eligible for individual assistance. All children in schools, child care institutions, and summer camps which participate in the program may benefit from food donations. Foods donated to charitable institutions (on the basis of needy persons served) and to nutrition programs for the elderly, may be used for the benefit of all served.

CREDENTIALS/DOCUMENTATION:
Individual households are individually certified by local welfare offices based on State eligibility standards. Cost of State administrative expenses will be determined in accordance with FMC 74-4 for State and local governments.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: Not applicable.

Application Procedure: All states except Kansas now have distributing agencies - no applications necessary. Local governments, schools, other child feeding programs, nonprofit summer camps for children, charitable institutions, and nutrition programs for the elderly must apply to State distributing agency on its forms. A State plan of operation is required for programs for individuals and agencies which must be forwarded to the Department for approval. Individuals apply to approved health facilities; heads of households apply to local welfare authorities on forms supplied by State or local office. No application required for cash assistance (operating expense funds) in administering needy family food distribution program. Information on administrative funds for Commodity Supplemental Food Program may be obtained from State distributing agency.

Award Procedure: Cash assistance is provided by the Department to State agency for use in household program operations. Notification of awards must be made to the designated State Central Information Reception Agency in accordance with Treasury Circular 1082.

Deadlines: None.

Range of Approval/Disapproval Time: Not applicable.

Appeals: None except that heads of households may appeal adverse or delayed decisions as the State plan provides.

Renewals: Program continues once approved unless withdrawal is requested or required because of program irregularities; households and individuals are recertified periodically. Cash assistance and administrative funds for the Commodity Supplemental Food Program are dependent on annual Federal appropriations.

APPROPRIATIONS

Grants and Food Donations

Fiscal Year 1978	$757,901,000	
Fiscal Year 1979	718,836,000	(estimated)
Fiscal Year 1980	806,890,000	(estimated)

Formula Grants

Fiscal Year 1978	$ 1,600,000	
Fiscal Year 1979	3,365,000	(estimated)
Fiscal Year 1980	6,645,000	(estimated)

PROGRAM ACCOMPLISHMENTS

During fiscal year 1978, about 1.52 billion pounds of food were purchased for distribution. Children in schools, child care and summer food service programs received 1,364 million pounds; 94.6 million pounds were distributed to needy families and participants in the Commodity Supplemental Food Program and 24.8 million pounds were provided for charitable institutions, including nonprofit summer camps for children. The nutrition program for the elderly received 36 million pounds of food.

ENABLING LEGISLATION

Section 32 of Public Law 320, 74th Congress, as amended, 7 U.S.C. 612c; Public Law 165, 75th Congress, 15 U.S.C. 713c, Sections 6, 9, and 17 of the National School Lunch Act, as amended, 42 U.S.C. 1755, 1758, 1766; Section 416 of the Agricultural Act of 1949, as amended, 7 U.S.C. 1431, Section 402 of the Mutual Security Act of 1954, as amended, 22 U.S.C. 1922; Section 210 of the Agricultural Act of 1956, 7 U.S.C. 1859, Section 9 of the Act of September 6, 1958, as amended, 7 U.S.C. 1431b; Act of September 13, 1960, as amended, 7 U.S.C. 1431 note, Section 709 of the Food and Agricultural Act of 1965, as amended, 7 U.S.C. 1446a-1; Section 13 of the National School Lunch Act, as amended, 42 U.S.C. 1761; Section 8 of the Child Nutrition Act of 1966, 42 U.S.C. 1777; Section 311 of the Older Americans Act of 1965, as amended, 42 U.S.C. 3045f, Section 4 and 5(a) of the Agriculture and Consumer Protection Act of 1973 as amended, 7 U.S.C. 612c note, and 7 U.S.C. 613 note; Section 14 of the National School Lunch Act as amended, 42 U.S.C. 1762a, Section 409 and 410(6) of Public Law 93-288, 41 U.S.C. 5179-5180.

INFORMATION CONTACT

Darrel Grey
Food and Nutrition Service
500 12th Street, S.W.
Washington, D.C. 22050
(202) 447-8371

Department of Agriculture
Food and Nutrition Service
Food Stamps

10.551 Food Stamps

PROGRAM DESCRIPTION

The primary objective of the Food Stamps program is to improve the diet of low-income households by supplementing their food purchasing power.

This program supports direct services.

USES AND USE RESTRICTIONS

Households receive a free coupon allotment which varies according to household size and which is reduced by 30 percent of net income. The coupons may be used in participating retail stores to buy any food for human consumption and garden seeds and plants to produce food for personal consumption of eligible households. In certain remote areas of Alaska, recipients may use food coupons to purchase hunting and fishing equipment for procurement of food excluding firearms, ammunition and other explosives. Food coupons may be used by certain elderly and handicapped persons and their spouses who cannot prepare their own meals to pay for meals delivered to them in their homes by authorized meal delivery services. Elderly persons may also use food coupons to purchase meals in establishments providing communal dining for the elderly. Drug addicts and alcoholics who are participating in approved rehabilitation programs may use food coupons to purchase meals prepared under the program.

TYPES OF ASSISTANCE

Direct payments for specified use.

FORMULA AND MATCHING GRANTS

The U.S. Department of Agriculture pays 100 percent of the cost of bonus coupons.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
The State or U.S. Territory agency responsible for federally aided public assistance programs submits requests for the program to USDA's Food and Nutrition Service on behalf of local political subdivisions.

BENEFICIARY ELIGIBILITY:
Households may participate if they are found by local welfare officials to be in need of food assistance. Examples might include households who are receiving some form of welfare assistance, or are unemployed, part-time

employed, working for low wages, or living on limited pensions. Eligibility is based on family size and income, and level of resources. Individuals must supply their social security number as a condition of eligibility. Able-bodied adults with certain exceptions, must meet a work registration requirement. College students who are tax dependents of ineligible households are ineligible to participate in the program.

CREDENTIALS/DOCUMENTATION:
Households are individually certified by local welfare offices based on national eligibility standards, with verification as required by regulation. Governors (or their designated Plan review agencies) must be given an opportunity to review the State plan pursuant to Part III, Attachment A of OMB Circular No. A-95 (revised).

APPLICATION AND AWARD PROCESS

Preapplication Coordination: The standard application forms as furnished by the Food and Nutrition Service and required by OMB Circular No. A-102 must be used for this purpose.

Application Procedure: Public Law 93-86 mandated nationwide expansion of the Food Stamp program by July 1, 1974, and required each State agency to submit to Food and Nutrition Service for approval, a Plan of Operation specifying the manner in which the Food Stamp program would be conducted in every political subdivision within the State.

Award Procedure: The Secretary of Agriculture designates new areas as soon as possible after the request is received.

Deadlines: The authority to continue the Food Distribution Program on Indian reservations has been extended through 1981.

Range of Approval/Disapproval Time: Full implementation of the nationwide mandate completed in fiscal year 1975, except for certain Indian reservations which may continue in the Food Distribution Program through 1981.

Appeals: Each State agency is required to provide any aggrieved household with a fair hearing.

Renewals: Program continues once approved unless there is a substantial failure to comply with regulations or withdrawal on the part of the State.

APPROPRIATIONS

(Direct payments to individuals)

Fiscal Year 1978	$5,165,209,000
Fiscal Year 1979	5,914,000,000 (estimated)
Fiscal Year 1980	6,481,000,000 (estimated)

PROGRAM ACCOMPLISHMENTS

During fiscal year 1979, every area in every State operated a food stamp program. Participation averaged 17.6 million persons.

ENABLING LEGISLATION

The Food Stamp Act of 1964; Public Law 88-525; 78 Stat. 703, 7 U.S.C. 2011-2026 (1964) as amended, Public Law 90-91; 81 Stat. 228; Public Law 90-552; 82 Stat. 958; Public Law 91-116; 83 Stat. 191; Public Law 91-671; 84 Stat. 2048; Public Law 92-603; 86 Stat. 1329; Public Law 93-86; 87 Stat. 247; Public Law 93-233; 87 Stat. 947; Public Law 93-335; 88 Stat. 291; Public Law 93-347; 88 Stat. 340; Public Law 93-563; 88 Stat. 1841; Public Law 94-44, 89 Stat. 235; Public Law 94-182, 89 Stat. 1056; Public Law 94-339, 90 Stat. 799; Public Law 94-365, 90 Stat. 990: Public Law 94-379, 90 Stat. 1111; Public Law 94-585, 90 Stat. 2901; Public Law 9559m 91 Stat. 255; Public Law 95-97, 91 Stat. 825; Public Law 95-113, 91 Stat. 958: Public Law 95-348, 92 Stat. 487; Public Law 95-400, 92 Stat. 856; Public Law 95-458, 92 Stat. 1255; Public Law 96-58, 93 Stat. 389.

INFORMATION CONTACT

Alberta Frost
Food and Nutrition Service
500 12th Street, S.W.
Washington, D.C. 22050
(202) 447-8982

Department of Agriculture
Food and Nutrition Service
Child Nutrition Programs

10.556 Special Milk Program for Children

PROGRAM DESCRIPTION

To encourage the consumption of fluid milk by children of high school grade and under through reimbursement to eligible schools and institutions which inaugurate or expand milk distribution services is this program's prime objective.

This program supports direct services.

USES AND USE RESTRICTIONS

Use of funds is limited to nonprofit schools (including nursery schools), child care centers, settlement houses, summer camps, and similar institutions devoted to the care and training of children. Disbursement to states is made on the basis of the number of half pints of milk served, using a reimbursement rate specified by law, except that milk served free to eligible children is reimbursed at full cost.

TYPES OF ASSISTANCE

Formula grants.

FORMULA AND MATCHING GRANTS

The reimbursement rate for each half pint of milk served in school year 1978-79 is 6.75 cents, and this rate will be adjusted each school year to correspond to the CPI for the cost of food away from home. However, in no event may the rate exceed the cost of milk to the institution; each half pint of milk served free to eligible children is reimbursed at full cost. There is no matching requirement, but the cost of milk in excess of the Federal reimbursement must be borne by sources within the states.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Any State or U.S. Territory agency or nonprofit private school or child care institution of high school grade or under may participate in the Special Milk Program upon request. This generally includes nonprofit nursery schools, child care centers, settlement houses and summer camps. All schools and child care institutions which participate must agree to operate the program on a nonprofit basis for all children without regard to race, color, or national origin.

BENEFICIARY ELIGIBILITY:
All children attending schools and institutions in which the Special Milk Program is in operation may participate in the program.

CREDENTIALS/DOCUMENTATION:
Applicant must furnish evidence of nonprofit status. Governors (or their designated Plan review agency) must be given an opportunity to review the State Plan pursuant to Part III, Attachment A of OMB Circular No. A-95 (revised). Cost of State administrative expenses will be determined in accordance with FMC 74-4.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program.

Application Procedure: Public and nonprofit private schools in all states make application to the State educational agency. In those states which by statute prohibit the State educational agency from disbursing Federal funds to nonprofit private schools, the application will be referred to the appropriate Food and Nutrition Service regional office. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure: State agency or Food and Nutrition Service regional office, where applicable, reviews written application of school or child care institution and upon determination of eligibility takes written agreement with sponsor for participation in the program. Notification of awards must be made to the designated State Central Information Reception Agency in accordance with Treasury Circular 1082.

Deadlines: None.

Range of Approval/Disapproval Time: Agreements are effective upon approval of the State educational agency or Food and Nutrition Service, where applicable, and are effective until the following June 30.

Appeals: None. State educational agencies or Food and Nutrition Service regional offices, where applicable, are responsible for determining eligibility of a school or institution.

Renewals: Annually as of July 1.

APPROPRIATIONS

(Grants)

Fiscal Year 1978	$155,000,000
Fiscal Year 1979	142,000,000 (estimated)
Fiscal Year 1980	142,000,000 (estimated)

PROGRAM ACCOMPLISHMENTS

In fiscal year 1978, 2.01 billion half-pints of milk were served; in fiscal

year 1979, 1.9 billion are estimated to be served.

ENABLING LEGISLATION

Child Nutrition Act of 1966, as amended; Public Law 91-295; 84 Stat. 336; 42 U.S.C. 1772; Public Law 93-150; 87 Stat. 563; 42 U.S.C. 1772; Public Law 93-347; 88 Stat. 341; Public Law 94-105; 89 Stat. 522; Public Law 95-166; 91 Stat. 1325.

INFORMATION CONTACT

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Department of Agriculture
Food and Nutrition Service
Special Supplemental Food Program for Women, Infants, and Children (WIC Program)

10.557 Special Supplemental Food Program for Women, Infants, and Children (WIC Program)

PROGRAM DESCRIPTION

The WIC Program endeavors to supply supplemental nutritious foods and nutrition education as an adjunct to good health care to participants identified to be nutritional risks because of inadequate income and inadequate nutrition.

This program supports direct services.

USES AND USE RESTRICTIONS

Grants are made to State health or comparable agencies and recognized Indian tribal agencies to make supplemental foods available to pregnant, postpartum and breastfeeding women, infants, and children up to five years of age. Funds must be expended to purchase supplemental foods for participants or to redeem vouchers issued for that purpose. Twenty percent of the total Federal funds provided may be used for State and local agency administrative costs. State and local agencies are provided administrative funds according to a formula determined by FNS. Only local agencies qualifying under State agency applications may operate WIC programs.

TYPES OF ASSISTANCE

Project grants.

FORMULA AND MATCHING GRANTS

Grants are allocated on the basis of a formula determined by the Department. Consideration is also given to current participation levels, historical and projected food costs and State administrative costs. No matching funds are required, but states and local agencies are expected to bear the administrative costs in excess of their administrative funds allocation.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
A local agency is eligible to apply to participate in the WIC program provided: (a) it gives health services free or at reduced cost to residents of low-income areas; (b) it serves a population of women, infants, and children at nutritional risk; (c) it has the personnel, expertise, and equipment to perform measurements, tests, and data collection specified for the WIC program; (d) it maintains or is able to maintain adequate medical records; and (e) it is a public or private nonprofit health or welfare agency. All applicants must apply through the responsible State or U.S. Territory

agency.

BENEFICIARY ELIGIBILITY:
Pregnant, postpartum or breastfeeding women, infants, and children shall be eligible if they are determined by a competent professional on the staff of the local agency to be in need of the special supplemental food supplied by the program, and they have a family income of less than the State provided or approved income standard.

CREDENTIALS/DOCUMENTATION:
Individuals are certified as meeting an income standard. Certification regarding need for supplemental foods is determined by professionals on staff of local health facility. Costs will be determined in accordance with FMC 74-4 for State and local governments. Of the funds made available to the State, up to 20 percent may be approved for administrative expenses. Governors (or their designated Plan review agency) must be given an opportunity to review the State Plan pursuant to Part III, Attachment A of OMB Circular No. A-95 (revised).

APPLICATION AND AWARD PROCEDURE

Preapplication Coordination: The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program.

Application Procedure: Local agencies must apply to the State health agency in writing. The State or U.S. Territory agency must notify the local agency of the status of the application within 30 days of receipt. Individual recipients apply for WIC benefits at the approved local agency. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure: Funds are awarded by the Department on the basis of a funding formula to State agencies for further distribution to approved local agencies subject to the availability of appropriated funds. Notification of awards must be made to the designated State Central Information Reception Agency in accordance with Treasury Circular 1082.

Deadlines: An application for the next fiscal year is required by August 15.

Range of Approval/Disapproval Time: An eligibility determination will be made within 30 days of submission of a completed plan.

Appeals: Not applicable.

Renewals: The program authorization has been extended through September 30, 1982.

APPROPRIATIONS

(Grants)

Fiscal Year 1978	$397,718,000
Fiscal Year 1979	550,000,000 (estimated)
Fiscal Year 1980	750,000,000 (estimated)

PROGRAM ACCOMPLISHMENTS

FNS approved the operation of the WIC Program by 73 State agencies. This figure includes 49 states, 22 Indian agencies, Puerto Rico and the Virgin Islands. These State agencies had a peak caseload of 1,323,619 in fiscal year 1978.

ENABLING LEGISLATION

Child Nutrition Act of 1966, as amended, 42 U.S.C. 1771 et seq; Public Law 92-433; Section 17 of Child Nutrition Act of 1966, as amended; 86 Stat. 729; Public Law 94-105; Section 17 of the Child Nutrition Act of 1966, as amended; 89 Stat. 518; Public Law 95-627, 92 Stat. 3603.

INFORMATION CONTACT

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Department of Agriculture
Food and Nutrition Service
Child Nutrition Programs

10.558 Child Care Food Program

PROGRAM DESCRIPTION

The Child Care Food Program assists States to initiate, maintain or expand nonprofit food services for children in nonresidential institutions providing child care.

This program supports direct services.

USES AND USE RESTRICTIONS

Funds are made available for disbursement to eligible public and nonprofit private organizations including but not limited to day care centers, settlement houses, recreation centers, family and group day care programs, Head Start Centers, and institutions providing day care services for handicapped children. Disbursement is made on the basis of the number of lunches, suppers, breakfasts and snacks served, using reimbursement rates specified by law. Meals must meet minimum nutritional requirements of the Department of Agriculture.

TYPES OF ASSISTANCE

Formula grants; sale, exchange or donation of property and goods.

FORMULA AND MATCHING GRANTS

Program funds are earned by the States and institutions on a per meal reimbursement basis, with rates adjusted semiannually as specified by law. Donated foods or cash in lieu thereof are also made available. Suppers, lunches, breakfasts, and supplements are reimbursed at different rates for children receiving the meal free, paying a reduced price, or the full price.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Any State or territorial agency which applies may receive funds for disbursement. In States where agencies are not permitted to disburse funds to institutions, the institutions themselves may qualify to receive funds directly from USDA.

BENEFICIARY ELIGIBILITY:
Public and nonprofit private organizations including but not limited to day care centers, settlement houses, recreation centers, family day care programs, Head Start programs, Home Start programs and institutions providing day care services for handicapped children, which are licensed or approved by State,

local or Federal authority as a child care institution, or can satisfy Federal interagency day care requirements. Any eligible institution can receive the child care program upon request.

CREDENTIALS/DOCUMENTATION:
Applicants must furnish evidence of nonprofit status. Governors (or their designated Plan review agency) must be given an opportunity to review the State Plan pursuant to Part III, Attachment A of OMB Circular No. A-95 (revised). Cost of State administrative expenses will be determined in accordance with FMC 74-4. State plan deadline is May 15.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: None. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program.

Application Procedure: Institutions apply to the responsible State or U.S. Territory agency. In states where the agency does not administer the program to certain service institutions, the application will be referred to the Food and Nutrition Service regional office. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure: When application is approved, institution makes agreement with appropriate agency on a fiscal year basis. Notification of awards must be made to the designated State Central Information Reception Agency in accordance with Treasury Circular 1082.

Deadlines: State Plan is due May 15.

Range of Approval/Disapproval Time: Not applicable to States. Institutions applying to States must receive decision in 30 days.

Appeals: The State must provide a fair hearing to any institution with a grievance concerning participation or reimbursement.

Renewals: Annually.

APPROPRIATIONS

(Grants)

Fiscal Year 1978	$141,000,000
Fiscal Year 1979	146,000,000 (estimated)
Fiscal Year 1980	213,800,000 (estimated)

(These figures do not include the value of donated commodities.)

PROGRAM ACCOMPLISHMENTS

In fiscal year 1977, an estimated 568,000 children participated in the program; the estimate for fiscal year 1979 is 575,000.

ENABLING LEGISLATION

Section 17 of the National School Lunch Act as amended by Public Law 94-105; 89 Stat. 522-525; Public Law 95-627, 92 Stat. 3603-3626.

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10.559 Summer Food Service Program for Children (Summer Program)

PROGRAM DESCRIPTION

The objectives of this program are to assist States, through grants in aid and other means, to initiate, maintain and expand nonprofit food service programs for children in service institutions and summer camps during the summer months.

This program supports direct services.

USES AND USE RESTRICTIONS

Funds are made available to eligible service institutions which conduct a regularly scheduled summer program for children from areas in which poor economic conditions exist. Institutions operating food service programs for children on school vacation under a continuous year-round calendar may also apply. Disbursement to service institutions equals the full cost of food service operations, except that certain cost per meal rates cannot be exceeded. Meals must meet minimum nutritional requirements of the Department of Agriculture. Funds are also made available for State administrative expenses according to a sliding scale formula.

TYPES OF ASSISTANCE

Formula grants; sale, exchange, or donation of property and goods.

FORMULA AND MATCHING GRANTS

Program funds are earned by the States and institutions on a per meal reimbursement basis, with rates adjusted annually as specified by law.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Any State or territorial agency which applies may receive funds for disbursement. In States where agencies are not permitted to disburse funds to institutions, the institutions themselves may qualify to receive funds directly from USDA.

BENEFICIARY ELIGIBILITY:
Participants include public and nonprofit private service institutions conducting a regularly scheduled program for children from areas in which poor economic conditions exist, for any period during the months of May through September, at site locations where regularly scheduled food service is provided for children in attendance. Institutions which develop food

service programs for children on school vacation at any time under a continuous school calendar may also participate. Summer camps may also participate and receive reimbursement only for meals served to children eligible for free and reduced price meals.

CREDENTIALS/DOCUMENTATION:
Applicants must furnish evidence of nonprofit status. Governors (or their designated Plan review agency) must be given an opportunity to review the State plan pursuant to Part III, Attachment A of OMB Circular No. A-95 (revised). Cost of State administrative expenses will be determined in accordance with FMC 74-4. State Plan deadline is February 15.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: None. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program.

Application Procedure: Institutions apply directly to the responsible State or U.S. Territory agency. In states where the agency does not administer the program to certain service institutions, the application will be referred to the Food and Nutrition Service regional office. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure: When application is approved, institution makes agreement with appropriate agency on a fiscal year basis. Notification of awards must be made to the designated State Central Information Reception Agency in accordance with Treasury Circular 1082.

Deadlines: State Plan is due February 15.

Range of Approval/Disapproval Time: Not applicable.

Appeals: The States must have a hearing procedure for local service institution grievances.

Renewals: Annually.

APPROPRIATIONS

(Grants)

Fiscal Year 1978	$ 98,000,000
Fiscal Year 1979	138,000,000 (estimated)
Fiscal Year 1980	88,800,000 (estimated)

(These figures do not include the value of donated commodities.)

PROGRAM ACCOMPLISHMENTS

In fiscal year 1978, 2.3 million children participated in the program.

ENABLING LEGISLATION

Section 13 of the National School Lunch Act as amended by Public Law 94-105; 89 Stat. 516-518; Public Law 95-166, 91 Stat. 1325; Public Law 95-627, 92 Stat. 3622.

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10.881 Cooperative Extension Service

PROGRAM DESCRIPTION

The primary objective of this program is to provide educational programs based upon local needs in the broad fields of (1) agricultural production and marketing, (2) rural development, (3) home economics, and (4) youth development.

This program supports direct services.

USES AND USE RESTRICTIONS

Grants are made to land-grant institutions which, through State and county extension service personnel, provide educational and technical assistance to (1) farmers, producers, and marketing firms on how to apply new technical developments emanating from agricultural research; (2) community organizations to develop natural, economic, and human resources; (3) homemakers and youth in the areas of food and nutrition, home management, family economics, child development, and parent education; and (4) 4-H youth in the areas of leadership development and career guidance through work projects, demonstration projects, camping, and achievement programs.

TYPES OF ASSISTANCE

Formula grants.

FORMULA AND MATCHING GRANTS

Smith-Lever funds are distributed to States primarily on the basis of farm and rural population and to a limited degree on the basis of special problems and needs. These funds are matched as required by Congress through the appropriation process. Currently, the required matching on such funds is approximately 55 percent.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
By law, grarts are made to the designated land-grant institution in the States and Puerto Rico, Guam, the Virgin Islands and the District of Columbia, and are administered by the Director of the State Extension Service. State and local governments, other organizations, and individuals are not eligible for these grants.

BENEFICIARY ELIGIBILITY:
Programs of State and county extension services are available to the general

public.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: None.

Application Procedure: County extension services prepare plan of work and forward to State Extension Service. State Extension Service prepares State plans of work and budgets and forwards to Science and Education Administration-Extension USDA. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure: Grants are made to State land-grant institutions basically on a formula basis for approved projects. Notification of awards must be made to the designated State Central Information Reception Agency in accordance with Treasury Circular 1082.

Deadlines: State plans of work are sent to the Science and Education Administration-Extension in August each fiscal year.

Range of Approval/Disapproval Time: Plans of work are approved or returned for revision or additional information within 30 days after receipt.

Appeals: Not applicable.

Renewals: Not applicable.

APPROPRIATIONS

(Grants)

Fiscal Year 1978	$248,225,962
Fiscal Year 1979	253,353,680 (estimated)
Fiscal Year 1980	260,798,160 (estimated)

PROGRAM ACCOMPLISHMENTS

At the end of fiscal year 1978, Extension was working with 314,000 families enrolled in the Expanded Food and Nutrition Program (EFNEP). Over 640,000 other families were contacted in the EFNEP program during the year. Extension played an active role in each of more than 2,500 State area and local rural development committees. Approximately five million youth were served through 4-H youth development programs. In addition to the above contacts, over 100 million contacts were made with Extension clientele through educational programs related to agriculture and natural resources, community resource development, home economics and 4-H youth. The Cooperative Extension Service has a delivery system that is intact, established, and functional in all of the States and counties in the nation, and it is unconstrained in its ability to provide service to the States. Following are some types of assistance, applicable to domestic violence, that might be provided by CES providing that additional staff and funding were available: (1) help communities, organizations and groups become aware of assistance and services that are available for victims of domestic violence; and (2) conduct educational programs so that citizens will become aware of problems, seek help if needed,

and act to prevent such violence from occurring.

ENABLING LEGISLATION

Smith-Lever Act as amended, 7 U.S.C. 341-349; District of Columbia Public Postsecondary Education Reorganization Act, D.C. Code 31-1718; Rural Development Act of 1972, 7 U.S.C. 2661-2668, Farmer-to-Consumer Direct Marketing Act of 1976, 7 U.S.C. 3004, Food and Agriculture Act of 1977, Public Law 95-113.

INFORMATION CONTACT

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Department of Defense
Office of the Assistant Secretary
Manpower, Reserve Officers and Logistics
Family Service Programs in the Military

PROGRAM DESCRIPTION

Each of the military departments has procedures for the detection and disposition of cases involving mistreatment of children, and physical abuse of adult family members is most often handled through existing medical, law enforcement, and social service channels.

This program supports direct services.

Air Force: The Air Force maintains a computerized registry, Defense Central Investigative Index (DCII), for recording suspected cases and a manual registry for recording confirmed child maltreatment cases. Confirmed cases of child maltreatment are forwarded by the local Child Advocacy Committee to the Central Register at the Air Force Medical Service Center, Brooks Air Force Base, Texas. Suspected cases are reported to the Air Force Office of Special Investigation (OSI) and then transmitted through their closed investigative channels. These records cannot be accessed by outside agencies. When the OSD staff verifies that a previous report of suspected child maltreatment is on record, they forward this information by telegram back to OSD staff at the installation. The information is then given to local child advocacy officials. The Air Force does not have a distinct reporting system for spouse abuse but provides services through the Medical Services, Chaplains, and Policy and 'legal' personnel.

Navy and Marine Corps: The Navy has designated the Bureau of Medicine and Surgery (BUMED) to oversee domestic violence program implementation and management for Navy and Marine Corps. The current Family Advocacy Program is an outgrowth of operational programs and BUMED's Child Advocacy and Spouse Abuse reporting programs. There are 27 major BUMED activities (medical centers) supporting 168 branch clinics, hospitals, etc. The program currently includes four social workers and four clerk typists in field activities and one officer as program manager at headquarters. Thirteen Medical Service Corps social workers are expected to assist program efforts in FY 80. An additional 32 billets have been requested for FY 81. BUMED interfaces with all applicable military and civilian agencies.

Army: The Army Child Advocacy Program (ACAP) was incorporated in the Army Community Service Program in October, 1978. The Surgeon General (TSG) supports the ACAP with resources and technical assistance relating to health services. ACAP officers are assigned at installation level to monitor and provide staff supervision and to serve on a multidisciplinary Child Protection and Case Management Team. The ACAP is not directly funded; child advocacy responsibilities are performed as a collateral duty. Statistical information relating to child abuse is accumulated through the Central Registry and the Army Community Service Program Report. Efforts are ongoing to upgrade the efficiency of these two systems. Currently, there are no statistics for spouse abuse.

TYPES OF ASSISTANCE

Army: The Army Child Advocacy Program (ACAP) includes a Child Maltreatment Prevention Program that offers public education, publicity, special programs for parents to improve parenting skills, and coordination of community resources including parents anonymous groups, child-care services, parent aides, and a telephone crisis hot-line.

Navy and Marine Corps: Identification of abused/neglected individuals; physical protection and treatment; maintenance of a Central Registry. Use of the medical model of primary, secondary, and tertiary levels of intervention for treatment and prevention programs in Family Advocacy.

Air Force: Prevention of child abuse and neglect and their attendant problems; identification, treatment, and rehabilitation of the abuser or neglecter; treatment of the abused child; appropriate assistance to alleviate the underlying causes of child abuse or neglect through coordination of available local and military resources and through actions of medical personnel and other concerned individuals in the Air Force Child Advocacy Program.

Department of Education
Migrant Education Program

13.429 Educationally Deprived Children -- Migrants

PROGRAM DESCRIPTION

This program focuses on the expansion and improvement of educational programs to meet the special needs of children of migratory agricultural workers or the children of migratory fishermen.

Title I migrant education programs also concentrate on identifying and meeting the specific needs of migratory children through such methods as remedial instruction; health, nutrition, and psychological services; cultural development; and prevocational training and counseling. Special attention in instruction programs is given to development of the language arts, including speaking, reading, and writing in both English and Spanish.

This program supports direct services.

USES AND USE RESTRICTIONS

Program funds are used to identify and meet specific needs of migrant children through remedial instruction, health, nutrition, psychological services, cultural development, and prevocational training and counseling. JOINT FUNDING: This program is considered suitable for joint funding with closely related Federal financial assistance programs in accordance with the provisions of OMB Circular No. A-111. For programs that are not identified as suitable for joint funding, the applicant may consult the headquarters or field office of the appropriate funding agency for further information on statutory or other restrictions involved.

TYPES OF ASSISTANCE

Formula Grants.

FORMULA AND MATCHING GRANTS

The basis for funding is 40 percent of the State's average per pupil expenditure, (or not less than 80 percent nor more than 120 percent of the national average per pupil expenditure), multiplied by (1) the estimated number of migratory children aged 5 to 17, inclusive, who reside in the State full-time, and (2) the full-time equivalent of the estimated number of such migratory children who reside in the State part-time. The full-time equivalent number of children who reside in the State during the summer is adjusted to reflect the special costs of summer programs.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
State Educational Agencies are eligible to apply for funding.

BENEFICIARY ELIGIBILITY:
Eligible persons include the children of migratory agricultural workers or of migratory fishermen.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: The State educational agency works closely with local educational agencies and private nonprofit organizations that wish to have migrant educational programs. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. Applications are subject to State and areawide clearinghouses review pursuant to part I, Attachment A of OMB Circular No. A-95 (revised).

Application Procedure: State educational agencies must submit their migrant education plan and cost estimate to the Office of Education for approval. (Headquarters Office listed below.)

Award Procedure: The Office of Education issues an award to the State educational agency. The State educational agency allocates funds to the local eligible school districts or other public or nonprofit private agencies. Notification of awards must be made to the designated State Central Information Reception Agency in accordance with Treasury Circular 1082.

Deadlines: Published in the Federal Register.

Range of Approval/Disapproval Time: Approximately 30 days.

Appeals: Allowable under the law, however no formal procedures have been established.

Renewals: None; new project proposals are submitted annually.

APPROPRIATIONS

Fiscal Year 1978	$145 million
Fiscal Year 1979	$173 million
Fiscal Year 1980	$209 million

PROGRAM ACCOMPLISHMENTS

During fiscal year 1978, funds provided for the State educational agencies responsible for the education of migrant children provided services for approximately 500,000 children in 47 states and Puerto Rico, or about $292 per child. In the fiscal years 1979 and 1980, an estimated 525,000 children will be served in 47 states and Puerto Rico.

ENABLING LEGISLATION

The enabling legislation is the Elementary and Secondary Education Act of 1965 as amended; Public Law 89-10 and Public Law 89-750 as amended by Public Law 95-651; and 20 U.S.C. 2761, 2762, and 2763.

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Department of Education
College-Work Study Program

13.471 National Direct Student Loans

PROGRAM DESCRIPTION

The program focuses on the establishment of loan funds at eligible higher education institutions to permit needy undergraduate and graduate students to complete their education. The program promotes the part-time employment of students, particularly those with great financial need, who require assistance to pursue courses of study at institutions of higher education.

This program supports direct services.

USES AND USE RESTRICTIONS

Institutions may use funds to make loans to needy, eligible students for meeting educational expenses, and for reimbursement for administrative expenses up to 4 percent of the amount loaned to students in an award year.

TYPES OF ASSISTANCE

Direct payments for specified use.

FORMULA AND MATCHING GRANTS

Funds are allotted among States according to statutory formula. The Institution must supply $1 for each $9 Federal provided.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Higher education institutions (public, other nonprofit, and proprietary institutions, including junior and community colleges) meeting eligibility requirements (accreditation, post-secondary, etc.).

BENEFICIARY ELIGIBILITY:
Undergraduate, graduate, or professional students who need the assistance, can maintain good standing, are enrolled or accepted for enrollment, are at least half-time students, do not owe refund on a Title IV grant, are not in default on a loan, and meet citizen/resident requirements.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: Representatives of the appropriate Department of Education Regional Offices are available for consultation. (See listing of Department of Education Regional Offices in the address appendix.) Students should contact the institution(s) they wish to attend.

Application Procedure: Participating institutions submit the Fiscal-Operations Report Application, Form OE-646, to participate in Federal Student Financial Aid Programs, which is mailed to institutions of postsecondary education each fall by the Bureau of Student Financial Assistance. New institutions submit only the application form. This program is subject to the provisions of OMB Circular A-110.

Award Procedure: The Bureau of Student Financial Assistance determines final awards and notifies participating institutions.

Deadlines: Specified on application. Usually in October.

Appeals: Appeals may be made within approximately 4 weeks after the institution receives its notification.

Renewals: Annual.

APPROPRIATIONS

Fiscal Year 1978	$435,000
Fiscal Year 1979	$550,000
Fiscal Year 1980	$550,000

PROGRAM ACCOMPLISHMENTS

During the award period 1978-1979, 3,500 institutions loaned $649,268,000 to 910,000 students.

ENABLING LEGISLATION

Higher Education Act of 1965, Public Law 89-329, Title IV, Part E; 20 U.S.C. 1087aa - 1087ff; Public Law 92-318, Public Law 94-482, Public Law 96-49, and Public Law 95-566.

INFORMATION CONTACT

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Department of Education
Community Service and Continuing Education Programs

13.491 University Community Service - Grants to States
(Community Service and Continuing Education Program)

PROGRAM DESCRIPTION

The objectives of this program are: to encourage colleges and universities to assist in the solution of community problems by strengthening community services programs; to strengthen existing mechanisms or create new ones; to expand continuing education opportunities; and to plan for resource materials sharing that will expand learning opportunities for adults.

This program supports direct services.

USES AND USE RESTRICTIONS

Institutional projects supported under this Act must be consistent with the institution's overall program. Courses offered must be of college level, non-sectarian, not otherwise available, and for adults whose education has been interrupted or completed. Schools or colleges of divinity are not eligible to participate. JOINT FUNDING: This program is considered suitable for joint funding with closely related Federal financial assistance programs in accordance with the provisions of OMB Circular No. A-111. For programs that are not identified as suitable for joint funding, applicant may consult the headquarters or field office of the appropriate funding agency for further information on statutory or other restrictions involved.

TYPES OF ASSISTANCE

Formula Grants.

FORMULA AND MATCHING GRANTS

Funds are provided on a 66-2/3 percent Federal 33-1/3 percent non-Federal matching basis. Funds are distributed on the basis of the total resident population, except that no State shall receive less than that State received in fiscal year 1975.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Accredited institutions of higher education apply to the State agencies for program funds and to administer projects.

BENEFICIARY ELIGIBILITY:
Adults who have completed their formal education or had their education interrupted; institutions of higher education; the community.

CREDENTIALS/DOCUMENTATION:
A basic State Plan coordinated with the Governor's office is required under Part III of OMB Circular No. A-95 (revised). Governors (or their designated Plan review agencies) must be given an opportunity to review the State Plan pursuant to Part III, Attachment A of OMB Circular No. A-95 (revised). Costs must be determined in accordance with FMC 74-4 for State and local governments.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: None normally. All States have an approved basic State Plan. The OE operations office, the CSCE Branch, will provide the State agency with guidance in preparation of the State's annual program amendment to basic State plan. The standard application forms as provided by the Federal agency and required by OMB Circular No. A-102 must be used for this program.

Application Procedure: Through an annual program plan amendment to the basic State plan. Federal funds are awarded to the State agency through the Headquarters Office listed below. Subgrants are made by the State agency to institutions of higher education through procedures established by respective states.

Award Procedure: Awards are made to States by the OE Finance Division on the basis of allotment tables provided by the National Center for Education Statistics based on the formula described below. The CSCE Branch is responsible for providing notification of grant approval to the public and to the designated State Agencies for administration of the Program. Notification of awards must be made to the designated State Central Information Reception Agency in accordance with Treasury Circular 1082.

Deadlines: State program plan amendments must be submitted to the Office of Education prior to July 31 preceding the fiscal year of funding. The State agency sets it own deadlines for receipt of institutional proposals.

Range of Approval/Disapproval Time: State program plan amendments can usually be reviewed and approved within 60 days.

Appeals: If a State plan or amendment to the State plan is disapproved, appeal may be made to the Commissioner of Education.

Renewals: A program plan amendment is submitted each year.

APPROPRIATIONS

Fiscal Year 1978	$15,850,000
Fiscal Year 1979	$14,250,000
Fiscal Year 1980	$ 9,000,000

PROGRAM ACCOMPLISHMENTS

In fiscal year 1978, 668 projects were supported by the States to enable 770 institutions to participate, serving 900,000 people. In fiscal year 1979, it is estimated that 600 projects affecting 750 institutions serving 400,000

people will be supported. Fifty-five States applied for funds in fiscal year 1978, all of which received funds.

ENABLING LEGISLATION

Higher Education Act of 1965; Title I, Public Law 89-329; 20 U.S.C. 1001 as amended by Public Law 90-575; 20 U.S.C. 1001, 1005, and 1006; Public Law 92-318; 20 U.S.C. 1001, 1006-1011; and Public Law 94-482, Title I, Part A, 20 U.S.C. 1001-1005, 1006-1008, 1010-1011.

INFORMATION CONTACT

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Department of Education
Vocational Education For Displaced Homemakers and Other Special Groups

13.493 Vocational Education - Basic Grants to States

PROGRAM DESCRIPTION

This program assists States in improving planning and in conducting vocational programs on the local level for persons of all ages who desire and need education and training for employment.

This program supports direct services.

USES AND USE RESTRICTIONS

For vocational education programs; cooperative vocational education programs; energy education programs; construction of area vocational education school facilities; support of full-time personnel to eliminate sex bias; provision of stipends if necessary due to inadequate funding in other programs; placement services for students who have successfully completed vocational education programs if necessary due to inadequate funding in other programs; industrial arts programs; support services for women who enter programs designed to prepare individuals for employment in jobs which have been traditionally limited to men; day care services for children of vocational students; vocational education for displaced homemakers and other special groups; construction and operation of residential vocational schools; vocational training through arrangements with private vocational training institutions; and State and local administration costs. State must use 80 percent of its allotment under Section 102(a) for these purposes. States must also allocate the following minimum portions of their total allotment under Section 102(a) as follows: 20 percent for vocational education for the disadvantaged and for persons who have limited English-speaking ability and for stipends; 15 percent for postsecondary and adult vocational education; and 10 percent for vocation education programs for handicapped persons. JOINT FUNDING: This program is considered suitable for joint funding with closely related Federal financial assistance programs in accordance with the provisions of OMB Circular No. A-111. For programs that are not identified as suitable for joint funding, the applicant may consult the headquarters or field office of the appropriate funding agency for further information on statutory or other restrictions involved.

TYPES OF ASSISTANCE

Formula Grants.

FORMULA AND MATCHING GRANTS

Funds are allotted on the basis of an amount which bears the same ratio (50 percent, 20 percent, 15 percent), of the sums being allotted, as the product

of the population aged (15-19, 20-24, 25-65, each inclusive and corresponding to percent of sums), in the State in the preceding fiscal year, and the State's allotment ratio, bears to the sum of the corresponding products for all the States; plus an additional 15 percent based on the above formula. No State's allotment under Section 103(a) shall be less than $200,000. The allotment ratio for any State shall be 1.00 less the product of 0.50, and the quotient obtained by dividing the per capita income for the State by the per capita income for all the States (exclusive of the outlying territories), except that the allotment ratio in no case shall be more than for the total program and for each of the national priority programs, 0.60 or less than 0.40, and the allotment ratio for the outlying territories shall be 0.60. Matching is 50/50 except for American Samoa, Guam, Northern Marianna Islands, Virgin Islands and the Trust Territories of the Pacific which is 100 percent Federal.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
State boards for vocational education are eligible to apply to the Department of Education, Vocational Education for funding.

BENEFICIARY ELIGIBILITY:
Eligible persons include those individuals requiring vocational training.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: Five-year State Plans and annual program plans must be prepared by involving the active participation of representatives of 10 agencies, councils, and individuals and consultation with the State Advisory Council. State Plans are subject to public hearings and must be available to the public. Applications are subject to State and areawide clearinghouses review pursuant to procedures in Part I, Attachment A of OMB Circular No. A-95 (revised). The standard application forms as furnished by the Federal Agency and required by OMB Circular No. A-102 must be used for this program.

Application Procedure: Submission of five-year State Plan and annual program plan to the Commissioner of Education.

Award Procedure: Commissioner approves five-year State Plan and annual program plan and returns it to the State board. When funds become available, the Deputy Commissioner for BOAE transmits formal notification of awards to the State board. Notification of awards must be made to the designated State Central Information Reception Agency in accordance with Treasury Circular 1082.

Deadline: July 1.

Range of Approval/Disapproval Time: Approximately 90 days.

Appeals: A State board which is dissatisfied with the final action of the Commissioner in disapproving a State plan is afforded reasonable notice and opportunity for a hearing. If still dissatisfied, may appeal to the appropriate United States Court of Appeals.

Renewals: None.

APPROPRIATIONS

Fiscal Year 1978	$430,266,000
Fiscal Year 1979	$474,766,000
Fiscal Year 1980	$562,266,000

The above numbers reflect the Basic Grant to States from which the State Board of Vocational Education makes allotment for programs for Displaced Homemakers and other special groups.

PROGRAM ACCOMPLISHMENTS

In fiscal year 1979, an estimated 13,000,000 students were enrolled in vocational education for employment.

ENABLING LEGISLATION

Vocational Education Act of 1963, as amended by Title II of the Education Amendments of 1976, Public Law 94-482; 20 U.S.C. 2301 to 2461; 90 Stat. 2168-2213.

INFORMATION CONTACT

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Department of Education
Consumer and Homemaking Education

13.494 Vocational Education - Consumer and Homemaking

PROGRAM DESCRIPTION

The Consumer and Homemaking Education objective is to assist States in conducting programs in consumer and homemaking education. Emphasis is placed on programs located in economically depressed areas or areas of high rates of unemployment.

This program supports direct services.

USES AND USE RESTRICTIONS

Grants are used solely for (1) educational programs in consumer and homemaking education consisting of instructional programs services, and activities at all educational levels for the occupations of homemaking including but not limited to, consumer education, food and nutrition, family living and parenthood education, child development and guidance, housing and home management (including resource management), and clothing and textiles which (a) encourage participation of both males and females to prepare for combining the roles of homemakers and wage earners; (b) encourage elimination of sex stereotyping in consumer and homemaking education by promoting the development of curriculum materials which deal (i) with increased numbers of women working outside the home, and increased numbers of men assuming homemaking responsibilities and the changing career patterns for women and men and (ii) with appropriate Federal and State laws relating to equal opportunity in education and employment; (c) give greater consideration to economic, social, and cultural conditions and need especially in economically depressed areas and such courses may include where appropriate bilingual instruction; (d) encourage outreach programs in communities for youth and adults giving considerations to special needs such as, but not limited to, aged, young children, school-age parents, single parents, handicapped persons, educationally disadvantaged persons, and programs connected with health care delivery systems, and programs providing services for courts and correctional institutions; (e) prepare males and females who have entered or are preparing to enter the work of the home; (f) emphasize consumer education, management of resources, promotion of nutritional knowledge and food use, and parenthood education to meet the current societal needs, and (2) ancillary services, activities and other means of assuring quality in all homemaking education programs such as teacher training and supervision, curriculum development, research, program evaluation, special demonstration, and experimental programs, development of instructional materials, exemplary projects, provision of equipment, and State administration and leadership. States must use at least 1/3 of the Federal funds allotted in economically depressed areas or areas with high rates of unemployment. JOINT FUNDING: This program is considered suitable for joint funding with closely related Federal financial assistance

programs in accordance with the provisions of OMB Circular No. A-111. For programs that are not identified as suitable for joint funding, the applicant may consult the headquarters or field office of the appropriate funding agency for further information on statutory or other restrictions involved.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
State boards for vocational education.

BENEFICIARY ELIGIBILITY:
Individuals requiring training as homemakers.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: Five-year State plans and annual program plans must be prepared by involving the active participation of representatives of ten agencies, councils and individuals and in consultation with the State Advisory Council. State Plans are subject to a public hearing and must be available to the public. Applications are subject to review pursuant to procedures in Part I, Attachment A of OMB Circular No. A-95 (revised).

Application Procedure: Submission of five-year State Plan and annual program plan to the Commissioner of Education.

Award Procedure: Commissioner approves five-year State Plan and annual program plan and returns it to the State board. When funds become available, the Deputy Commissioner for BOAE transmits formal notification of awards to the State board. Notification of awards must be made to the designated State Central Information Reception Agency in accordance with Treasury Circular 1082.

Deadline: July 1

Range of Approval/Disapproval Time: Approximately 90 days.

Appeals: None.

Renewals: None.

APPROPRIATIONS

Fiscal Year 1978	$40,994,000
Fiscal Year 1979	$43,497,000
Fiscal Year 1980	$43,497,000

PROGRAM ACCOMPLISHMENTS

In fiscal year 1979, an estimated 3,700,000 students were served.

ENABLING LEGISLATION

Vocational Education Act of 1963, as amended by Title II of the Education Amendments of 1976, Public Law 94-482; 20 U.S.C. 2380; 90 Stat. 2196.

INFORMATION CONTACT

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Department of Education
Career Education

13.554 Career Education

PROGRAM DESCRIPTION

The objectives of this program are to demonstrate the most effective methods and techniques in career education and to develop exemplary career education models at elementary, secondary, and postsecondary levels of instruction.

This program supports demonstration grants.

USES AND USE RESTRICTIONS

May be used to support demonstration projects which are designed to result in exemplary career education models, including models in which handicapped children receive appropriate career education. May not be used to support research and development projects. May not be used to provide general operational support for the implementation of career education activities. JOINT FUNDING: This program is considered suitable for joint funding with closely related Federal financial assistance programs in accordance with the provisions of OMB Circular No. A-111. For programs that are not identified as suitable for joint funding, applicant may consult the headquarters or field office of the appropriate funding agency for further information on statutory or other restrictions involved.

TYPES OF ASSISTANCE

Project Grants (Contracts).

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
State educational agencies, local educational agencies, institutions of higher education, and other nonprofit agencies and organizations, including Territories.

BENEFICIARY ELIGIBILITY:
Students in career education programs, including the handicapped.

CREDENTIALS/DOCUMENTATION:
See Part 160d of Title 45 of the Code of Federal Regulations. Costs must be determined in accordance with FMC 74-4 for State and local governments.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for

this program.

Application Procedure: Applications must be submitted on forms provided by OE, in accordance with procedures which are published in the Federal Register each year. The original and two copies of the application are submitted directly to the Office of Education. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure: Applications are reviewed, rated, and ranked, on the basis of the criteria published in 45 CFR Part 160.d7 and the highest ranking applications are selected for support within the annual level of available funding. Notification of awards is provided to the State Central Information Reception Agency in accordance with Treasury Circular 1082.

Deadlines: Application deadlines are published in the Federal Register each year.

Range of Approval/Disapproval Time: Applications must be approved or disapproved prior to the end of each fiscal year.

Appeals: Not applicable.

Renewals: Not applicable.

APPROPRIATIONS

Fiscal Year 1979	$ 797,000
Fiscal Year 1980 (est.)	$1,300,000

PROGRAM ACCOMPLISHMENTS

In fiscal year 1978, 87 projects were funded to operate during the 1978-79 academic year. In fiscal year 1979, it is anticipated that approximately 90 projects will be funded to operate during the 1979-80 academic year.

ENABLING LEGISLATION

Special Projects Act, Title IV, Section 406 of the Education Amendments of 1974; Public Law 93-380; 20 U.S.C. 1865; 88 Stat. 551-553.

INFORMATION CONTACT

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Department of Education
Community Service and Continuing Education Programs

13.557 University Community Service -- Special Projects
(Special Community Service and Continuing Education Projects)

PROGRAM DESCRIPTION

The objective of this program is to assist institutions of higher education in carrying out special programs and projects which are designed to seek solutions to national and regional problems relating to technological and social changes and environmental pollution.

This program supports direct services.

USES AND USE RESTRICTIONS

Projects must be consistent with the overall purposes of Title I HEA 1965. JOINT FUNDING: This program is considered suitable for joint funding with closely related Federal financial assistance programs in accordance with the provisions of OMB Circular No. A-111. For programs that are not identified as suitable for joint funding, applicant may consult the headquarters or field office of the appropriate funding agency for further information on statutory or other restrictions involved.

TYPES OF ASSISTANCE

Project Grants.

FORMULA AND MATCHING GRANTS

Non-Federal matching funds must account for at least 10 percent of the total project cost.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Accredited institutions of higher education, and combinations of such institutions.

BENEFICIARY ELIGIBILITY:
Adults who have completed their formal education or had their education interrupted; institutions of higher education; the community, regions and the nation.

CREDENTIALS/DOCUMENTATION:
Not applicable.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: Consultation with State Agency for Community Service and Continuing Education. Applications are subject to State and areawide clearinghouses review pursuant to procedures in Part I, Attachment A of OMB Circular No. A-95 (revised).

Application Procedure: Complete proposals are submitted to: Application Control Center, Attention: 13.557, U.S. Office of Education, Washington, DC 20202. This program is subject to the provisions of OMB Circular No. A-110. The standard application forms as provided by the Federal agency and required by OMB Circular No. A-102 must be used for this program.

Award Procedure: Awards are made and announced by OE.

Deadlines: Deadlines for receipt of proposals are determined annually by OE.

Range of Approval/Disapproval Time: Approximately 60 to 90 days.

Appeals: None.

Renewals: A new proposal must be submitted. However, the fact that a proposal was funded in an earlier year is not a guarantee that resubmission will result in renewed financial assistance.

APPROPRIATIONS

Fiscal Year 1978	$1,800,000
Fiscal Year 1979	$1,600,000
Fiscal Year 1980	$1,000,000

PROGRAM ACCOMPLISHMENTS

Projects supported in fiscal year 1978 were directed to evaluation of mid-career change programs, program models for reducing citizen alienation, energy conservation environmental problems, quality of working life, special programs for minorities and handicapped, professional development of continuing educators, and evaluation of nontraditional degree programs. Fiscal year 1978 applications amounted to 271. Number of Awards: 12 continuations and 12 new starts.

ENABLING LEGISLATION

Higher Education Act of 1965. Title IA, Section 106; Public Law 89-329; as amended by Public Law 92-318; 20 U.S.C. 1005a.

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Department of Education
Women's Educational Equity Act Program

13.565 Women's Educational Equity Act Program

PROGRAM DESCRIPTION

To provide educational equity for women at all levels of education through grants and contracts for research and development, evaluation, dissemination, guidance and counseling, and support for the improvement and expansion of special and innovative programs.

This program supports research and development services and technical assistance activities.

USES AND USE RESTRICTIONS

Projects must contribute to capacity building in the area of educational equity for women by producing exemplary results and/or products to be used by organizations, agencies, and individuals other than the award recipient and thereby to have a substantial national impact in furthering the purpose of providing educational equity for women. Funds are limited to capacity building and are not available to benefit one or more agencies, organizations, or individuals to meet their own needs. Support in certain professional or occupational areas is limited to costs directly related to the provision of educational equity for women and does not extend to the general costs of training in these fields. JOINT FUNDING: This program is considered suitable for joint funding with closely related Federal financial assistance programs in accordance with the provisions of OMB Circular No. A-111. For programs that are not identified as suitable for joint funding, the applicant may consult the headquarters or field office of the appropriate funding agency for further information on statutory or other restrictions involved.

TYPES OF ASSISTANCE

Project Grants (Contracts). Technical assistance and education services.

FORMULA AND MATCHING GRANTS

None are required.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
State and local government, private nonprofit organizations and institutions, and individuals. Assistance is available to Guam, American Samoa, Trust Territory of the Pacific Islands, Government of the Northern Marianas, Virgin Islands, and Puerto Rico.

BENEFICIARY ELIGIBILITY:
Same as Applicant Eligibility.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: There is no preapplication coordination required.

Application Procedure: Secure application forms and instructions from Women's Program Staff, Office of Education after solicitation announcement in the Federal Register. Secure copies of Requests for Proposals from Grants and Procurement Management Division, Office of Education, after solicitation announcements in the Commerce Business Daily. Prepare and address submissions according to published directions. Approximately 1 of 10 applications is funded. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure: Applications and proposals are reviewed by a broad representative panel according to published criteria. Final decisions on grants are made by the Commissioner on the basis of (1) these applicable criteria, and (2) the need to support a variety of projects in this area. Notification of awards must be made to the designated State Central Information Reception Agency in accordance with Treasury Circular 1082.

Deadlines: Deadlines are established for grants each year by notices published in the Federal Register. In 1979, deadline was November 17, 1978, for new applications. Established for contracts in solicitation announcements in Commerce Business Daily.

Range of Approval/Disapproval Time: Two-to-four months is the approval/disapproval time range for applications and proposals.

Appeals: There is no provision for appeals.

Renewals: Awards are made annually. The Commissioner may indicate the intention to support projects on a multiyear basis contingent on the availability of funds, project performance, and applicable priorities.

APPROPRIATIONS

Fiscal Year 1978	$ 8,000,000
Fiscal Year 1979	9,000,000
Fiscal Year 1980	10,000,000 (estimated)
Fiscal Year 1981	20,000,000 (estimated)

PROGRAM ACCOMPLISHMENTS

In fiscal year 1978, 70 grants and 6 contracts were awarded. Contracts for fiscal year 1978 continue efforts begun in fiscal years 1976 and 1977 such as the National Communication Network, Title IX Equity Workshop, program coordination, dissemination of products and programs developed by WEEAP grants, and technical assistance to individuals in developing projects in the area of women's educational equity. A new contract was awarded for

TV and radio materials related to women's educational equity. Programs that focus on education for victims of abuse who have been displaced from their homes, preventive education for teenage girls, or specialized counseling for abused women might be potential applicants for Title IX funds.

ENABLING LEGISLATION

The Women's Educational Equity Act of 1974; Public Law 93-380, Section 408; 86 Stat. 554; 20 U.S.C. 1866, as amended by Sections 325 and 501(a)(3) of Public Law 94-482; 90 Stat. 2220 and 2335.

INFORMATION CONTACT

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Office of Education
Bureau of School Improvement
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400 Maryland Avenue, S.W.
Washington, D.C. 20202
Telephone: (202) 245-2181

13.596 Career Education State Allotment Program

PROGRAM DESCRIPTION

The objective of this program is to enable State and local education agencies to implement career education in elementary and secondary schools.

This program supports direct services.

USES AND USE RESTRICTIONS

May be used only to support career awareness, exploration, decisionmaking activities that are free of or designed to eliminate bias and stereotyping based on race, sex, age, economic status, or handicap.

TYPES OF ASSISTANCE

Formula Grants.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Grantees: State educational agencies and Insular Area education agencies.
Subgrantees: Local educational agencies.

BENEFICIARY ELIGIBILITY:
Students in elementary and secondary schools in States and Insular Areas.

CREDENTIALS/DOCUMENTATION:
See Part 161 of Title 45 of the Code of Federal Regulations. Costs must be determined in accordance with FMC 74-4 for State and local governments.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102 must be used by this program.

Application Procedure: Submit State Plan by July 1, 1979.

Award Procedure: (a) To receive the fiscal year 1979 allotment of funds - each State and Insular Area must submit the Assurances required in Section 6 of the Career Education Incentive Act, (b) to receive the fiscal year 1980 allotment of funds, each State and Insular Area must submit the State plan required under Section 7 of the Career Education Incentive Act, (c) to receive its allotment of funds for fiscal years 1981, 1982, and 1983, each State and

Insular area must: (1) submit the reports required under Section 14 of the Career Education Incentive Act, and (2) be in compliance with sections 7 and 8 of the Career Education Incentive Act.

Deadlines: Fiscal Year 1979 - June 14, 1979, Fiscal Year 1980 - July 1, 1979, Fiscal Year 1981, 1982, and 1983 December 31 of each year with the submission of the report required under Section 14 of the Act.

Appeals: Not applicable.

Renewals: Not applicable.

APPROPRIATIONS

Fiscal Year 1979	$18,700,000
Fiscal Year 1980 (est.)	$18,700,000

PROGRAM ACCOMPLISHMENTS

Not applicable. (New program)

ENABLING LEGISLATION

Career Education Incentive Act (Public Law 95-207); 20 U.S.C. 2601-2614, 20 U.S.C. 881.

INFORMATION CONTACT

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Department of Health and Human Services
Public Health Service
Health Services Administration

13.224 Community Health Centers

PROGRAM DESCRIPTION

The purpose of the program is to support the development and operation of community health centers which provide primary health services, supplemental health services, and environmental health services to urban and rural medically underserved areas. Priorities are focused on capacity building in medically underserved areas and maintenance of existing centers, expansion of population and service coverage in existing centers, monitoring and assessing project performance, developing and implementing mechanisms for improving quality of care, and maximizing third party reimbursement levels through improved project administration and management.

USES AND USE RESTRICTIONS

Services must be provided in accordance with plans of the State Health Systems Agencies. Inservice proposals designed to improve the availability, accessibility, and organization of health care within urban and rural medically underserved communities. Funds may be used for acquiring and modernizing existing buildings including the costs of amortizing the principle of and paying the interest on loans. Unallowable costs include: contingency reserves; entertainment; equipment in excess of $1,000 which is specifically related to achieving the objectives of the project and general purpose equipment in excess of $200 require prior HSA approval; foreign travel; honorarium; library support; property taxes; trainee stipends; and subgrants.

TYPES OF ASSISTANCE

Project Grants.

FORMULA AND MATCHING GRANTS

To assure that a maximum number of projects are supported by available Federal funds, it is required that each applicant apply for financing as large a part of the project cost as possible.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Grants are made to State and local governments, any public or nonprofit private agency, institution or organization.

BENEFICIARY ELIGIBILITY:
Population groups in urban and rural medically underserved areas.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: Necessary coordination varies; however, applicants must secure a Certification of DHHS Model Cities Relatedness (OMB Form 85-R0145) from the local City Demonstration Agency Director for projects with a significant impact in the Model Neighborhood Areas of Model Cities. Contact the HHS regional offices for details. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used by State and local government applicants. Applications are subject to State and areawide clearinghouse review pursuant to procedures in Part I, Attachment A of OMB Circular No. A-95 (revised).

Application Procedure: State and local governments must prepare a Form HHS 5161, Application for Federal Assistance (Nonconstruction), fully documenting the need for the grant and the proposed amount for the project. Other nonprofit organizations must complete Form PHS-5194, Grant Application for Health Services, documenting the need for and the proposed amount of the grant. The Regional Health Administrator has approval authority for the programs. Applications must be given to comprehensive health planning - areawide agencies and other designated organizations for review and approval. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure: After approval by the Regional Health Administrator, the regional grants management office prepares a notice of award, secures necessary clearance and approval signatures, issues the award, and enters the approved award in the grant payment process. Provides notification of the grant approval to the public. Notification of grant award must be made to the designated State Central Information Reception Agency in accordance with Treasury Circular 1082.

Deadlines: None. Applications may be submitted at any time during the year.

Range of Approval/Disapproval Time: 45 to 90 days.

APPROPRIATIONS

Obligations:

(Grants)	
Fiscal Year 1977	$215,148,000
Fiscal Year 1978	$247,000,000
Fiscal Year 1979	$247,000,000
Fiscal Year 1980	$320,000,000

Range and Average of Financial Assistance: $25,000 to $4,000,000: $1,203,731.

PROGRAM ACCOMPLISHMENTS

The main thrust of Section 330 has been in support of Community Health Centers (CHC) in medically underserved areas and these centers offered a wide range of comprehensive ambulatory care services.

ENABLING LEGISLATION

PHS Grants Policy Statement, DHEW Publication No. (OS) 76-50,000 October 1, 1976. (Revised)

INFORMATION CONTACTS

Regional or Local Office: Each Regional Health Administrator of the HHS regional offices is responsible for the administration of this program.

Headquarters Office:

Associate Bureau Director for Community Health Centers
Bureau of Community Health Service
Room 7A-55
Parklawn Building
5600 Fishers Lane
Rockville, Maryland 20857
(301) 443-2260

13.228 Indian Health Services--Health Management Development Program

PROGRAM DESCRIPTION

The objective of this program is to raise the health of Indians and Alaska Natives to the highest level possible and to provide increasing opportunities for Indian management and operation of Indian Health Service (IHS) health programs. The IHS also acts as the principal Federal health advocate for Indian people by assuring that they have knowledge of, and access to, all Federal, State, and local health programs to which they are entitled as American citizens.

USES AND USE RESTRICTIONS

The assistance is used for the purpose of building the tribes' capability to staff their health program. This assistance is only available to federally recognized tribes and tribal organizations. JOINT FUNDING: This program is considered suitable for joint funding with closely related Federal financial assistance programs in accordance with the provisions of OMB Circular No. A-111. For programs that are not identified as suitable for joint funding, the applicant may consult the headquarters or field office of the appropriate funding agency for further information on statutory or other restrictions involved.

TYPES OF ASSISTANCE

Project Grants. Direct services in the areas of medical care, transportation, food supplements, alcohol and drug abuse counseling, employment counseling, public health education, and information and referral.

FORMULA AND MATCHING GRANTS

Not applicable.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Federally recognized tribes and tribal organizations.

BENEFICIARY ELIGIBILITY:
Generally, individuals who are members of an eligible applicant tribe, band, or group or village and who may be regarded as within the scope of the Indian health and medical service program and who are regarded as an Indian by the community in which he lives as evidenced by such factors as tribal membership, enrollment, residence on tax exempt land, ownership of restricted property,

active participation in tribal affairs or other relevant factors in keeping with general Bureau of Indian Affairs practices in the jurisdiction.

CREDENTIALS/DOCUMENTATION:
None for direct services. Provisions of grants and contracts under Public Law 93-638 require tribal endorsement in the form of a Resolution or other instrument used by tribes to sanction tribal participation. Costs will be determined in accordance with FMC 74-4 for State and local governments as implemented through program regulations 42 part 36 and applicable grant administration regulations 45 CFR 74 as referenced in 42 Part 36.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: An applicant under Public Law 93-638 seeking to serve more than one tribe must have approval of each tribe involved. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. An environmental impact statement is required for this program.

Application Procedure: Not applicable to direct health services provided through Federal facilities or under contract with community facilities and private physicians and dentists. Tribal applications under Public Law 93-638 to man and manage these services and facilities may be submitted to respective IHS area office.

Award Procedure: Public Law 93-638 contracts are limited competition. Awards up to $100,000 will be made by IHS area offices; over $100,000 by IHS Headquarters. Grants are awarded on a limited competitive basis and are processed through the area offices with final approval by headquarters.

Deadlines: For Public Law 93-638 grants there are no deadlines. No deadline for submitting grant applications unless required for a specific grant project.

Range of Approval/Disapproval Time: 60 days. Grants and contracts are approved/disapproved within sixty (60) days from receipt of application/proposal.

Appeals: Two IHS appeals boards for Public Law 93-638 contracts: Declination Board to handle appeals related to IHS refusal to contract and Termination Board to handle appeals related to termination of a contract. Grants appeals will follow PHS appeals procedures: 42 CFR 50, Subpart D and DHEW appeals procedures: 45 CFR Part 16.

Renewals: Public law 93-638 contracts will be awarded for 1 year except in some instances in which the award may be for 3 years. Grants renewal up to 1 year.

APPROPRIATIONS

(Grants)	
Fiscal Year 1978	$17,870,921
Fiscal Year 1979	$12,816,232
Fiscal Year 1980 (est.)	$15,000,000

Range and Average of Financial Assistance: Average projects are $50,000, highest $1,000,000.

PROGRAM ACCOMPLISHMENTS

In fiscal year 1979, 135 applications were received and of that number 125 projects were awarded to include the following types: 43 planning, 6 feasibility studies, 9 training, 11 developmental; 29 provisioning, 2 evaluation, and 25 operational. In fiscal year 1980 it is estimated that approximately 90 projects will be awarded. Estimate for 1981 is 100.

ENABLING LEGISLATION

Act transferring responsibility for health services to Indians from Bureau of Indian Affairs (Interior) to Public Health Service; Public Law 83-568; 42 U.S.C. 2001-2004a; Indian Self-Determination Educational Assistance Act; Public Law 93-638, Section 104(b) approved January 4, 1975 (25 U.S.C. 450).

INFORMATION CONTACT

Chief, Contracts and Grants Management Branch
Indian Health Services
Health Services Administration
Public Health Service
5600 Fishers Lane, Room 6A-29
Rockville, MD 20857
(301) 443-5204

Department of Health and Human Services
Public Health Service
Health Services Administration

13.231 Maternal and Child Health Research (Child Health Research Grants Program)

PROGRAM DESCRIPTION

The objective of this program is to provide research projects relating to maternal and child health services or crippled children's services which show promise of substantial contribution to the advancement of such services.

This program supports research.

USES AND USE RESTRICTIONS

Support of research activities is granted. Grants are not available to individuals even though they may be affiliated with a nonprofit organization. JOINT FUNDING: This program is considered suitable for joint funding with closely related Federal financial assistance programs in accordance with the provisions of OMB Circular No. A-111. For programs that are not identified as suitable for joint funding, the applicant may consult the headquarters or field office of the appropriate funding agency for further information on statutory or other restrictions involved.

TYPES OF ASSISTANCE

Project Grants.

FORMULA AND MATCHING GRANTS

To assure that a maximum number of projects are supported by available Federal funds, it is required that each applicant finance as large a part of the project cost as possible.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Grants may be made to public or other nonprofit institutions of higher learning, and to public or other nonprofit agencies including State and local governments and organizations engaged in research or in maternal and child health or crippled children's programs.

BENEFICIARY ELIGIBILITY:
Same as Applicant Eligibility.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: Informal inquiries regarding the program and

indication of intent to submit a project should be submitted to the headquarters office. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used by State and local applicants.

Application Procedure: Applicants will be requested to provide the following information in justification of their request for Federal support: (1) A narrative description of the project to include: (a) the problem to be dealt with and its practical and theoretical significance; (b) methods and procedures to be utilized; (c) available facilities and, for field studies, evidence of access to the area and of cooperative arrangements with other agencies concerned; (d) previous work on the subject by the applicant and results to date; (e) biographical sketches and major publicatons of the principal project personnel; and (f) a summary of available knowledge and results obtained by others in the proposed research area; (2) The project budget showing the amount of Federal funds requested and amount to be provided by the applicant and others. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure: Project applications are reviewed by appropriate members of the staff of the Bureau of Community Health Service, by non-Governmental experts who serve as a panel of correspondents, and by a Review Committee of non-Governmental experts, who receive the comments of others, discuss the merits of the proposals, and make recommendations for action. After considering the recommendations of the Review Committee, the Associate Bureau Director of the Office for Maternal and Child Health makes the final decision in regard to approval of applications.

Deadlines: March 1; August 1; December 1.

Range of Approval/Disapproval Time: 4 months.

Appeals: Applicants may reapply for support if revised applications are submitted.

Renewals: After initial award, project may be renewed annually.

APPROPRIATIONS

(Grants)	
Fiscal Year 1978	$5,300,000
Fiscal Year 1979	$5,300,000
Fiscal Year 1980	est. $5,300,000

PROGRAM ACCOMPLISHMENTS

During fiscal year 1979, 112 applications were received and 53 awards totaling an estimated $5,300,000 were made. Examples of areas being or to be investigated are: Health status of and health services for mothers and children in urban and rural communities; new approaches to providing maternity health services, methods of increasing the effectiveness of child health programs, especially services for school aged children and for mentally retarded children; prevalence of handicapping conditions; cost of services; evaluation of the effectiveness of programs; nutrition; and programs and

services for teenage parents.

ENABLING LEGISLATION

Social Security Act as amended; Public Law 86-778, Section 512; 42 U.S.C. 712.

INFORMATION CONTACT

Headquarters Office:
John J. Hutchings, M.D. or
Gontran Lamberty
Office for Maternal and Child Health
Bureau of Community Health Services
Department of Health and Human Services
5600 Fishers Lane
Rockville, MD 20857
(301) 443-2190

Department of Health and Human Services
Public Health Service
Health Services Administration

13.232 Maternal and Child Health Services (MCH)

PROGRAM DESCRIPTION

The objectives of this program are: To provide financial support to states (1) to extend and improve services (especially in rural areas and in areas suffering from severe economic distress) for reducing infant mortality and improvement of the health of mothers and children, (2) to provide programs of projects which offer reasonable assurance, particularly in areas with concentrations of lowincome families, of satisfactorily (a) helping to reduce the incidence of mental retardation and other handicapping conditions caused by complications associated with childbearing and satisfactorily helping to reduce infant and maternal mortality; (b) promoting the health of children and youth of school or preschool age; and (c) promoting the dental health of children and youth of school or preschool age, and (3) for special projects of regional or national significance which may contribute to the advancement of maternal and child health services.

This program supports direct services.

USES AND USE RESTRICTIONS

The grants may be used for health services in maternity clinics, to find vulnerable patients early in pregnancy and make available a broad spectrum of diagnostic and specialist consultation services, to provide hospitalization during the prenatal period as well as during labor and delivery, for medical and intensive nursing care for prematurely born and other high-risk infants, visits of public health nurses, support of hospital intensive care units for high-risk newborn infants, well-child clinics, pediatric clinics, promotion of health services and for screening, diagnosis, treatment, correction of defects, and after-care, both medical and dental, for children and youth of school and preschool age, school health programs, dental care for children and pregnant women, family planning, immunizations against preventable diseases, and training of professional personnel. States conduct special clinics for mentally retarded children where diagnostic, counseling, treatment, and follow-up services are provided. These grants may be used for the provision of health services and the purchase of services and care from hospitals, and other providers. States may also include, in their dental care programs of projects, research looking toward the development of new methods of diagnosis or treatment, or demonstration of the utilization of dental personnel with various levels of training. Funds may not be used for purchase or construction of buildings; for salaries of personnel paid from other Federal grant funds; and certain other miscellaneous items as specified in regulations. JOINT FUNDING: This program is considered suitable for joint funding with closely related Federal financial assistance programs in accordance with

the provisions of OMB Circular No. A-111. For programs that are not identified as suitable for joint funding, the applicant may consult the headquarters or field office of the appropriate funding agency for further information on statutory or other restrictions involved.

TYPES OF ASSISTANCE

Formula Grants; Project Grants.

FORMULA AND MATCHING GRANTS

One-half of the maternal and child health funds are apportioned among the states by a formula specified in the law (Section 503(1)). These funds are referred to as Fund A. Each State receives a grant of $70,000, and such part of the appropriation remaining as the number of live births in the State bears to the total number in the United States. States must match dollar for dollar the funds allotted to them under this section. The other half of the maternal and child health funds (Section 503(2)) is known as Fund B. From this fund an amount is administratively allocated for special projects. The remainder of Fund B is apportioned among the State for assistance in carrying out its State plan. No matching is required for the funds allotted under this section.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Formula grants are available to State health agencies. Limited project grants are available to State health agencies and to institutions of higher learning for special projects.

BENEFICIARY ELIGIBILITY:
Mothers, infants, and children in need of health care, and trainees in the health professions.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: For special projects, the standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program by State health agencies. No application is necessary for formula grant-in-aid. Applications are subject to State and areawide clearinghouses review pursuant to procedures in Part I, Attachment A of OMB Circular No. A-95 (revised).

Application Procedure: State Health Agencies are required to submit certifications that meet the conditions of plan approval specified in the Social Security Act and that assure high-quality service. These plans are approved by the Regional Health Administrator. Applications for special project grants are reviewed by the Bureau of Community Health Services central or regional staff, as appropriate, and a non-Federal panel of experts. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure: The Regional Health Administrator makes final decisions to approve or withhold approval pending compliance with HHS policies. The Regional Office is responsible for providing notification of the grant approval

to the State health agency as well as the designated State Central Information Reception Agency in accordance with Treasury Circular 1082. Project grants to institutions of higher learning are approved by the Associate Bureau Director for Maternal and Child Health, BCHS, HSA.

Deadlines: None, except that State plan budgets must be submitted annually.

Range of Approval/Disapproval Time: Not applicable for formula grants. Project grants: 90 to 270 days from the time of first contact.

Appeals: Not applicable.

Renewals: This program is ongoing and is renewed annually. Grantees are required to update their plan and submit a current year budget.

APPROPRIATIONS

(Grants)

Fiscal Year 1978		$235,000,000
Fiscal Year 1979		$243,400,000
Fiscal Year 1980	est.	$243,400,000

PROGRAM ACCOMPLISHMENTS

Formula Grants - 57 applications received and 57 awards made. Project grants - 130 applications received and 120 awards made. In an effort to further reduce the Nation's infant mortality rate, increased emphasis is being given to providing family planning services through this program. Preliminary estimates indicate that about 2,610,000 women received family planning services in fiscal year 1979 from all sources. In fiscal year 1979, an estimated 2,520,500 children attended well-clinics. In fiscal year 1979, States were assisted in the provision of prenatal and postpartum care to approximately half of the women receiving services in clinics especially in rural areas. State Maternal and Child Health Agencies are required to carry out a program of projects in each of five areas; maternity and infant care, comprehensive care of children and youth, dental care for children, infant intensive care, and family planning. The maternity and infant care projects in fiscal year 1979 provided comprehensive health care to approximately 740,500 mothers and infants and continued to exercise influence on reductions in infant mortality. In many of the children and youth projects, the cost of care per child is decreasing because of the emphasis on comprehensive rather than emergency or episodic care. The extent of this should be to extend preventive services to a larger number of children in succeeding fiscal years. Approximately 1,000,000 children received dental care from all sources in fiscal year 1979. Intensive infant care project provides increased medical and nursing supervision, care by personnel specifically trained in such fields as treatment of cardiopulmonary failure and respiratory distress in newborns, and use of special equipment in an attempt to combat high infant mortality rates for infants born prematurely or with conditions detrimental to their normal growth and development. It is expected that in fiscal years 1980 and 1981, these levels will be maintained.

ENABLING LEGISLATION

Social Security Act, Public Law 74-271, Title V, Section 503, 42 U.S.C. 703.

INFORMATION CONTACT

Office for Maternal and Child Health
Bureau of Community Health Services
Department of Health and Human Services
5600 Fishers Lane
Rockville, MD 20857
(301) 443-2190

Department of Health and Human Services
Public Health Service
Health Services Administration

13.233 Maternal and Child Health Training

PROGRAM DESCRIPTION

The objective of this program is to train personnel for health care of and related services for mothers and children, particularly mentally retarded children and children with multiple handicaps.

This program supports training activities.

USE AND USE RESTRICTIONS

These grants may be used to provide support for faculty, traineeships, services, clinical facilities, short term workshops and institutes, and related support items. Unallowable costs are those cited in 45 CFR 74, where applicable to 42 CFR 51a, Subpart D, and any other restrictions cited in the Notice of Grant Award. JOINT FUNDING: This program is considered suitable for joint funding with closely related Federal financial assistance programs in accordance with the provisions of OMB Circular No. A-111. For programs that are not identified as suitable for joint funding, the applicant may consult the headquarters or field office of the appropriate funding agency for further information on statutory or other restrictions involved.

TYPES OF ASSISTANCE

Project Grants.

FORMULA AND MATCHING GRANTS

None.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
These grants are available to public and other nonprofit institutions of higher learning.

BENEFICIARY ELIGIBILITY:
Trainees in the health professions and handicapped children who receive services provided through the training programs.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: None.

Application Procedure: Applications in response to notices of availability

of funds published in the Federal Register may be submitted to the Grants Management Branch, Bureau of Community Health Services. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure: Grant awards are processed in the Central Office. Applications are reviewed in accordance with policies for objective review using an ad hoc panel of readers, both external to and within the awarding program. Their recommendations are forwarded to the Associate Bureau Director, Office for Maternal and Child Health, Bureau of Community Health Services, Health Services Administration who makes final decision to approve, defer or reject individual projects. Applicants are notified regarding the final decision on each application, and all projects approved are furnished a Notice of Grant Award.

Deadlines: None.

Range of Approval/Disapproval Time: 90 to 270 days from the time of first contact.

Appeals: Not applicable.

Renewals: Project continuations are awarded yearly based on the submission of a new budget, and a progress report providing evidence that the project plan is being carried out satisfactorily.

APPROPRIATIONS

(Grants)

Fiscal Year 1978	$24,054,000
Fiscal Year 1979	$26,877,000
Fiscal Year 1980	est. $26,543,000

PROGRAM ACCOMPLISHMENTS

Advanced training has been provided to an estimated 620 professionals in maternal and child health fields in 1979, primarily through programs in university affiliated mental retardation centers and in programs training personnel who serve to extend the function of the physician. In addition to direct support of the trainees, the staff and facilities supported by these grants provided indirect support for the training of several thousand additional personnel. A full range of clinical services was also provided for thousands of mentally retarded and multiple handicapped children. In fiscal year 1979, approximately 10 new applications were received of which 3 were funded.

ENABLING LEGISLATION

Title V, Section 511, Social Security Act, Public Law 89-97 as amended; 42 U.S.C. 711.

INFORMATION CONTACTS

John J. Hutchings, M.D. or
James J. Papa
Office for Maternal and Child Health
Bureau of Community Health Services
Department of Health and Human Services
Rockville, MD 20857
(301) 443-2340

Department of Health and Human Services
Public Health Service
Alcohol, Drug Abuse, and Mental Health Administration

13.242 Mental Health Research Grants

PROGRAM DESCRIPTION

The objectives of this program are: to develop new knowledge and approaches to the causes, diagnosis, treatment, control, and prevention of mental diseases through basic, clinical, and applied research, investigations, experiments, demonstrations, and studies; to develop and test new models and systems for mental health services delivery and otherwise develop and improve knowledge relevant to the provision of mental health services through organized systems and networks of services. Areas of special interest include, epidemiology, early child care, metropolitan mental health problems, crime and delinquency including domestic violence, minority group mental health problems, rape prevention and control, and mental health of the aging. One of the research grant programs, entitled "Small Grants," provides support to develop and test new techniques or methods; to exploit an unexpected research opportunity, to analyze collected data, or to carry out exploratory studies.

USES AND USE RESTRICTIONS

Research grants provide support for: (a) Clearly defined projects or a small group of related research activities, and when appropriate, support of conferences, and translation of publications; (b) large-scale, broad-based programs of research, usually interdisciplinary, consisting of several projects with a common focus; (c) small-scale exploratory and pilot studies or exploration of an unusual research opportunity; and (d) research development in areas lacking in adequate research activity and in which NIMH has a direct interest. Particular areas of research focus include psychopharmacology, child mental health, suicide, crime and delinquency, other social problems, mental health services and epidemiology of mental health problems. Funds may be used only for expenses directly related to the approved research project. Small grants are limited to $10,000 direct costs for a period of one year or less.

TYPES OF ASSISTANCE

Project Grants.

FORMULA AND MATCHING GRANTS

None, but grantees must share some costs. Provisions of HHS's appropriation provide that funds may not be used to pay any recipient of a research grant an amount equal to the entire cost of the project.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Investigators affiliated with public or nonprofit private agencies, including State, local, or regional government agencies, colleges, hospitals, academic or research institutions, and other organizations, may apply for research grants. Small grants are primarily intended for the newer, less experienced investigator, and others who do not have regular research support or resources from their institution.

BENEFICIARY ELIGIBILITY:
Same as applicant eligibility.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: Preapplication consultation with the National Institute of Mental Health is not mandatory, but is encouraged. Consultation on a proposed project may be obtained from the NIMH branch or center responsible for the research area of interest.

Application Procedure: Research grant applications are unsolicited. Application kits, containing the necessary form NIH 398 (formerly PHS 398) and instructions, if not available at applicant institution, may be obtained from Grants and Contracts Management Branch, National Institute of Mental Health. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for applicants that are State and local governments. Applications are reviewed by non-Federal consultants recruited nationwide from the mental health field. The amounts of the award and period of support are determined on the basis of merit of the project.

Award Procedure: Research grants in support of projects recommended for approval by the National Advisory Mental Health Council and approved for payment are awarded directly by the NIMH to the applicant institution.

Deadlines: November 1, March 1, and July 1 are the deadline dates for receipt of research grant applications in excess of $10,000 in direct costs. Small Grant applications may be submitted at any time; however, applications for summer support must be received by December 1 (for June starting dates) and by February 1 (for July and August starting dates).

Range of Approval/Disapproval Time: 240 to 270 days from submission of application.

Appeals: Applicant may revise and resubmit application.

Renewals: Support is recommended for a specified project period, usually not in excess of 5 years. Prior to termination of a project period, the grantee may apply for renewal of support for a new project period. An application for renewal is processed as a new competing request. Small grants are not renewable.

APPROPRIATIONS

(Grants)

Fiscal Year 1978	$79,530,000
Fiscal Year 1979	$96,266,000
Fiscal Year 1980	est. $104,799,000

ENABLING LEGISLATION

Public Health Service Act, Section 301(c); Public Law 78-410 as amended (42 U.S.C. 241, 242a).

Domestic Violence Research

The Center for Studies of Crime and Delinquency is the focal point for most NIMH research in the domestic violence area. Studies supported by the Center have included the first national survey on the incidence of domestic violence, a study of utilization of hospital services by battered women, detailed interviews with a stratified sample of 400 self-identified battered women, a study of the relationship of domestic violence to other forms of violence. Other NIMH programs can support research on domestic violence that is relevant to their specific areas of interest -- e.g., rape and other sexual abuse, minority group mental health, and mental health of the aging.

INFORMATION CONTACTS

Dr. Saleem A. Shah, Chief
Mr. Thomas L. Lalley, Deputy Chief
Center for Studies of Crime and Delinquency
National Institute of Mental Health
Department of Health and Human Services
5600 Fishers Lane
Rockville, MD 20857
(301) 443-3728

Dr. Mary H. Lystad, Acting Chief
National Center for the Prevention and
Control of Rape
National Institute of Mental Health
Department of Health and Human Services
5600 Fishers Lane
Rockville, MD 20857
(301) 443-1910

Dr. Gene D. Cohen, Chief
Center for Studies of the Mental Health of the Aging
National Institute of Mental Health
Department of Health and Human Services
5600 Fishers Lane
Rockville, MD 20857
(301) 443-1185

Dr. James R. Ralph, Chief
Center for Studies of Minority Group Mental Health
National Institute of Mental Health
Department of Health and Human Services
5600 Fishers Lane
Rockville, MD 20857
(301) 443-3724

Department of Health and Human Services
Public Health Service
Mental Health Clinical or Service Related Training Grants

13.244 Mental Health Clinical or Service Related Training Grants

PROGRAM DESCRIPTION

The objective of this program is to support training activities that meet specific priorities that are deemed necessary to implement current Federal mental health services manpower policy. Subject to availability of funds, awards are made for grants in the following areas: (1) educating/training personnel to provide mental health services to unserved or underserved geographic areas, populations, and mental health facilities; (2) increasing the supply of minority manpower for various roles in mental health service delivery systems; (3) developing strategies for primary prevention across all mental health services manpower development and clinical training programs; (4) increasing the mental health skills and knowledge of general health care personnel and preparing mental health specialists to work more effectively with the health care field; (5) facilitating the development of effective and efficient systems for mental health manpower development, with special attention to linkages between institutions that educate or train mental health personnel and agencies that use such personnel for delivery of mental health services; and (6) generating and disseminating information, data, and innovative models for mental health services manpower development that address such issues as: recruitment, training/education, distribution, utilization, and credentialing. With respect to (1) above "unserved or underserved geographic areas" are defined as rural, inner city, or other specific geographic areas with unmet mental health needs. "Unserved or underserved populations" are defined as people suffering from chronic mental illness or disabilities, minorities, children, youth, the aged, juvenile and adult offenders, victims of violence, and their families. "Unserved and underserved public mental health facilities" are defined as including state and county hospitals, including security hospitals; community-based mental health service agencies, especially Community Mental Health Centers (CMHCs); and the mental health components of correctional institutions.

USES AND USE RESTRICTIONS

Grant funds may be used: (1) To defray institution costs of the training program (personnel, supplies, equipment, travel, and other necessary expenses); and (2) to provide trainee stipends and other allowances (tuition, and fees, etc.) for individuals enrolled in the training program. Funds may be used only for expenses directly related to the training program.

TYPES OF ASSISTANCE

Project Grants.

FORMULA AND MATCHING GRANTS

Not applicable.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Grants are awarded to public or private nonprofit institutions and organizations, and State and local government agencies for training relevant to mental health service priorities in the mental health disciplines of psychiatry, psychology, social work, psychiatric nursing, and for paraprofessional training in other areas relevant to mental health, and in specialized areas of high priority and need such as State manpower development and mental health agencies to plan, implement, and evaluate systems for mental health services manpower development. (See 13.282 to apply for research training support.)

BENEFICIARY ELIGIBILITY:
Trainee stipends may be awarded only to citizens or nationals of the United States, or to persons lawfully admitted for permanent residence. Individuals seeking trainee stipend support must apply directly to and be accepted by the training institution, and submit an acceptable statement of appointment on Trainee Form (PHS-2271).

APPLICATION AND AWARD PROCESS

Preapplication Coordination: Preapplication consultation with the National Institute of Mental Health is not mandatory but is encouraged. Consultation on a proposed project may be obtained from the NIMH branch or center responsible for the training area of interest.

Application Procedure: Training grant applications are unsolicited. Application kits, containing the necessary form (PHS 2499-1) and instructions for completing the form, may be obtained from Grants and Contracts Management Branch, National Institute of Mental Health. Applications are reviewed by non-Federal consultants recruited nationwide from the mental health field. Amount of award and period of support are determined on basis of merit and must also be reviewed by National Advisory Mental Health Council. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure: Grants in support of approved applications are awarded directly to the applicant institution by the National Institute of Mental Health.

Deadlines: Application deadlines are October 1, February 1, and June 1.

Range and Approval/Disapproval Time: Approximately 240 to 270 days from submission of application.

Appeals: Applicant may revise and resubmit application.

Renewals: Support of an approved project is recommended for a specified project period, usually not in excess of 5 years. Prior to termination of the project period, grantee may submit application for renewal of support which is processed as a new, competing application.

APPROPRIATIONS

(Grants)	
Fiscal Year 1978	$75,276,000
Fiscal Year 1979	$74,287,000
Fiscal Year 1980	est. $71,663,000

PROGRAM ACCOMPLISHMENTS

During fiscal year 1979, 1,401 applications were received and NIMH funded 1,205 clinical and/or, service related training grants, NIMH estimates funding 1,149 grants in fiscal year 1980.

ENABLING LEGISLATION

Public Health Service Act, Section 303; Public Law 78-410 as amended; 42 U.S.C. 242a.

Domestic Violence Services Training

The NIMH Center for Studies of Crime and Delinquency has supported multidisciplinary training in a major hospital center for the purpose of improving delivery of services to victims of domestic violence and testing a training model which may be suitable for implementation in other medical settings. The NIMH Division of Manpower and Training Programs has supported two projects in this area. One has involved development of a new training model for Masters of Social Work students which is focused on service needs of battered women. The other is aimed at assisting mental health and social services workers to increase their understanding of issues related to effective treatment of battered women and to develop more effective methods of service delivery through a community network intervention system.

INFORMATION CONTACTS

Dr. Saleem A. Shah, Chief
Mr. Ecford S. Voit, Jr.
Center for Studies of Crime and Delinquency
National Institute of Mental Health
Department of Health and Human Services
5600 Fishers Lane
Rockville, MD 20857
(301) 443-3728

Dr. William H. Denham
Director, Division of Manpower and Training Programs
National Institute of Mental Health
Department of Health and Human Services
5600 Fishers Lane
Rockville, MD 20857
(301) 443-4257

13.252 Alcoholism Treatment and Rehabilitation/Occupational Alcoholism Services Program

PROGRAM DESCRIPTION

To assure the availability of high quality treatment and rehabilitation services to alcohol abusers and alcoholics, in cooperation with accessible and available community-based resources.

This program supports direct services.

USES AND USE RESTRICTIONS

Funds may be used for part or all of the costs directly related to these programs, including salaries and fringe benefits, consultant fees, necessary travel, supplies and equipment, and other necessary expenses. Indirect cost reimbursement is allowable where appropriate at DHHS established rates. JOINT FUNDING: This program is considered suitable for joint funding with closely related Federal financial assistance programs in accordance with the provisions of OMB Circular No. A-111. For programs that are not identified as suitable for joint funding, the applicant may consult the headquarters or field office of the appropriate funding agency for further information on statutory or other restrictions involved.

TYPES OF ASSISTANCE

Project Grants (Contracts). Clients receive medical, transportation and child care services, volunteer and information and referral services, and family and individual counseling when alcoholism or alcohol abuse is the "motivating" or "causal" factor.

FORMULA AND MATCHING GRANTS

The applicant should contact NIAAA to ascertain whether or not administrative policy requires the applicant to share in the costs of these projects.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Applicants for grant support must be domestic public or private nonprofit organizations with appropriate expertise, including State, local, and U.S. Territorial Governments. Individuals and profitmaking organizations are not eligible for grant support.

BENEFICIARY ELIGIBILITY:
Problem drinkers, their families, and their communities.

CREDENTIALS/DOCUMENTATION:
Proof of nonprofit status (for private nonprofit organizations). Eligible costs will be determined in accordance with DHHS Regulation 45 CFR Part 74, Subpart Q. Appendix C of Subpart Q is applicable to State and local governments, and is based on FMC 74-4.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: An application must be accompanied by evidence of approval and recommendation by the appropriate State Health Services Agency or agencies. Applications are subject to State and areawide clearinghouses review pursuant to procedures in Part I, Attachment A of OMB Circular No. A-95 (revised). (If the application is for a statewide project that does not affect areawide or local planning and programs, notification must be sent to the State clearinghouse only.) In addition, these applications are subject to review and approval by the designated health systems agency for the health service area in accordance with procedures in 42 CFR Part 122, Subpart E. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program.

Application Procedure: Application forms and instructions may be obtained from State Alcohol Authorities. Limited Technical assistance may be obtained from the National Institute on Alcohol Abuse and Alcoholism. Completed applications are forwarded for review and recommendation to NIAAA, with copies to the regional office and the appropriate State agency or agencies. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure: Grants to support approved projects are made directly to the applicant organization by NIAAA, with notification to the designated State Central Information Reception Agency in accordance with Treasury Circular 1082.

Deadlines: New Applications: March 1, July 1, November 1. Renewal Applications: February 1, June 1, October 1.

Range of Approval/Disapproval Time: Approximately 270 days from submission of application.

Renewals: Grantees may submit application for additional period of support for competitive review.

Appeals: None.

APPROPRIATIONS

(Grants)	
Fiscal Year 1978	$58,436,000
Fiscal Year 1979	$56,020,000
Fiscal Year 1980 (est.)	$62,912,000
(Contracts)	
Fiscal Year 1978	$1,694,000
Fiscal Year 1979	$1,600,000
Fiscal Year 1980	$1,660,000

PROGRAM ACCOMPLISHMENTS

During fiscal year 1978, 618 applications were received. There were 514 grants made in fiscal year 1978, including 164 poverty grants, 117 grants for Indians, 123 community type programs and 110 grants to special populations. In fiscal year 1979, it is estimated that 406 grants will be awarded, and 406 in fiscal year 1980. In addition, NIAAA has initiated a special research and demonstration grant program concerned with domestic violence. A total of $400,000 for fiscal year 1980 will be granted to State, local, or private nonprofit organizations for developing models to deal effectively with alcohol-related domestic violence. Interested persons should contact the Division of Special Treatment and Rehabilitation, NIAAA, 5600 Fishers Lane, Rockville, MD 20857.

ENABLING LEGISLATION

The Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970, Public Law 91-616, as amended, Section 311, (42 U.S.C. 4577).

INFORMATION CONTACT

Lois R. Chatham, Ph.D.
Director
Alcoholism Treatment, Rehabilitation and Occupational Programs
Alcohol, Drug Abuse, and Mental Health Administration
Public Health Service
Department of Health and Human Services
5600 Fishers Lane
Rockville, MD 20857
(301) 443-2080

Department of Health and Human Services
Public Health Service
Alcohol, Drug Abuse, and Mental Health Administration

13.254 Drug Abuse Demonstration Programs

PROGRAM DESCRIPTION

These grants support projects which are Research Demonstration Programs and provide innovative techniques or services which can be replicated for other drug abuse programs.

This program supports research and demonstration projects.

USES AND USE RESTRICTIONS

These grants support projects which: (1) Provide for demonstration treatment and rehabilitation programs for employees in private and public sectors; (2) Provide for vocational rehabilitation counseling, education, and services for the benefit of persons in treatment programs and to encourage efforts to recruit, train, and employ participants in treatment programs; (3) Establish, conduct, and evaluate drug abuse treatment and rehabilitation programs with State and local criminal justice systems; (4) Determine courses of drug abuse in a particular area, prescribing methods for dealing with drug abuse in such an area, or conducting programs for dealing with drug abuse in such an area; (5) Improve drug maintenance techniques or programs; and (6) Establish, conduct, and evaluate drug abuse treatment programs. JOINT FUNDING: This program is considered suitable for joint funding with closely related Federal financial assistance programs in accordance with the provisions of OMB Circular No. A-111. For programs that are not identified as suitable for joint funding, the applicant may consult the headquarters or field office of the appropriate funding agency for further information on statutory or other restrictions involved.

TYPES OF ASSISTANCE

Project Grants (Contracts).

FORMULA AND MATCHING GRANTS

None are required.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Public or private nonprofit agency or organization with expertise in the appropriate area.

BENEFICIARY ELIGIBILITY:
Narcotic addicts and drug dependent persons.

CREDENTIALS/DOCUMENTATION:
Proof of nonprofit status. Costs will be determined in accordance with DHHS Regulation 45 CFR Part 74, Subpart Q. Appendix C of that subpart, which applies to State and local governments is based on FMC 74-4.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: Preapplication consultation with the NIDA is not mandatory, but is encouraged. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. Applications are subject to State and areawide clearinghouses review pursuant to procedures in Part I, Attachment A of OMB Circular No. A-95 (revised). In addition, these applications are subject to review and approval by the designated health systems agency for the health service area in accordance with procedures in 42 CFR Part 122, Subpart E.

Application Procedure: Application kits may be obtained from the National Institute on Drug Abuse. Specific instructions are contained in the kits. This program is subject to the provisions of OMB Circular No. A-110. Grants to support approved projects are made directly to the applicant agency by NIDA. A notification of award is sent to the State Central Information Reception Agency in accordance with Treasury Circular 1082 by NIDA.

Deadlines: February 1; June 1; October 1.

Range of Approval/Disapproval Time: Approximately 240 to 270 days from submission of application.

Appeals: Applicant may revise and resubmit application.

Renewals: Contact the National Institute on Drug Abuse.

APPROPRIATIONS

(Grants)		
Fiscal Year 1978		$7,481,000
Fiscal Year 1979		$6,221,000
Fiscal Year 1980	est.	$3,750,000
(Contracts)		
Fiscal Year 1978		$2,576,000
Fiscal Year 1979		$ 670,000
Fiscal Year 1980		$ 0

Range and Average of Financial Assistance: $24,000 to $399,000; $151,000.

PROGRAM ACCOMPLISHMENTS

During fiscal year 1979, 63 applications were received. Thirty-seven grants were awarded. Twenty awards are anticipated in fiscal year 1980. In addition, research is planned in the areas of abusers and prevention of domestic violence.

ENABLING LEGISLATION

Drug Abuse Office and Treatment Act of 1972, Public Law, 92-255, as amended, Section 410.

INFORMATION CONTACT

Rebecca Ashery
Public Health Analyst
Children of Addicts in Surrogate Care
National Institute on Drug Abuse
Alcohol, Drug Abuse, and Mental Health Administration
Department of Health and Human Services
Room 10A-31
5600 Fishers Lane
Rockville, MD 20857
(301) 443-4100

13.282 Mental Health National Research Service Awards for Research Training

PROGRAM DESCRIPTION

An individual National Research Service Award provides support to individuals for predoctoral and postdoctoral research training in specified mental health-related areas. An Institutional National Research Service Grant provides support and enables nonprofit institutions to develop research training opportunities for individuals interested in careers in a particular specified mental health-related field.

USES AND USE RESTRICTIONS

Individual awards are made to fellows seeking predoctoral or postdoctoral support for full-time research training in biomedical/behavioral shortage areas. An institutional allowance of up to $3,000 will be provided, upon request, to the sponsoring institution for each awardee. In addition, any domestic public or nonprofit institution may apply for an institutional research training award in a specified area of research from which a number of stipend awards will be made to individuals selected by the training program director at the institution. Support is available for both pre- and postdoctoral trainees. Actual tuition and fees are allowable for trainees. An additional amount up to $3,000 for predoctoral trainees and $5,000 for postdoctoral trainees may be requested for related institutional costs. Under NRS awards, individuals can be supported for up to a maximum of 3 years at the predoctoral level and up to 3 years at the postdoctoral level. Stipends are currently $5,040 (predoctoral) and $13,380 - $17,040 (postdoctoral), with the latter dependent upon the number of years of relevant experience. Research training support may not be used for intern or other clinical training.

TYPES OF ASSISTANCE

Project Grants.

FORMULA AND MATCHING GRANTS

None.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Support is provided for predoctoral and postdoctoral academic and research training only, in health and health-related priority areas which are specified by the National Institute of Mental Health and includes child mental health,

depression and suicide; schizophrenia; brain and behavior; psychoactive drugs, crime and delinquency; aging, minorities; program evaluation and mental health services management. (See Preapplication Coordination.) For postdoctoral support, individuals with a professional or scientific degree are eligible (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D. Eng., or equivalent domestic or foreign degree.) Proposed study must result in research training in specified shortage areas, and may offer opportunity to research health scientists, research clinicians, etc., to broaden their scientific background, or to extend their potential for research in health-related areas. Applicants must be citizens of the United States, or be admitted to the United States for permanent residency. They also must be nominated and sponsored by a public or private nonprofit institution having staff and facilities suitable to the proposed research training. All fellows and trainees receiving stipends must meet a payback requirement through approved research-oriented work, or by repayment of funds based on a formula which gives full credit for approved work completed.

BENEFICIARY ELIGIBILITY:
Same as Applicant Eligibility.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: Preapplication consultation with NIMH Staff is not mandatory but is encouraged. Prior to formal application, an applicant for an individual award must arrange for acceptance at a sponsoring institution by a sponsor who will supervise the training. Awards provide for training at the Alcohol, Drug Abuse, and Mental Health Administration, and at non-Federal public and nonprofit, private institutions. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program.

Application Procedure: Application forms and information concerning current areas of science being supported are available from the NIMH, 5600 Fishers Lane, Rockville, MD 20857. Completed forms should be submitted to the Division of Research Grants, NIH, Bethesda, MD 20014. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure: Applications are reviewed for scientific merit by non-Federal consultants recruited nationwide from the mental health field. Applications must also be reviewed by the National Advisory Mental Health Council. If recommended for approval and a decision to make an award is made, a formal award notice will be sent to the applicant and sponsor.

Deadlines: Applications for individual and institutional awards: February 1, June 1, and October 1.

Range Approval/Disapproval Time: Approximately 240 to 270 days from submission of application.

Appeals: Applications not favorably recommended may be revised and resubmitted. Additional time for review is 5 to 7 months.

Renewals: Awards to fellows in either program may be made for up to a maximum of 3 years. Institutional grants may be made for project periods of up to 5

years. Prior to termination of the project period, grantee may submit an application for renewal of support which will be processed as a competing application.

APPROPRIATIONS

(Grants)	
Fiscal Year 1978	$ 9,266,000
Fiscal Year 1979	$16,065,000
Fiscal Year 1980	est. $18,691,000

PROGRAM ACCOMPLISHMENTS

In fiscal year 1979, 719 applications were received, 195 Individual Research Fellowships and 135 Institutional Grants were supported. Based on dollars available and the average award, it is anticipated that 190 Individual Awards and 189 Institutional Grants were funded in fiscal year 1979 for NRSA research training, and 184 Individual Awards and 139 Institutional Grants are estimated for fiscal year 1980.

ENABLING LEGISLATION

Public Health Service Act, Title IV, Section 472; Public Law 93-348 as amended; (42 U.S.C. 2891-1).

DOMESTIC VIOLENCE RESEARCH TRAINING

The NIMH Center for Studies of Crime and Delinquency has awarded an institutional National Research Service Grant to the University of New Hampshire in order to provide training at the predoctoral and postdoctoral levels for social scientists interested in studying family violence.

INFORMATION CONTACTS

Dr. Saleem A. Shah, Chief
Mr. Ecford S. Voit, Jr.
Center for Studies of Crime and Delinquency
National Institute of Mental Health
Department of Health and Human Services
5600 Fishers Lane
Rockville, MD 20857
(301) 443-3728

Dr. William H. Denham
Director, Division of Manpower and Training Programs
National Institute of Mental Health
Department of Health and Human Services
5600 Fishers Lane
Rockville, MD 20857
(301) 443-4257

Department of Health and Human Services
Public Health Service
Alcohol, Drug Abuse, and Mental Health Administration
Child Abuse Program

PROGRAM DESCRIPTION

This program involves primarily research into the causal factors of domestic violence, delivery of services in the area of domestic violence and abusers, and victims of domestic violence. Some training activity and technical assistance is provided for mental health personnel.

This program supports research, training, and technical assistance.

USES AND RESTRICTIONS

Not applicable.

TYPES OF ASSISTANCE

Research. Training and technical assistance.

FORMULA AND MATCHING GRANTS

Not applicable.

ELIGIBILITY REQUIREMENTS

Mental health professionals, researchers, research organizations, and community mental health centers are eligible.

APPLICATION AND AWARD PROCESS

Applicants should apply to Federal headquarters. Deadlines for new research are March 1, July 1, and November 1. Review deadlines are June, October, and February and for the Advisory Council, September, January, and May.

APPROPRIATIONS

Fiscal Year 1978	$492,526

PROGRAM ACCOMPLISHMENTS

Funded projects on child abuse for Fiscal Year 1978 included longitudinal studies of physically abused children, a prospective study of children in danger, projects in the areas of sexual child abuse and juvenile prostitution, and training for service providers and recipients. In addition, the NIMH Child Abuse and Neglect (CAN) Technical Assistance Program assists regional offices and community mental health centers in the creation of service systems for facilitating case management of CAN cases and the development of local programming in the area of child abuse and neglect which pools CMHC resources with those of protective services.

ENABLING LEGISLATION

Not applicable.

INFORMATION CONTACT

Ira Lourie, M.D.
Division of Mental Health Service Programs
National Institute of Mental Health
Alcohol, Drug Abuse, and Mental Health Administration
Public Health Service
Department of Health and Human Services
Room 11a-16
5600 Fishers Lane
Rockville, MD 20857
(301) 443-4688

Department of Health and Human Services
Public Health Service
National Center for Health Statistics

The National Hospital Discharge Survey (NHDS), the National Ambulatory Medical Care Survey (NAMCS), and the national vital statistics system for mortality statistics are capable of providing statistics on domestic violence beginning with the implementation of the ninth revision of the International Classification of Diseases (ICD-9) and ICD-9-Clinical Modification (CM--used for morbidity coding) for data year 1979.

The addition of several new codes to the ninth revision that were not included in the eighth revision (to which data from about 1968 through 1978 are coded) will, toward the end of next year when 1979 data become available, provide a basis for estimates from the NAMCS and NHDS on ICD-9-CM categories 995.5--Child maltreatment syndrome; 995.81--Adult maltreatment syndrome, and V61.21--Child Abuse. The mortality statistics which would address the area of domestic violence would be limited to 995.5--Child maltreatment syndrome. Since the five-digit codes will not be used for cause-of-death coding, the 995.81 cases (if any) would be collapsed with the 995.80--Other (malignant hyperpyrexia or hypothermia due to anesthesia) in category 995.8--Other adverse effects, not elsewhere classified. It is important to remember that, while there will be numbers for these categories, events will be coded to them only if the source document specifies the diagnosis in so many words, and that estimates will surely be reflecting only the tip of an iceberg. Underreporting on spouse and child abuse can be assumed to be significant.

INFORMATION CONTACTS

John Patterson
Room 1-44
National Center for Health Statistics
Federal Center Building #2
3700 East-West Highway
Hyattsville, MD 20780
(301) 436-8951

Edward Bacon
Room 2-12
National Center for Health Statistics
Federal Center Building #2
3700 East-West Highway
Hyattsville, MD 20780
(301) 436-7114

Department of Health and Human Services
Public Health Service
Office of Health Information and Health Promotion

PROGRAM DESCRIPTION

The objectives of the Office of Health Information and Health Promotion are as follows: to coordinate all activities within the Federal Government that relate to health information, health education, physical fitness, and preventive health services; to develop policies for Public Health Services related to health promotion activities; and to establish a national health information clearinghouse to facilitate the exchange of information.

The Office provides technical assistance, public education, and information and referral. All citizens in need of service regardless of income are eligible to receive the services.

APPROPRIATIONS

Fiscal Year 1978	$2.382 million
Fiscal Year 1979	$2.655 million
Fiscal Year 1980	$2.534 million

For the future, the Office suggests the following: (1) stimulate Public Health Service prevention activities in the domestic violence area; (2) include domestic violence as an issue at national school health promotion conferences; and (3) address the problem of domestic violence as it relates to preventive programs in alcohol abuse.

INFORMATION CONTACT

L.W. Green
Director
Office of Health Information and Health Promotion
Department of Health and Human Services
Room 721-B
H.H.H. Building
Washington, DC 20201
(202) 472-5660

13.600 Administration for Children, Youth and Families--Head Start
(Indian and Migrant Programs Division)

PROGRAM DESCRIPTION

This program provides comprehensive health education, nutritional, social and other services primarily to preschool economically disadvantaged Indian children and their families, and to migrant and other seasonal farmworkers' children and their families, and involve parents in activities with their children so that the children will atttain overall social competence.

This program supports direct services.

USES AND USE RESTRICTIONS

Ninety percent of the enrollees in a program must come from families whose income is below the poverty guidelines as established by the Office of Management and Budget. Training programs are available for employees of Head Start programs. JOINT FUNDING: This program is considered suitable for joint funding with closely related Federal financial assistance programs in accordance with the provisions of OMB Circular No. A-111.

TYPES OF ASSISTANCE

Project Grants.

FORMULA AND MATCHING GRANTS

20 percent non-Federal share must be supplied. This share may be in cash or in kind: providing space, equipment, utilities or personnel services.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Any local government or private nonprofit agency which meets the requirements may apply for a grant.

BENEFICIARY ELIGIBILITY:
Full-year Head Start programs are primarily for children from age 3 up to the age when the child enters the school system, but may include some younger children. Summer Head Start programs are for children who will be attending kindergarten or elementary school for the first time in the fall. No less than 10 percent of the total enrollment opportunities in Head Start programs in each State shall be available for handicapped children.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: The grantee, policy advisory group, and the Head Start community representative participate in a prereview to develop plans and priorities. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. Applications are subject to State and areawide clearinghouses review pursuant to procedures in Part I, Attachment A of OMB Circular No. A-95 (revised).

Application Procedure: The Administration for Children, Youth and Families/ Head Start/Indian Migrant Program representative will provide each applicant agency with a completed check list form showing exactly which items must be completed by each applicant and delegate agency. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure: All funds are awarded directly to the grantees by ACYF Headquarters: Indian programs (reservation only); Migrant programs; evaluation studies; some experimental programs and some career development training and technical assistance programs.

Deadlines: Applications for new projects may be submitted at any time or at times specified by announcement in the Federal Register. Applications for continuation grants must be received 90 days prior to the start of the new budget period.

Range of Approval/Disapproval Time: 30 days from submission of application to Governor's approval.

Appeals: Grantees who are denied refunding may appeal adverse decisions to the ACYF office which makes the decision. Applicants for new grants: None.

Renewals: The Indian and Migrant Program Division will inform grantees of the application procedures for renewal.

APPROPRIATIONS

(Grants)

Fiscal Year 1978	est. $34,266,458
Fiscal Year 1979	est. $37,368,002
Fiscal year 1980	est. $42,925,167

PROGRAM ACCOMPLISHMENTS

From 1965 to 1978, each year the Indian and Migrant Programs Division (IMPD) served 13,500 American Indian and migrant children from low-income families. The program has improved the quality of life for these children by providing educational experiences, improving their health, assuring that they receive proper nutrition, and providing social services and psychological help to them and their families. During fiscal year 1978, IMPD expanded services to an additional 8,880 children in full-year programs. There are 2 Parent and Child Centers serving children from birth to 3 years and their families. Selected IMPD programs have been awarded special grants to operate Developmental Continuity Projects, Child and Family Resource Programs, and basic

education skills projects, Handicapped children constitute 10 percent of the total enrollment of the IMPD Head Start Program.

ENABLING LEGISLATION

Head Start Economic Opportunity and Community Partnership Act of 1974; Public Law 93-644, Title V, Parts A-C USC 2921 et seq. as amended.

INFORMATION CONTACT

Hank Aguirre
Director, Indian & Migrant Programs Division
HDS/ACYF/IMPD
Department of Health and Human Services
P.O. Box 1182
Washington, DC 20013
(202) 755-7715

Department of Health and Human Services
Office of Human Development Services
Administration for Children, Youth and Families — Head Start

13.600 Head Start

PROGRAM DESCRIPTION

To provide comprehensive health, educational, nutritional, social, and other services primarily to preschool, economically disadvantaged children and their families and involve parents in activities with their children so that the children will attain overall social competence.

USES AND USE RESTRICTIONS

Ninety percent of the enrollees in a program must come from families whose income is below the poverty guidelines as established by the Office of Management and Budget. Training programs are available for employees of Head Start programs. JOINT FUNDING: This program is considered suitable for joint funding with closely related Federal financial assistance programs in accordance with the provisions of OMB Circular No. A-111.

TYPES OF ASSISTANCE

Project Grants for child development services for 3- to 5-year-old low-income children.

FORMULA AND MATCHING GRANTS

Twenty percent non-Federal share must be supplied. This share may be in cash or in-kind: providing space, equipment, utilities, or personnel services.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Any local government or private nonprofit agency which meets the requirements may apply for a grant.

BENEFICIARY ELIGIBILITY:
Full-year Head Start programs are primarily for children from age three up to the age when the child enters the school system, but may include some younger children. Summer Head Start programs are for children who will be attending kindergarten or elementary school for the first time in the fall. No less than 10 percent of the total enrollment opportunities in Head Start programs in each State shall be available for handicapped children.

CREDENTIALS/DOCUMENTATION:
Nonprofit organizations which have not previously received OHD program support must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with Part 74 of Title 45 of the

Code of Federal Regulations which implements the requirements of FMC 74-4 and OMB Circular No. A-21.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: The grantee, policy advisory group, and the Head Start community representative participate in a prereview to develop plans and priorities. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. Applications are subject to State and areawide clearinghouse reviews pursuant to procedures in Part I, Attachment A of OMB Circular No. A-95 (revised).

Application Procedure: The Administration for Children, Youth and Families/ Head Start regional representative will provide each applicant agency with a completed checklist form showing exactly which items must be completed by each applicant and delegate agency. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure: All funds are awarded directly to the grantees. Funds for local Head Start programs, some experimental programs and some career development and technical assistance programs are awarded by the Regional Offices. However, funds for the following are awarded by ACYF Headquarters: Indian programs (reservation only); Migrant programs; evaluation studies; some experimental programs and some career development training and technical assistance programs. Notification of awards must be made to the designated State Central Information Reception Agency in accordance with Treasury Circular 1082.

Deadlines: Applications for new projects may be submitted at any time or at times specified by announcement in the Federal Register. Applications for continuation grants must be received 90 days prior to the start of the new budget period.

Range of Approval/Disapproval Time: Ninety days from submission of application to Governor's approval.

Appeals: Grantees who are denied refunding may appeal adverse decisions to the ACYF office which makes the decision. Applicants for new grants: none.

Renewals: HHS Regional Offices will inform grantees of the application procedures for renewal.

APPROPRIATIONS

Fiscal Year 1978	$622,564,000
Fiscal Year 1979	$680,000,000
Fiscal Year 1980 (est.)	$735,000,000

PROGRAM ACCOMPLISHMENTS

Since 1965, Head Start has served about 7,378,000 children from low-income families in 50 states, Puerto Rico, the Virgin Islands, and the Pacific Trust Territories. The program has improved the quality of life for these children by providing educational experiences, improving their health, assuring that they receive proper nutrition, and providing social services and psychological

help to them and their families. During fiscal year 1978, Head Start served approximately 330,000 children in full-year, summer and experimental programs. There are 33 Parent and Child Centers serving children from birth to 3 years and their families. Selected Head Start programs have been awarded special grants to operate the following experimental programs: 14 Developmental Continuity Projects, 11 Child and Family Resource Programs, and 6 bilingual projects are part of the program. Handicapped children constitute over 10 percent of the total enrollment of the Headstart Program.

ENABLING LEGISLATION

Head Start Economic Opportunity and Community Partnership Act of 1974; Public Law 93-644, Title V, Parts A-C 42 USC 2921 et seq. as amended.

INFORMATION CONTACT

Henley Foster
Associate Director, Head Start Bureau
Project Head Start
Administration for Children, Youth and Families
Office of Human Development Services
Department of Health and Human Services
400 Sixth Street, S.W.
Washington, D.C. 20201
(202) 755-7782

Department of Health and Human Services
Office of Human Development Services

13.603 Office for Handicapped Individuals

PROGRAM DESCRIPTION

To coordinate, review, and plan Department-wide policies and procedures concerning the physically and mentally handicapped; and to operate a national information resource program known as the Clearinghouse on the Handicapped.

This program supports technical assistance.

USES AND USE RESTRICTIONS

Not applicable.

TYPES OF ASSISTANCE

Advisory services, counseling, and dissemination of information.

FORMULA AND MATCHING GRANTS

Not applicable.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Information is available to all interested persons and organizations upon request.

BENEFICIARY ELIGIBILITY:
Same as Applicant Eligibility.

CREDENTIALS/DOCUMENTATION:
Not applicable.

APPLICATION AND AWARD PROCESS

Not applicable.

APPROPRIATIONS

Fiscal Year 1979	$201,000
Fiscal Year 1980 (est.)	$201,000

PROGRAM ACCOMPLISHMENTS

In its planning, coordination, and informational role within HHS, OHI conducts

studies, collects and analyzes data, and recommends short-term planning options. In addition, OHI chairs an interagency committee on the handicapped, service providers, the Congress, and the general public on a wide range of disability-related topics. The Clearinghouse also serves as a resource to organizations that supply information on various handicapping conditions and can direct inquiries to the appropriate Federal and national private resources. In this capacity, it collects, processes, and disseminates information on national information resources serving the handicapped and service providers. It also furnishes information on Federal assistance to programs serving the handicapped, Federal legislation, and regulations affecting the handicapped.

ENABLING LEGISLATION

Rehabilitation Act of 1973, Public Law 93-112; Title IV, Section 405, established February 20, 1974, Rehabilitation Act Amendments of 1974, Public Law 93-516, Title I, Section 109, December 7, 1974. Rehabilitation, Comprehensive Services, and Developmental Disabilities Amendment of 1978, Public Law 95-206, Section 15, November 6, 1978.

INFORMATION CONTACT

Wallace Babington, Director
Office for Handicapped Individuals
Office of Human Development Services
Department of Health and Human Services
Room 338D
200 Independence Avenue
H. H. Humphrey Building
Washington, D.C. 20201
(202) 245-1961

13.608 Administration for Children, Youth and Families -- Child Welfare Research and Demonstration (B,P)

PROGRAM DESCRIPTION

Financial support is provided for research and demonstration projects in the area of child and family development and welfare.

USES AND USE RESTRICTIONS

Grants are for: (1) Special research and demonstration projects in the field of child welfare which are of regional or national significance; (2) special projects for the demonstration of new methods or facilities which show promise of substantial contribution to the advancement of child welfare; and (3) projects for the demonstration of the utilization of research in the field of child welfare in order to encourage experimental and special types of welfare services. Contracts are for the conduct of research, special projects, or demonstration projects. JOINT FUNDING: This program is considered suitable for joint funding with closely related Federal financial assistance programs in accordance with the provisions of OMB Circular A-111.

TYPES OF ASSISTANCE

Project Grants, Project Cooperative Agreements.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Grants: State and local governments or other nonprofit institutions of higher learning; State and local governments or other nonprofit agencies or organizations engaged in research or child welfare activities. Contracts: any public or private organizations.

BENEFICIARY ELIGIBILITY:
Children and families.

CREDENTIALS/DOCUMENTATION:
Nonprofit organizations which have not previously received OHDS program support must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with Part 74 of Title 45 of the Code of Federal Regulations, which implements the requirements of FMC 74-4 and OMB Circular No. A-21.

APPLICATION AND AWARD PROCESS

Application Procedure: The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. Application forms, including budget request and narrative description of project proposal to be submitted to the Headquarters Office are also required. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure: Review by non-Federal Research field readers. Final decision by Commissioner, Administration for Children, Youth and Families. Notification of awards is made through issuance of a Notice of Financial Assistance Awarded which sets forth the amount of funds granted, the terms and conditions of the grant, the budget period for which support is given, and the total period for which project support is contemplated.

Deadlines: Determined annually for new projects. Application for continuation grants must be received 90 days prior to the start of the new budget period.

Range of Approval/Disapproval Time: 90 to 180 days from closing date.

Appeals: None.

Renewals: Grants are awarded for a specified period of time, generally 3 years. Requests for second and third year funding are contingent upon availability of funds, and satisfactory project performance.

APPROPRIATIONS

Fiscal Year 1978	$15,700,000
Fiscal Year 1979	$15,700,000
Fiscal Year 1980 (est.)	$14,700,000

Approximately 4.5 million available for new starts each year. Range and Average of Financial Assistance: $10,000 to $250,000; $160,000.

PROGRAM ACCOMPLISHMENTS

Developed new strategies for group homes for dependent children, education for parenthood, comprehensive programs for school age pregnant girls, infant group care, emergency services, programs for adoption and foster care, abused and neglected children and their families. Carried out basic research relating to child abuse, infant intervention programs, development of children in family settings, TV and children, adoption, and children in institutions, family strengths and stresses, family intersects with societal institutions.

ENABLING LEGISLATION

The legislated responsibilities for research, demonstration, and evaluation for child welfare were established by Section 426 of the Social Security Act, and the Act of April 9, 1972.

INFORMATION CONTACT

Edith Grotberg, Ph.D.
Director
Research and Evaluation Division
Administration for Children, Youth and Families
Office of Human Development Services
Department of Health and Human Services
Room 2030 Donohoe Building
400 Sixth Street, S.W.
Washington, D.C. 20201
(202) 755-7750

Department of Health and Human Services
Office of Human Development Services
Administration for Native Americans

13.612 Native American Programs

PROGRAM DESCRIPTION

The goal of economic and social self-sufficiency for American Indians, Native Hawaiians, and Alaskan Natives is the major objective of this program.

This program supports direct service and technical assistance.

USES AND USE RESTRICTIONS

Grants may be used for such purposes as, but not limited to: projects aimed at increasing the capabilities of Indian tribes to provide services now provided by non-Indian controlled organizations; projects designed to provide needed services to promote individual and family self-sufficiency; provide for the establishment and operation of urban centers serving Indian people living off-reservation; and provide for self-help and community economic development efforts. JOINT FUNDING: This program is considered suitable for joint funding with closely related Federal financial assistance programs in accordance with the provisions of OMB Circular No. A-111. For programs that are not identified as suitable for joint funding, the applicant may consult the headquarters or field office of the appropriate funding agency for further information on statutory or other restrictions involved.

TYPES OF ASSISTANCE

Project Grants (contracts) are available to Tribal Governments and to Urban Indian Centers (cities with 1,000 or more Indians) for programs designed to promote economic self-sufficiency and to increase the capability of Indian tribes to provide needed services. Training and technical assistance is provided for ANA fund recipients.

FORMULA AND MATCHING GRANTS

Formula and Matching Requirements: General rule: 20 percent in non-Federal contribution. Waiver for this matching share may be obtained if grantee meets criteria which are published in 45 CFR 1336.52.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Governing bodies of Indian tribes, Alaskan Native villages, and regional corporations, and other public or private nonprofit agencies.

BENEFICIARY ELIGIBILITY:
American Indians, Alaskan Natives, and Native Hawaiians.

CREDENTIALS/DOCUMENTATION:
Nonprofit organizations which have not previously received OHDS program support must submit proof of nonprofit status. The provisions of FMC 74-4 apply to grantees which are local governments.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: Applications are subject to State and areawide clearinghouse review pursuant to procedures in Part I, Attachment A of OMB Circular No. A-95 (revised). Federally recognized tribes are exempt from submitting plans to the State clearinghouses, but are encouraged by the Administration for Native Americans to do so. The provisions of OMB Circular No. A-102 apply to grantees which are local governments.

Application Procedure: Information regarding the availability of grant funds will be published from time to time in the Federal Register as Program Announcements, which will provide details on program objectives for which applications are being solicited and other application requirements. Applicants, whether applying for new or continuation grants, are required to submit program plans to State clearinghouses in accordance with Part I of OMB Circular A-95 (revised). Federally recognized Tribes are exempt from submitting plans to the State clearinghouses, but are encouraged by the Administration for Native Americans to do so. The Administration for Native Americans will provide each applicant agency with the appropriate forms for the application for Federal Assistance and instructions for applying for grants from OHDS programs. Applications for urban Indian programs should be submitted to the HHS Regional Office (see appendix for listing). All other applications should be submitted to OHDS Grants Management Branch, U.S. Department of Health and Human Services, 330 C Street, S.W. - Room 1427, Mary E. Switzer Building, Washington, DC 20201. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure: All funds are awarded directly to the grantees. Funds are awarded to urban Indian programs through HHS Regional Offices. Funds to all other grantees are awarded by ANA Headquarters. Notification of awards must be made to the designated State Center Information Reception Agency in accordance with Treasury Circular 1082.

Deadlines: Applications for new projects may be submitted at times specified in program announcements as published in the Federal Register.

Range of Approval/Disapproval Time: Formal funding request for continuation grants should be received 90 days prior to anticipated approval date.

Appeals: Appeals procedures are published in 45 CFR 1336.59.

Renewals: may be refunded.

APPROPRIATIONS

Fiscal Year 1978	$33,000,000
Fiscal Year 1979	$33,000,000
Fiscal Year 1980 (est.)	$33,800,000

Range and Average of Financial Assistance: $40,000 to $5,000,000; $125,000 average for tribal grants, and from $40,000 to $200,000 or average of $80,000 for urban grants.

PROGRAM ACCOMPLISHMENTS

The program currently serves 600,000 out of an estimated 1.4 million Native Americans representing approximately 300 tribal groups, with approximately 200,000 in 70 urban organizations in 38 states. Eighty percent of those served are families or individuals whose incomes fall below the Federal poverty level. Programs, based on local needs and priorities, have provided funds for community development, manpower training and employment, housing, food, medical services, and management.

ENABLING LEGISLATION

42 U.S.C. 2991 et seq.; Native American Programs Act of 1974, Public Law 93-644; as amended by Public Law 95-568.

STATEMENT

Statement Addressing the Issue of Application to Domestic Violence

As a discretionary program, ANA has decided and is currently proceeding with a refined program to strengthen the executive functions of the governing bodies of Indian Tribes and Organizations, which are current grantees, and the local development of systems needed for social development, economic progress and improved service delivery. The emphasis is being shifted from the direct delivery of social services to the development and maintenance of structures and managerial mechanisms for grantees to more effectively and efficiently provide, in a comprehensive manner, for the social and economic well-being of their memberships.

Accordingly, ANA program resources could not be sought for direct delivery or support for an individualized domestic violence program. However, ANA program resources could indirectly assist domestic violence programs by virtue of supporting higher management functions such as planning, organizing, directing and controlling the comprehensive social and economic improvement objectives of a local grantee - to the extent the governing bodies choose to do so.

INFORMATION CONTACT

David T. Raisen, Executive Assistant
Administrative Services
Administration for Native Americans
Office of Human Development Services
Department of Health and Human Services
330 Independence Avenue, S.W.
Washington, D.C. 20201
(202) 245-7776

Department of Health and Human Services
Office of Human Development Services

13.623 Administration for Children, Youth and Families-Runaway Youth

PROGRAM DESCRIPTION

Assistance is provided to State and local agencies to aid in the development or strengthening of local facilities to address the immediate needs of runaway youth.

This program supports direct service.

USES AND USE RESTRICTIONS

Grants are for the establishment and/or strengthening of runaway houses -- locally controlled facilities providing temporary shelter, counseling, and aftercare services to juveniles who have left home without permission of their parents or guardians and to otherwise homeless youth. JOINT FUNDING: This program is considered suitable for joint funding with closely related Federal financial assistance programs in accordance with the provisions of OMB Circular No. A-111.

TYPES OF ASSISTANCE

Project Grants.

FORMULA AND MATCHING GRANTS

The Federal share of grant is up to 90 percent. Non-Federal share may be in cash or in-kind. Grant awards are made for 1 year only.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
These grants are available to State and local governments, localities, non-profit private agencies, or coordinated networks of such agencies.

BENEFICIARY ELIGIBILITY:
Runaway youth and their families.

CREDENTIALS/DOCUMENTATION:
Proof of nonprofit status must be submitted for private, nonprofit organizations. Applicable costs and administrative procedures will be determined in accordance with Part 74 of Title 45 of the Code of Federal Regulations, which implements the requirements of FMC 74-4.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: Applications are subject to State and areawide clearinghouse reviews pursuant to procedures in Part I, Attachment A of OMB Circular No. A-95 (revised). Consultation or assistance is available from the Youth Development Bureau, Office of Human Development Services, Department of Health and Human Services. The standard application forms as furnished by the Federal agency and required by OMB Circular A-102 must be used for this program.

Application Procedure: Application kits may be obtained from the ACYF Regional Office. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure: All applications are reviewed by a review panel of non-Federal persons who make recommendations for funding. The Regional Program Director, ACYF Unit in the Regional Office in consultation with the Director, Youth Development Bureau makes the final decisions based on these recommendations. Notification of awards must be made to the designated State Central Information Reception Agency in accordance with Treasury Circular 1082.

Deadlines: As specified in the announcement or application instructions.

Range of Approval/Disapproval Time: 60 to 90 days.

Appeals: None.

Renewals: Requests for renewal (continuation grant) are handled in the same manner as an original grant. No grant is automatically approved for more than 1 year.

APPROPRIATIONS

(Grants)	
Fiscal Year 1978	$11,000,000
Fiscal Year 1979	$11,000,000
Fiscal year 1980 est	$11,000,000

Range and Average of Financial Assistance: $25,000 to $75,000; $68,000.

PROGRAM ACCOMPLISHMENTS

Awarded 160 grants totaling $10,500,000 during fiscal year 1978. These projects will serve approximately 52,000 runaway youth in 44 States, Puerto Rico, Guam, and the District of Columbia.

ENABLING LEGISLATION

The Juvenile Justice and Delinquency Prevention Act, Title III; Public Law 93-415; 42 U.S.C. 5701, as amended.

INFORMATION CONTACT

Caroline Croft
Director
Division of Runaway Youth Programs
Youth Development Bureau
400 6th Street, S.W.
Washington, D.C. 20201
(202) 245-2859

Department of Health and Human Services
Office of Human Development Services
Administration on Children, Youth and Families
Office for Families

PROGRAM DESCRIPTION

The Office for Families was established to "provide the focal point for aiding in the development of Federal policies and programs affecting families, and in implementing the recommendations generated by the White House Conference on Families."

The primary functions of the Office are to:

1. Analyze, propose, review, and coordinate selected HHS policies, program activities, and procedures; and to provide a family perspective to the various policies and programs affecting the family.

2. Sponsor and coordinate research activities as well as synthesize research findings about the family.

3. Serve as an ombudsman for information dissemination and provide technical assistance to private agencies, Federal, State, and community organizations.

4. Act as an advocate for family concerns within the Federal Government.

5. Coordinate activities with the White House Conference on Families such as the implementation of its recommendations which will be forwarded to the President in September 1980.

The Office for Families expects to assist and strengthen families by aiding in the development of a family perspective on issues and problems that affect the family. An important contribution will be made if we bring greater recognition to the diversity and pluralism of American family life and close the gap between those who make policy and those who live with the results.

TYPES OF ASSISTANCE

In FY '81, 10 to 15 statewide networking and advocacy grants will be awarded competitively to support targeted and focused efforts that address specific national or State recommendations that emerged from the White House Conference on Families.

The Office for Families will also fund several replicable community models of projects that have developed unique and innovative approaches to meeting a variety of family needs and correcting service deficiencies. Grants of $10,000 to $20,000 will be used to match and/or supplement private, community, or foundation sources of capital. Funded projects must ensure development of an instrument for replication or dissemination.

INFORMATION CONTACT

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Washington, DC 20013
(202) 755-7773

Department of Health and Human Services
Office of Human Development Services

13.628 Child Abuse and Neglect Prevention and Treatment

PROGRAM DESCRIPTION

This program assists State and local government and voluntary agencies and organizations to strengthen their capacities to develop programs that will prevent, identify, and treat child abuse and neglect.

This program supports technical assistance, demonstration projects, direct services, and research.

USES AND RESTRICTIONS

Grants or contracts are for: (1) providing technical assistance to public and nonprofit private agencies and organizations; (2) demonstration programs and projects to develop and establish multidisciplinary training programs; to establish and maintain centers to provide a broad range of activities including parent self-help in order to prevent, identify and treat child abuse and neglect; (3) State grants are made to assist States in developing, strengthening and carrying out child abuse and neglect prevention and treatment programs; (4) research into the causes and prevention and treatment of child abuse and neglect. JOINT FUNDING: This program is considered suitable for joint funding in accordance with the provisions of OMB Circular No. A-111. For programs that are not identified as suitable for joint funding, the applicant may consult the headquarters or regional office of the appropriate funding agency for further information on statutory or other restrictions involved.

TYPES OF ASSISTANCE

Project Grants.

FORMULA AND MATCHING GRANTS

None.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Grants: State or local government or other nonprofit institutions of higher learning, or other private nonprofit agencies or organizations engaged in activities related to the prevention, identification, or treatment of child abuse and neglect. Contracts: Public and private organizations. State Grants: Those States qualifying under the provisions of Section 4(b)(2) of the Act.

BENEFICIARY ELIGIBILITY:
Abused or neglected children and their families.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: For State grants, technical assistance for preapplication coordination is available at regional and national levels to assist States in meeting qualifying conditions specified in the Act. For Research, Demonstration and Service Improvement limited consultation is available at headquarters office. The standard application forms furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. Applications are subject to State and areawide clearinghouses review pursuant to procedures in Part I, Attachment A of OMB Circular No. A-95 (revised).

Application Procedure: Demonstration and research applications must use application forms provided by ACYF, these are to be submitted to Headquarters office. State grant applications to be submitted to the regional offices. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure: Research and demonstration grant applications are reviewed by at least three non-Federal professionals with expertise in the field of child and family development, child welfare and child abuse and neglect. Final decision by Commissioner, Administration for Children, Youth and Families. Notification of awards must be made to the designated State Central Information Reception Agency in accordance with Treasury Circular 1082.

Deadlines: Deadlines are as specified in the announcement or application instructions.

Range of Approval/Disapproval Time: As specified in the announcement or application instructions.

Appeals: None for research and demonstration applications. Not applicable to State grants.

Renewals: Project renewals and extensions are available through formal submission of progress reports and continuation applications. State grants require annual submission of application.

APPROPRIATIONS

Fiscal Year 1978	$18,928,000
Fiscal Year 1979	18,928,000
Fiscal Year 1980	22,928,000
Fiscal Year 1981	22,928,000 (estimated)

PROGRAM ACCOMPLISHMENTS

Established as a part of the Children's Bureau, the National Center on Child Abuse and Neglect awarded in fiscal year 1978, 70 demonstrations that reached

over 25,000 persons, trained over 18,000 professionals and paraprofessionals in positions related to child abuse and neglect services, and through grants to the more than 45 eligible States, served over 30,000 children and families.

ENABLING LEGISLATION

The Child Abuse Prevention and Treatment Act as amended, Public Law 93-247. (42 U.S.C. 5101 et. seq.)

APPLICATION OF PROGRAM TO DOMESTIC VIOLENCE

Child abuse and child neglect represent a range of violence to the health and well-being of children within their family environments. While our focus is on protecting the child, often times the State grants and our research and demonstration projects are used in ways which attempt to upgrade overall family functioning, reduce intra-family stress and violence and provide ameliorative support services. The best place for children is in their home and our program tries to make homes safe and nurturing.

INFORMATION CONTACT

Joseph Wechsler
Chief, Clearinghouse Branch
National Center on Child Abuse and Neglect
P.O. Box 1182
Washington, D.C. 20013
(202) 755-0590

Department of Health and Human Services
Office of Human Development Services
Administration on Aging

13.633 Special Programs for the Aging -- Title III Parts A and B --
Grants for States and Community Programs on Aging

PROGRAM DESCRIPTION

Assistance is provided to State and area agencies for support of programs for older persons via statewide planning, and area planning and provision of social services; including multipurpose senior centers.

This program supports direct service.

USES AND RESTRICTIONS

Funds are awarded to States to develop or strengthen comprehensive coordinated service systems through a network of designated State agencies on Aging and area agencies on Aging. A State plan covering 3 years, with annual revision as necessary, must be submitted to the Commissioner on Aging, and Area Plans to the State agencies for approval. Of the funds awarded for social services and senior centers at least $20,000 or 1 percent (whichever is greater) must be used for a long-term care ombudsman program. Up to 8.5 percent of the funds awarded may be used for the administration of area plans. At least 50 percent of the funds awarded to area agencies must be used for access, legal and/or in-home services. The remaining funds may be used for other services and for the acquisition, renovation, alteration or construction of multipurpose senior centers as described in the above plan.

TYPES OF ASSISTANCE

Formula grants are provided. Social services for the aging include informational, recreational homemaker, counseling, transportation, housing renovation and repair, preinstitutional evaluation, long-term care, health, and education.

FORMULA AND MATCHING GRANTS

Programs are funded on a Federal, State-matching basis as follows: State Agency Administration, 75-25; Administration of Area Plan, 75-25; Social Services and multipurpose senior centers, 90-10 in FY 1979 and FY 1980, and 85-15 in FY 1981. Cash or in-kind resources from non-Federal resources. The law does not require that the non-Federal share requirement vary from year to year.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
All States and territories with approved State Plans and which have State agencies on Aging designated by the governors.

BENEFICIARY ELIGIBILITY:
Older persons, especially low income and minority older persons.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: (1) Substate applicants must comply with the policies of OMB Circular No. A-95, Part I; (2) Coordination with the State clearinghouse and review by the regional office. It is recommended that State agencies keep in touch with regional offices and avail themselves of the technical assistance that the regional office can offer throughout the development of the State Plan. Applications are subject to State and areawide clearinghouse reviews pursuant to procedures in Part I, Attachment A of OMB Circular No. A-95 (revised). Regional offices offer consultation and technical assistance throughout the development of the State Plan.

Application Procedure: State Plans are required to be submitted by the State Governor on a prescribed State Plan format.

Award Procedure: The State Governor submits the State Plan for review to the regional office which in turn comments and forwards same to the central office for approval. Notification of grant award must be made to the designated State Central Information Reception Agency in accordance with Treasury Circular 1082. State agencies approve and award funds to substate level organizations.

Deadlines: Deadlines are set annually, generally 60 days before the beginning of the period for which the State Plan applies.

Range of Approval/Disapproval Time: Time from submission to approval/disapproval is between 30 and 60 days. If a State Plan is disapproved, prescribed appeal procedures may be evoked as provided in Section 307 of the Older Americans Act of 1965 as amended.

Renewals: State plans are submitted for a 3-year period and revised as necessary.

APPROPRIATIONS

(For area plan administration and social services and multipurpose centers)	
Fiscal Year 1978	$193,000,000
Fiscal Year 1979	196,970,000
Fiscal Year 1980	246,970,000 (estimated)

(For State Agency Administration)	
Fiscal Year 1978	$19,000,000
Fiscal Year 1979	$22,500,000
Fiscal Year 1980	$22,500,000

PROGRAM ACCOMPLISHMENTS

Statewide Planning: The management capability of State Agencies was developed and strengthened. State agencies submitted and had approved State Plans in

accordance with National and local priorities. Special emphasis was placed on the coordination of existing programs and the pooling of untapped resources. Fiscal years 1979 and 1980 will be a transition period by the end of which the consolidation of programs required by the 1978 amendments to the Act will be completed.

ENABLING LEGISLATION

Older Americans Act of 1965. Public Law 89-73, as amended by Public Laws 90-42, 91-69, 92-258, 93-290, 93-351, 94-135, and 95-478, Title III Parts A and B; Stat. 36-45, 42 U.S.C. 3021-3025.

INFORMATION CONTACT

Gene Handelsman
Associate Commissioner
Office of Program Operations
Administration on Aging
Office of Human Development Services
Department of Health and Human Services
(202) 245-0011

13.634 Special Programs for the Aging -- Title IV C Discretionary Projects and Programs (B)

PROGRAM DESCRIPTION

These special projects demonstrate new approaches, techniques and methods to improve or expand social services or nutrition services or otherwise promote the well being of older individuals.

USES AND USE RESTRICTIONS

Grants may be awarded for projects that develop, demonstrate, or study new and innovative methods, techniques, or approaches to: (1) coordinate the community's various social, health and welfare services in order to increase their efficient delivery to older people; (2) develop new services and new patterns of services for older people; and (3) increase older people's opportunities to participate in community life and retain social participation. Program priorities are: (1) housing and living arrangements; (2) pre-retirement; (3) continuing education; (4) needs of the physically and mentally impaired; (5) delivery of services to the low income minority, Indian, limited English-speaking and and the rural elderly; (6) maintenance of independent living; (7) the special needs of the rural elderly; and (8) coordination of services for the home-bound elderly, the blind and disabled. Special projects will seek better coordinated systems of community long-term care, legal services and better understanding of the problems of the elderly which have nationwide concern. JOINT FUNDING: This program is considered suitable for joint funding with closely related Federal financial assistance programs in accordance with the provisions of OMB Circular No. A-111. For programs that are not identified as suitable for joint funding, the applicant may consult the headquarters or field office of the appropriate funding agency for further information on statutory or other restrictions involved.

TYPES OF ASSISTANCE

Project Grants (Contracts) and cooperative agreements are provided.

FORMULA AND MATCHING GRANTS

Grantee participation in projects cost is encouraged. Generally, the grantee is expected to provide at least 10 percent of total cost, or 50 percent of indirect cost, whichever is greater.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Any public or private nonprofit agency, institution, or organization, engaged in activities related to serving the needs of older people or the field of

aging. Grants are not available to individuals, even though they may be affiliated with a public or nonprofit organization. Contracts for specified purposes may be made with any public or private agency, organization or with any individual.

BENEFICIARY ELIGIBILITY:
All Americans 60 years of age and older, and for priority No. 2 those at preretirement age.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: Applications are subject to State and areawide clearinghouses review pursuant to procedures in Part I, Attachment A of OMB Circular No. A-95 (revised). The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. Applicants submit application for funding to the State Agency on Aging for comment.

Application Procedure: Application forms and instructions may be obtained by writing to Division of Model Projects and Demonstrations, Administration on Aging, OHDS, DHHS. All project proposals must be submitted on the forms supplied and in the manner prescribed by the Administration on Aging. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure: Notification of awards must be made to the designated State Central Information Reception Agency in accordance with Treasury Circular 1082.

Deadline: Deadline for submission of applications is 2 months after the publication of guidelines.

Range of Approval/Disapproval Time: Approval/disapproval time range is from 60 to 120 days from receipt of application.

Appeals: There are no appeals procedures. If an application is disapproved, the reasons for the disapproval will be stated. If reapplication is desired, the applicant should make the changes indicated.

Renewals: Renewals are required to follow the procedures for initial application. Renewals compete with new applications.

APPROPRIATIONS

Fiscal Year 1978	$15,164,000
Fiscal Year 1979	15,000,000
Fiscal Year 1980	25,000,000 (estimated)

($10,000 earmarked for long-term care demonstrations)

PROGRAM ACCOMPLISHMENTS

Fiscal year 1978 demonstration projects were primarily concerned with funding community care systems and services. These projects are concerned with 1) administrative organization of State programs in aging, 2) statutory and

regulatory improvements, 3) State capability in interagency planning, 4) uniform standards for services and facilities, and 5) long-range planning. Major concerns of the Model Projects Program in FY 1979 included: State Services and Systems, Local Community Care Systems, Community Care Services, Family and Natural Supports, Long-Term Care Demonstrations, Advocacy Assistance, and Disaster Assistance.

ENABLING LEGISLATION

Older Americans Act of 1965, Public Law 89-73, as amended by Public Laws 90-42, 91-69, 92-258, 93-29, 93-351 and 95-478, Title IV C.

INFORMATION CONTACT

Dr. Marvin Taves
Chief, Demonstrations Division
Administration on Aging
Office of Human Development Services
330 Independence Avenue, S.W.
Room 3280, North Building
Washington, D.C. 20201
Department of Health and Human Services
(202) 472-7225

13.635 Special Programs for the Aging - Title III Part C - Nutrition Services (A)

PROGRAM DESCRIPTION

Older Americans are provided with low cost nutritious meals, and with appropriate nutrition education and other nutrition services. Meals may be served in a congregate setting or delivered to the home.

This program supports direct services.

USES AND USE RESTRICTIONS

Local projects must provide a hot or other appropriate meal at least once per day, 5 or more days per week to people aged 60 and over and their spouses. States may request transfers of funds between grants made for Home Delivered Nutrition Services and Congregate Nutrition Services.

TYPES OF ASSISTANCE

Formula Grants are provided.

FORMULA AND MATCHING GRANTS

The nutrition portion of this program is funded on a Federal/State matching basis at a ratio of 90-10 in fiscal years 1979 and 1980, and 85-15 in fiscal year 1981. Cash or in-kind resources from non-Federal resources. The law does not require that the non-Federal share vary from year to year. The area agency administration portion is funded at a 75-25 ratio.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
All States and territories with approved State plans.

BENEFICIARY ELIGIBILITY:
Older persons aged 60 and over and their spouses, especially low income and minority older persons.

CREDENTIALS/DOCUMENTATION:
A State plan is required to be submitted by the Governor. The procedure required under Part III of OMB Circular No. A-95 (revised) must be followed. Submission date is 60 days before the beginning of the period for which the State plan applies. Costs will be determined in accordance with FMC 74-4 for State and local governments.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: (1) Substate applicants must comply with OMB Circular No. A-95, Part I (revised); (2) Coordination with State clearinghouse and the regional office. Applications are subject to State and areawide clearinghouses review pursuant to procedures in Part I, Attachment A of OMB Circular No. A-95 (revised). It is recommended that State agencies keep in touch with regional offices throughout development of the State plan and avail themselves of the technical assistance that the regional offices can offer. Regional offices offer consultation and technical assistance to State agencies throughout development of the State plan.

Application Procedure: State plans are required to be submitted by the State Governor on a prescribed State plan format.

Award Procedure: The State Governor submits the plan for review and comment to the regional office which in turn comments and forwards same to the central office for approval. Notification of grant award is made to the designated State Center Information Reception Agency in accordance with Treasury Circular 1082. State agencies approve and award funds to substate level organizations.

Deadlines: 60 days before the beginning of the period for which the State plan applies.

Range of Approval/Disapproval Time: 30 to 60 days.

Appeals: Through prescribed appeal procedures of a State plan if disapproved, as provided in Section 307 of the Act.

Renewals: State plans are submitted for a 3 year period with annual revisions as necessary.

APPROPRIATIONS

Fiscal Year 1978	$250,000,000
Fiscal Year 1979	$277,046,000
Fiscal Year 1980 (est.)	$320,000,000

Range and Average of Financial Assistance: $198,001 to $28,628,004; $5,557,895.

PROGRAM ACCOMPLISHMENTS

By the end of fiscal year 1978, approximately 508,962 meals were served daily. It is estimated that in fiscal year 1979, 532,168 meals will be served of which 15 percent will be home-delivered.

ENABLING LEGISLATION

Older Americans Act of 1965, Public Law 89-73, as amended by Public Laws 90-42, 91-69, 92-258, 93-29, and 93-351, 94-135, and 95-478 Title III Part C, 79 Stat. 218-226, 81 Stat. 106-108, 82 Stat. 1101, 83 Stat. 108-115.

INFORMATION CONTACT

Gene Handlesman
Assoc. Commissioner
Office of Program Operations
Administration on Aging
Office of Human Development Services
330 Independence Avenue, S.W.
Room 4278, North Building
Washington, D.C. 20201
Department of Health and Human Services
(202) 245-0011

13.636 Special Programs for the Aging - Title IV Part B - Research and Development (B)

PROGRAM DESCRIPTION

This program develops knowledge of the needs and conditions of older persons, and of policies, programs, and services for improving their lives.

This program supports research.

USES AND USE RESTRICTIONS

Grants and contracts are awarded to support research and development on the needs and services of the vulnerable elderly living in the community; various aspects of the organization, operation and changes of the social services delivery systems and aging policy areas such as income, health, and housing. JOINT FUNDING: This program is considered suitable for joint funding with closely related Federal financial assistance programs in accordance with the provisions of OMB Circular No. A-111. For programs that are not identified as suitable for joint funding, the applicant may consult the headquarters or field office of the appropriate funding agency for further information on statutory or other restrictions involved.

TYPES OF ASSISTANCE

Project Grants and Research Contracts are available.

FORMULA AND MATCHING GRANTS

May be required of any grantee or contractor to the extent deemed appropriate by the Commissioner of the Administration on Aging.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Grants may be made to any public or nonprofit agency, organization, or institution. Contracts may be awarded to any agency, organization, institution, or with any individual. Grants may not be awarded to any profit-making organization, nor to any individual, nor to a Federal government agency.

BENEFICIARY ELIGIBILITY:
Same as Applicant Eligibility.

CREDENTIALS/DOCUMENTATION:
Nonprofit organizations which have not previously received OHD program support must submit proof of nonprofit status. Applicable costs and administrative

procedures will be determined in accordance with Part 74 of Title 45 of the Code of Federal Regulations, Appendix C of which implements the requirements of FMC 74-4.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: This program requires coordination with the policies of OMB Circular No. A-102. Consultation and assistance is available from the Administration on Aging central and regional offices. The AoA Research and Development strategy, offering current priority research directions of AoA, is published annually.

Application Procedure: Contracts information is published in the "Commerce Business Daily." Applications for Federal assistance (Nonconstruction Programs) are in accordance with instructions and guidelines developed by the Administration on Aging each year. Application forms and related materials are available from the Division of Research and Evaluation, AoA, Washington, DC 20201. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure: Notification of awards must be made to the designated State Central Information Reception Agency in accordance with Treasury Circular 1082. For contract awards, all offers are notified of the selection of a contractor by mail. Announcement of contract awards is also made through the Commerce Business Daily.

Deadlines: Established when grant application guidelines are issued as part of the annual AoA Research Strategy, and through the issuance of (contract) requests for proposals. Unsolicited proposals may be submitted at any time.

Range of Approval/Disapproval Time: Generally 30 to 90 days for grant applications. Approved contracts will be acted upon usually within 60 days.

Appeals: Grantee appeals may be made to the Departmental Grant Appeals Board pursuant to Title 45-Public Welfare Code of Federal Regulations, Part 16.

Renewals: Research extensions compete with new applications for review and possible approval.

APPROPRIATIONS

Fiscal Year 1978	$9,073,000
Fiscal Year 1979	$8,500,000
Fiscal Year 1980 (est.)	$8,500,000

Range and Average of Financial Assistance: Grants and contracts have ranged from $35,000 to $400,000 on an annual basis. The average award is about $100,000 a year.

PROGRAM ACCOMPLISHMENTS

In fiscal year 1978, research efforts were concentrated on gaining information critical to the effective planning and management of Older Americans Act Programs. In addition, two projects were funded to study abuse and maltreatment

of the elderly: the first, a 9-month study of the causes and scope of elderly abuse in domestic and institutional settings, developed models of neglect, maltreatment, and abuse phenomena and used these models to examine risk factors, intervention, civil/legal rights, and other issues as a basis for future policy recommendations; the second investigated the national incidence of maltreatment of the elderly including neglect and physical or mental abuse.

ENABLING LEGISLATION

Older Americans Act of 1965, Public Law 89-73, as amended by Public Laws 90-42, 91-69, 92-258, 93-29, 93-351, 94-135, and 94-478 Title IV B, Section 411.

INFORMATION CONTACT

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13.637 Special Programs for the Aging - Title IV A - Training (B)

PROGRAM DESCRIPTION

Activities that attract qualified persons to the field of aging, and train persons employed or preparing for employment in aging and related fields are supported by this program.

This program supports training.

USES AND USE RESTRICTIONS

Funds must be used to benefit older persons by insuring qualified persons to staff aging programs. Some examples are: developing and conducting in-service training for staff of State and area agencies on Aging; establishing career training programs at institutions of higher education including student traineeships; developing innovative curriculum materials; conducting conferences, and other activities related to attracting and preparing persons for work in the field of aging. JOINT FUNDING: This program is considered suitable for joint funding with closely related Federal financial assistance programs in accordance with the provisions of OMB Circular No. A-111. For programs that are not identified as suitable for joint funding, the applicant may consult the headquarters or field office of the appropriate funding agency for further information on statutory or other restrictions involved.

TYPES OF ASSISTANCE

Project Grants (Contracts) are available.

FORMULA AND MATCHING GRANTS

None are required.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Grants may be made to State agencies on Aging; State or local educational agencies, institutions of higher education or other public or nonprofit private agencies, organizations or institutions; and contracts may be made with any agency, institution, or organization.

BENEFICIARY ELIGIBILITY:
Persons employed or preparing for employment in aging related fields.

CREDENTIALS/DOCUMENTATION:
Applicable costs and administrative procedures will be determined in accordance with Part 74 of Title 45 of the Code of Federal Regulations, Appendix C of which implements the requirements of FMC 74-4.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: Applications are subject to State and areawide clearinghouse reviews pursuant to procedures in Part I, Attachment A of OMB Circular No. A-95 (revised). State agency training proposals require clearinghouse review coordination in accordance with the policies of OMB Circular No. A-95, Part I. Copies of all other requests for training and manpower development support should be submitted to appropriate State agencies on Aging and DHHS regional aging offices concurrently with submission of original copies of request to the Washington, D.C. office. Guidelines continued in OMB Circular No. A-102 pertaining to administration procedures which are applicable for State agency in-service training proposals.

Application Procedure: All requests for support are submitted on HHS Form 608T (OMB NO.80-R0186), Application for Federal assistance (Nonconstruction Programs) in accordance with guidelines developed each fiscal year by the Office of Education and Training, Administration on Aging. Application forms are available from the Washington, D.C. office, as well as through State and regional offices under regional education and training program. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure: In awards to State agencies for in-service training, notification of awards must be made to the designated State Central Information Reception Agency in accordance with Treasury Circular 1082. The State agency can enter into contracts with education institutions and other training organizations in accordance with in-service training plan approved for funding.

Deadlines: As prescribed by guidelines each fiscal year.

Range of Approval/Disapproval Time: 30 to 90 days.

Appeals: None.

Renewals: Proposals are submitted annually.

APPROPRIATIONS

Fiscal Year 1978	$16,441,000
Fiscal Year 1979	$17,000,000
Fiscal Year 1980 (est.)	$17,000,000

Range and Average of Financial Assistance: Career training awards-$23,000 to $218,000; State Agency Awards-$15,000 to $528,000; Curriculum and Manpower Development-$20,000 to $175,000.

PROGRAM ACCOMPLISHMENTS

At the close of fiscal year 1977, 78 awards were made to institutions of higher education for programs preparing students to serve the elderly; 16

awards were made for developmental and quality improvement; and proposals from each State Agency on Aging were funded for in-service training of State and local personnel.

ENABLING LEGISLATION

Older Americans Act of 1965, Public Law 89-73, as amended by Public Laws 90-42, 91-69, 92-29, 94-135, and 95-478 Title IV A, Section 401.

INFORMATION CONTACT

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Department of Health and Human Services
Office of Human Development Services
Administration on Aging
Older Americans Act Program

13.638 Special Programs for the Aging - Title IV G -
Multidisciplinary Centers of Gerontology (S)

PROGRAM DESCRIPTION

New and existing multidisciplinary centers of gerontology will be established by this program.

This program supports training, research, demonstration projects, and technical assistance.

USES AND USE RESTRICTIONS

Grants are awarded for initiating, promoting, developing, and coordinating research, training, demonstration projects, and technical assistance efforts in aging on a multidisciplinary basis. The purposes of the grant must be consistent with those purposes of multidisciplinary centers of gerontology set forth in Title IV, Part E, Section 444 of the Older Americans Act. JOINT FUNDING: This program is considered suitable for joint funding with closely related Federal financial assistance programs in accordance with the provisions of OMB Circular No. A-111. For programs that are not identified as suitable for joint funding, the applicant may consult the headquarters or field office of the appropriate funding agency for further information on statutory or other restrictions involved.

TYPES OF ASSISTANCE

Project Grants are available.

FORMULA AND MATCHING GRANTS

These may be required of any grantee, depending on the decision of the Commissioner of the Administration on Aging.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Grants may be made to any public or private nonprofit college or university, agency, organization, or institution. Grants may not be awarded to any profit-making organization, nor to any individual, nor to a Federal Government agency.

BENEFICIARY ELIGIBILITY:
(Same as Applicant Eligibility)

CREDENTIALS/DOCUMENTATION:
Applicable costs and administrative procedures will be determined in accordance with Part 74 of Title 45 of the Code of Federal Regulations, Appendix C of which implements the requirements of FMC 74-4. Costs will be determined in accordance with FMC 74-4 for State and local governments. Where appropriate, applicants should submit DHHS Form 596, "Protection of Human Subjects, Assurance/Certification/Declaration."

APPLICATION AND AWARD PROCESS

Preapplication Coordination: This program requires clearinghouse review coordination under policies of OMB Circular No. A-95, Part I and coordination with the policies of OMB Circular No. A-102. Applications are subject to State and areawide clearinghouses review pursuant to procedures of OMB Circular No. A-95 (revised). Consultation and assistance is available for preparing grant applications from the Administration on Aging central and regional offices. A program announcement of the Multidisciplinary Centers of Gerontology Program is published annually and available from the Administration on Aging. Applicants submit applications to the State Agency on Aging for comment.

Application Procedure: Applications for Federal Assistance (Nonconstruction Programs) should be in accordance with instructions and guidelines developed by the Administration on Aging each year. Application forms and related materials are available from the Office of Education and Training, AoA, Washington, DC 20201.

Award Procedure: Notification of awards is made to the designated State Central Information Reception Agency in accordance with Treasury Circular 1082.

Deadlines: Established when grant application guidelines are issued as part of the annual AoA Multidisciplinary Centers of Gerontology Program Announcement.

Range of Approval/Disapproval Time: Generally 30 to 60 days for grant applications.

Appeals: Grantee appeals may be made to the Departmental Grant Appeals Board pursuant to 45 - CFR - 16.

Renewals: Grant extensions compete with new applications for review and possible approval.

APPROPRIATIONS

Fiscal Year 1978	$3,579,999
Fiscal Year 1979	$3,800,000
Fiscal Year 1980 (est.)	$3,800,000

Range and Average of Financial Assistance: For the multidisciplinary centers of gerontology program, on an annual basis, grants range from $50,000 to $75,000 for "developmental" grants, and from $150,000 to $200,000 for "operational" grants. The average award is about $90,000 to $100,000 a year.

PROGRAM ACCOMPLISHMENTS

Grants to establish and support multidisciplinary centers of gerontology were first made in fiscal year 1976. Some 15 centers were established, while 7 existing centers were expanded. In fiscal year 1978, 15 centers were established and 8 existing centers were expanded.

ENABLING LEGISLATION

Title IV-E, of the Older Americans Act of 1965, Public Law 89-73 as amended by Public Laws 93-29, 93-351, 94-135 and 95-478.

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13.642 Social Services for Low Income and Public Assistance Recipients

PROGRAM DESCRIPTION

This program of Public Social Services under Title XX of the Social Security Act (SSA) enables States to provide social services to public assistance recipients and other low-income persons as part of a Federal-State grant-in-aid program.

This program supports direct services.

USES AND USE RESTRICTIONS

Federal funds may be used for the proper and efficient operation of social services programs to enable eligible individuals to become or remain self-supporting and self-sufficient; to prevent neglect, abuse or exploitation of children and adults; to prevent inappropriate institutional care; and to arrange for institutional care, when appropriate. Federal funds cannot be used for public education services that are generally available, or land acquisition or services provided by institutions to their inmates. There are other restrictions with respect to medical care, room and board, day care services, in-kind matching, donated funds, and cash payments as a service. Fees must be charged for services provided to specified income eligible persons. JOINT FUNDING: This program is considered suitable for joint funding with closely related Federal financial assistance programs in accordance with the provisions of OMB Circular No. A-111. For programs that are not identified as suitable for joint funding, the applicant may consult the headquarters or field office of the appropriate funding agency for further information on statutory or other restrictions involved.

TYPES OF ASSISTANCE

Formula grants to States for public social services including transportation, child care, counseling, housing services, technical assistance, public education, volunteers, information and referral, and family planning services.

FORMULA AND MATCHING GRANTS

Federal funds are used to reimburse the States for 75 percent of social services costs, specified in the Comprehensive Services Plan (CSP) for Title XX (and the service plan for the other titles), that are expended in accordance with regulations including costs related to staff development and training programs, with the exception of family planning services which are matched at 90 percent. Federal matching for Title XX social services is subject to a general limitation of $2,700,000,000 for fiscal year 1979,

and $2,500,000,000 for fiscal years 1978 and 2,700,000,000 for fiscal year 1980. Each State's and the District of Columbia's maximum shares under these ceilings are proportional to its portion of the national population. In addition, Congress authorized, under Title XX, an additional transition quarter and $200 million for fiscal year 1978 and fiscal year 1979 to reimburse the States for 100 percent of costs associated with child day care activities. Federal matching for social services other than Title XX is subject to a general limitation of $25,000,000. In addition, up to $16,000,000 of State Title XX funds when certified by the other 51 jurisdictions as exceeding their requirements is available for reallotment to the Island jurisdictions.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Designated Title XX State agencies in the 50 States and the District of Columbia; for Titles I, IV A, X, XIV, and XVI, State welfare agencies in Guam, Puerto Rico, the Northern Marianas, and the Virgin Islands.

BENEFICIARY ELIGIBILITY:
According to the State's CSP under Title XX, any recipient of Aid to Families with Dependent Children, Supplementary Security Income payment recipients or State supplementary payment recipients as well as low-income individuals. A fee must be charged to individuals whose income is between 80 and 115 percent of the median income. Individuals whose gross monthly income exceeds 115 percent of the median income of a family of 4 in the State adjusted for family size are not eligible for Title XX services. For the other titles in the Island jurisdictions, any needy person who is, or may become a recipient of financial assistance, i.e., the blind, aged, permanently and totally disabled, or families with dependent children. In addition, individuals may be provided with (1) family planning services, (2) information or referral services or (3) services to prevent or remedy neglect, abuse or exploitation of children or adults without regard to income if the State provides for these services in its plan.

CREDENTIALS/DOCUMENTATION:
Under Title XX a State must submit an administrative State Plan and have it approved by the Secretary prior to receipt of any payments under that plan. Governors (or their designated Plan review agencies) must be given an opportunity to review the State Plan pursuant to Part III, Attachment A of [illegible] Circular No. A-95 (revised). Federal funds must go to a certified State social services agency. Costs will be determined in accordance with [illegible] 74-4 for State and local governments. For Titles I, IV A, XIV, and SVI, State Plans for both administration and services must be approved by HHS. A State must also submit a proposed CSP for informational purposes, not approval, at least 90 days before the start of the State's program year (this may be either the State or the Federal fiscal year).

APPLICATION AND AWARD PROCESS

A proposed CSP must be approved by the State's designated official and published for public comment at least 90 days prior to the start of the program year. A final plan must be published at least 45 days prior to the start of the program year. Regional office staff are available to assist with technical

development of CSP, State Administrative Plans, revisions, amendments, etc. The standard application form for the administrative State Plan as furnished by the Federal agency and required OMB Circular A-102 must be used for this program.

Application Procedure: Title XX applications are made by submitting an affordable Administrative State Plan and publication of the CSP meeting all of the requirements as published in 45 CFR 228. For the Island jurisdictions, application is made in the form of a State Plan, in prescribed HHS format.

Award Procedure: States are awarded funds quarterly based on their estimates of funds needed to provide social services to eligible individuals. Notification of awards must be made to the designated State Central Information Reception Agency in accordance with Treasury Circular 1082.

Deadlines: State estimates are submitted quarterly as follows: February 15, May 15, August 15, and November 15.

Range of Approval/Disapproval Time: Administrative State Plans may be made effective back to the first day of the calendar quarter in which an approvable plan is submitted, and may require up to 90 days for approval.

Appeals: States have 60 days after disapproval to resubmit revised Administrative State Plans.

Renewals: Once approved, the Administrative State Plan is in effect until amended or revised.

APPROPRIATIONS

Fiscal Year 1978	Title XX	$2,500,000,000
	Day Care	200,000,000
	State and Local Training	72,400,000
Fiscal Year 1979	Title XX	$2,620,100,000
	Day Care	200,000,000
	State and Local Training	90,000,000
Fiscal Year 1980 (estimates)	Title XX	$2,475,000,000
	Day Care	22,000,000
	State and Local Training	75,000,000

PROGRAM ACCOMPLISHMENTS

During fiscal year 1978 an average of 3,174,000 primary recipients per quarter were provided service. It is estimated an average of 3,193,000 primary recipients per quarter will receive service during fiscal year 1979, and 3,211,000 during fiscal year 1980. In addition, grants were made to service projects including a residential treatment center for female-headed multiproblem families; a demonstration of an abuse and dependency prevention program for infants; and a residential treatment program for female-headed

households. Some persons served in these projects are victims of domestic violence. Legislation, effective October 1979, will permit Title XX funds to be used for emergency shelter to an adult in danger of "physical or mental injury, maltreatment, or exploitation" (P.L. 96-272). Any one adult could be provided shelter for a maximum of 30 days in any 6-month period.

ASSISTANCE FOR DOMESTIC VIOLENCE VICTIMS

An array of services are available in a number of States to victims of domestic violence. These include counseling services directed to developing and implementing a plan to cope, assistance in obtaining emergency shelter or arranging for other alternative living arrangements, understanding the interpersonal dynamics leading to domestic violence; providing and arranging for medical care, legal counsel and representation, job training and employment; referring for financial aid; arranging for child care; arranging or providing transportation to facilitate an individual plan for service.

Some persons who receive care in an emergency shelter have subsequently joined the shelter staff as employees or volunteers. Victims of domestic violence participate in group sessions, thereby offering help to each other.

ENABLING LEGISLATION

Social Security Act, Title I, Public Law 87-543; 42 U.S.C. 301-306; Title IV, Public Law 90-248; 42 U.S.C. 602-3; Title XVI, Public Law 87-543; 42 U.S.C. 1361-71; Title X, Public Law 87-543; 42 U.S.C. 1201-1206; Title XIV, Public Law 89-97; 42 U.S.C. 301-306 and 1361-71; Public Law 92-512; Title XI, Title XVI; Public Law 92-603; 42 U.S.C. 801-5 and 301; Public Law 93-647, Title XX, 42 U.S.C., Public Law 95-171.

INFORMATION CONTACT

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Department of Health and Human Services
Office of Human Development Services
Administration for Children, Youth and Families

13.645 Child Welfare Services--State Grants

PROGRAM DESCRIPTION

Services are provided by State and local public welfare programs for development of preventive or protective services which will prevent the neglect, abuse, exploitation, or delinquency of children.

This program supports direct services.

USES AND USE RESTRICTIONS

The grants may be used for such things as: costs of personnel to provide protective services to children; licensing of, and standard-setting for private childcaring agencies and institutions; and assisting with costs of foster care, day care, homemaker services, return of runaway children, and adoptive placements of children. JOINT FUNDING: This program is considered suitable for joint funding with closely related Federal financial assistance programs in accordance with the provisions of OMB Circular A-111.

TYPES OF ASSISTANCE

Formula Grants to State agencies which provide services to children and their parents in the areas of child care, housing, medical training, counseling, and housing.

FORMULA AND MATCHING GRANTS

Each State receives a uniform amount of $70,000 for child-welfare services. The balance of the Federal child-welfare services appropriation is allotted to States on a variable matching formula which takes into account the child population under 21 and inversely the State per capita income.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Single State Agency from all States, the District of Columbia, Puerto Rico, the Northern Marianas, the Virgin Islands, and Guam.

BENEFICIARY ELIGIBILITY:
Any families and children in need of child welfare services.

CREDENTIALS/DOCUMENTATION:
Governors (or their designated Plan review agencies) must be given an opportunity to review the State Plan pursuant to Part III, Attachment A of OMB Circular No. A-95 (revised). The State department which administers the

services program under Title XX must be the designated single State agency to administer child-welfare services and must give assurances that the provisions of the State Plan are met. Costs will be determined in accordance with FMC 74-4 for State and local governments.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: State Governors or single State agency executives review State Plans, amendments, quarterly estimates and any other federally required reports. Regional office staff are available to assist with technical development of State Plans, amendments, revisions, etc.

Application Procedure: Made in the form of a State Plan prepared jointly with the Regional Program Director, Children, Youth, and Families OHDS, in a format prescribed by OHDS.

Award Procedure: The Commissioner for Children, Youth, and Families, OHDS, makes final decisions on all award applications. Awards are made quarterly. Notification of awards must be made to the designated State Central Information Reception Agency in accordance with Treasury Circular 1082.

Deadlines: The Annual Budget portion of the State Plan is due in the Regional Office 45 days preceding the beginning of the fiscal year.

Range of Approval/Disapproval Time: Not applicable.

Appeals: Not applicable.

Renewals: None.

APPROPRIATIONS

Fiscal Year 1978	$56,488,000
Fiscal Year 1979	$56,500,000
Fiscal Year 1980 (est.)	$56,500,000

Range and Average of Financial Assistance: $112,530 to $4,420,291; $1,046,296.

PROGRAM ACCOMPLISHMENTS

During Fiscal Year 1977, over 200,000 children received services under this program. In Fiscal Year 1979, an estimated 190,000 children will receive services.

ENABLING LEGISLATION

Social Security Act, Sections 420-426; Public Law 90-248, Section 240(c); 81 Stat. 911; 42 U.S.C. 620-625; Public Law 92-603.

INFORMATION CONTACT

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Department of Health and Human Services
Office of Human Development Services
Department of Labor
Employment and Training Administration

13.646 Work Incentive Program (WIN) (A)

PROGRAM DESCRIPTION

AFDC applicants and recipients receive employment-related services to help them find jobs and become self-supporting.

This program supports direct services and training.

USES AND USE RESTRICTONS

This integrated DOL/HHS program is directed to employable welfare applicants and recipients under the program of Aid to Families with Dependent Children (AFDC) who are required to register for WIN, unless exempt, or who volunteer for WIN. Employment and training services offered include: (1) on-the-job training and placement in unsubsidized employment; (2) placement in public service employment arranged with public and private nonprofit organizations for individuals for whom unsubsidized jobs are not available; (3) employment-related activities, basic education, skill training, work experience, employment counseling, and vocational testing. These services are supplemented by employment-related social services approved and arranged by a Separate Administrative Unit (SAU) of the State welfare agencies. Among the provided social services are: (1) child care; (2) family planning; (3) counseling; (4) employment-related remedial care and health-related services; and (5) selected vocational and rehabilitation services. Employers hiring WIN registrants are eligible for a tax credit which enables them to receive credit of 50 percent of wages up to $6,000 the first year, and 25 percent the second year paid to these individuals within specified limitations. JOINT FUNDING: This program is considered suitable for joint funding with closely related Federal financial assistance programs in accordance with the provisions of OMB Circular No. A-111. For programs that are not identified as suitable for joint funding, the applicant may consult the headquarters or field office of the appropriate funding agency for further information on statutory or other restrictions involved.

TYPES OF ASSISTANCE

Each State WIN plan proposes a funding level necessary to support training and employment activities and social services required to help WIN registrants become self-supporting.

FORMULA AND MATCHING GRANTS

A maximum of 90 percent can be Federal funds with 10 percent non-Federal cash or in-kind matching.

ELIGIBILITY REQUIREMENTS

State Employment Service agencies are usually the ETA agencies for WIN. Social services are provided through a Separate Administrative Unit of the welfare agency. WIN services are available in Puerto Rico, the Virgin Islands, and Guam.

BENEFICIARY ELIGIBILITY:
Applicants and recipients of Aid to Families with Dependent Children (AFDC) who are required by law to register with WIN or who choose to register voluntarily.

CREDENTIALS/DOCUMENTATION:
Governors (or their designated plan review agencies) must be given an opportunity to review the State Plan pursuant to Part III, Attachment A of OMB Circular No. A-95 (Revised). Costs will be determined in accordance with FMC 74-4 for State and local governments.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: WIN State Budget and Goals are established and approved by the Departments of Labor and HHS. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program.

Application Procedure: State employment service sponsors and welfare agencies submit contract and budget package following guidelines set by DOL and HHS in Regulations and Handbook to the appropriate Regional Office.

Award Procedure: Awards for employment and training sponsors are generally given to State Employment Services, but the Regional Administrator (DOL) has the final responsibility for agency designation. The Regional Administrator (HHS/OHDS), makes final decisions on all award applications for State welfare agencies and the awards are made quarterly. Notification of awards must be made to the designated State Central Information Reception Agency in accordance with Treasury Circular 1082.

Deadlines: Applications for WIN employment and training agencies should be received prior to the beginning of each fiscal year. Applications for welfare agencies should be received 30 days prior to initiation of the program.

Range of Approval/Disapproval Time: None.

Appeals: Not applicable for WIN employment and training agencies. No formal procedures for welfare agencies.

Renewals: Not applicable for WIN employment and training agencies. Renewed and revised annually for welfare agencies.

APPROPRIATIONS

Fiscal Year 1979	$385,000,000
Fiscal Year 1980	$365,000,000 continuing resolution

PROGRAM ACCOMPLISHMENTS

In fiscal year 1979, 920,500 individuals were newly enrolled, and 297,460 entered unsubsidized jobs. It is estimated that 920,500 individuals will be newly enrolled and served in fiscal year 1980, and that at least 275,000 will be placed in unsubsidized jobs.

ENABLING LEGISLATION

Social Security Amendments of 1967, Public Law 90-248, as amended 81 Stat. 821, 42 U.S.C. 601 et seq; 42 U.S.C. 630; Public Law 92-223, 85 Stat. 802, 42 U.S.C. 601 et seq.; 42 U.S.C. 602; Revenue Act of 1971, Public Law 92-178, 85 Stat. 497, 26 U.S.C. 31 et seq.; Public Law 94-12, 89 Stat. 26 et seq., 26 U.S.C. 50A; Public Law 94-401, 90 Stat. 1217, 26 U.S.C. 50A; Tax Reform Act of 1976, Public Law 94-455, 90 Stat. 1903, 26 U.S.C. 50A; Revenue Act of 1978, Public Law 95-600; Stat. 2763 et seq., 26 U.S.C. 50A, 50B; Unemployment Compensation Amendments of 1976, Public Law 94-566, 90 Stat. 2689, 42 U.S.C. 601 et seq., Social Security Amendments of 1980, Public Law 96-265.

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Department of Health and Human Services
Office of Human Development Services
Administration for Children, Youth and Families

13.648 Child Welfare Services Training Grants

PROGRAM DESCRIPTION

Section 426 (Part B of Title IV) of the Social Security Act authorizes the Secretary of HHS to make grants... "for special projects for training personnel for work in the field of child welfare." Teaching Grants and Traineeship Grants are made to public or other nonprofit institutions of higher learning, for the following purposes: (1) To develop, expand, and improve education programs and resources for preparing students for work in the field of child welfare; (2) To develop the skills and qualifications of full-time students who have as their career objectives the provision of services to children and their families by providing financial support.

USES AND USE RESTRICTIONS

Teaching Grants and Traineeship Grants are awarded only to public or nonprofit colleges and universities offering baccalaureate or graduate degree programs in social work which are accredited or granted candidacy status by the Council on Social Work Education. Grants are not made to individuals even though they may be affiliated with an institution of higher learning, or to public or private agencies or organizations other than institutions of higher learning.

TYPES OF ASSISTANCE

Teaching Grants are made to develop degree-oriented curricula which address the range of issues and problems in the practice of child welfare and integrate a child welfare field placement program (in a school of social work) or to develop curricula with practice relevant focus for a school of continuing education which is part of or affiliated with the applicant school of social work.

Traineeship grants are made to eligible schools to provide financial support to: full-time Baccalaureate degree students in their senior year; full-time first or second year graduate students working toward a Master's degree in social work or its equivalent; and full-time Doctoral candidates. Undergraduates at the junior level, students who are enrolled part-time or for any other purpose are not eligible to receive assistance under the program.

FORMULA AND MATCHING GRANTS

None are required.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Institutions of higher learning as specified above.

BENEFICIARY ELIGIBILITY:
Teaching Grants and Traineeship Grants are made to eligible institutions as cited above. Student applications are made to recipient institutions.

CREDENTIALS/DOCUMENTATION:
Grants to educational institutions are made only to those which are accredited as described under Uses and Use Restrictions. Costs are determined in accordance with Part 74 of the Code of Federal Regulations which implements OMB Circular No. A-21.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: Institutions applying for Training Grants programs are advised to consult with appropriate State program agencies concerning their proposals. Standard application forms prescribed by OMB Circular No. A-102 are required. Notification of awards must be made in accordance with Treasury Circular 1082.

Application Procedure: Application for Teaching or Traineeship Grants is made to appropriate Regional Program Director, ACYF, OHDS, based upon grant solicitation notification published in the Federal Register each year. Applications for Teaching Grants and Traineeship Grants should be requested from the appropriate regional office. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure: Award action on new and continuation Teaching and Traineeship applications are delegated to the Regional Program Director, ACYF. Awards are made after review by a panel of non-Federal persons, with final decision by the Regional Program Director, ACYF in the Headquarters Office.

Deadlines: Determined annually for new projects. Applications for continuation grants must be received 90 days prior to the start of the new budget period.

Range of Approval/Disapproval Time: Applications for grants are acted upon once a year between May 1 and June 30.

Appeals: None.

Renewals: Renewals and extension available only through the competitive new application process.

APPROPRIATIONS

Fiscal Year 1978	$8,150,000 (Included Grants of National Significance)
Fiscal Year 1979	$8,500,000 (Included Grants of National Significance)
Fiscal Year 1980	$7,575,000 (estimated)

Range and Average of Financial Assistance: Average grant under Section 426

(FY 1979) for Teaching Grants is $32,000, and for Traineeship Grants is $30,500.

PROGRAM ACCOMPLISHMENTS

In fiscal year 1979, Teaching Grants funded - 78; Traineeship Grants funded - 102.

ENABLING LEGISLATION

Social Security Act of 1935, Section 426(a)(1)(c), 42 U.S.C. 626(a)(1)(c).

APPLICABILITY FOR OFFICE ON DOMESTIC VIOLENCE

The range of issues and problems in the practice of child welfare would include those related to domestic violence, such as child abuse and other family turmoil. Curricula developed around those issues would address methods of dealing with dysfunctioning families and the consequences of domestic violence.

INFORMATION CONTACTS

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Department of Health and Human Services
Office of Human Development Services
Administration on Children, Youth and Families
Office on Domestic Violence

PROGRAM DESCRIPTION

The Office on Domestic Violence (ODV) serves as the central information source on domestic violence programs both inside and outside the Federal Government. Although at present it cannot fund direct service programs, the Office does support public information activities, technical assistance and training, and research and demonstration projects authorized under Sections 426 and 1110 of the Social Security Act. As the staff office for the Interdepartmental Committee on Domestic Violence established by the President, ODV coordinates committee activities and reviews Federal programs providing assistance to victims of domestic violence. The Office also works with other Federal agencies to strengthen their services to victims of domestic violence and to focus governmental and national attention on the problem of spouse abuse, its effect on all family members, and the need for increased services for its victims.

TYPES OF ASSISTANCE

Information and Resource Material: Through its National Clearinghouse on Domestic Violence, ODV collects and distributes information on the incidence and causes of domestic violence, service programs, funding sources, and related activities. Materials may be obtained by writing: National Clearinghouse on Domestic Violence, P.O. Box 2309, Rockville, MD 20852. In conjunction with LEAA, Department of Justice, ODV also sponsors a monthly newsletter, RESPONSE, published by the Center for Women Policy Studies.

Technical Assistance and Training: ODV and ACTION jointly sponsor 10 regional technical assistance centers to provide on-site assistance to State and local projects providing services to victims of domestic violence.

1. Region I (Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, and Vermont)

   Domestic Violence Technical Assistance Project
   Casa Myrna Vasquez, Inc.
   P.O. Box 18019
   Boston, MA 02118
   Director: Paulea Mooney

2. Region II (New Jersey, New York, Puerto Rico, and the Virgin Islands)

   Volunteers Against Violence Technical Assistance Project
   American Friends Services Committee
   New York Metropolitan Regional Office
   15 Rutherford Place
   New York, NY 10003
   Director: Yolanda Bako

3. Region III (Delaware, Maryland, Pennsylvania, Virginia, West Virginia, and the District of Columbia)

   Pennsylvania Coalition Against Domestic Violence
   2405 North Front Street
   Harrisburg, PA 17110
   Director: Barbara Hart

4. Region IV (Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, and Tennessee)

   University of Tennessee
   Division of Continuing Education
   426 Communications Building
   Knoxville, TN 37916
   Director: Lucy Biggs

5. Region V (Illinois, Indiana, Michigan, Minnesota, Ohio, and Wisconsin)

   Domestic Violence Technical Assistance Project
   Community Crisis Center, Inc.
   600 Margaret Place
   Elgin, IL 60120
   Director: Gretchen Vapnar

6. Region VI (Arkansas, Louisiana, New Mexico, Oklahoma, and Texas)

   Domestic Violence and Networking Project
   Houston Area Women's Center
   P.O. Box 20186
   Houston, TX 77025
   Director: Patricia Gearitz

7. Region VII (Iowa, Kansas, Missouri, and Nebraska)

   Rural Family Violence Center
   Nebraska Task Force on Domestic Violence
   930 Manchester Street
   Lincoln, NE 68528
   Director: Joan Wilson

8. Region VIII (Colorado, Montana, North Dakota, South Dakota, Utah, and Wyoming)

   Domestic Violence Technical Assistance Center
   Colorado Association for Aid to Battered Women
   Box 136
   Colorado Women's College
   Denver, CO 80220
   Director: Lindsey Lawrence

9. Region IX (Arizona, California, Hawaii, Nevada, and Guam)

Technical Assistance Center
Southern California Coalition on Battered Women
P.O. Box 5036
Santa Monica, CA 90405
Director: Kerry Lobel

10. Region X (Alaska, Idaho, Oregon, and Washington)

State Networks Technical Assistance Project
Washington State Shelter Network
Technical Assistance Office
401 Broadway
Tacoma, WA 98402
Director: Carol Richards

Research and Demonstration Grants: ODV has a limited amount of money available to support research and demonstration projects. Projects that received support in fiscal years 1979 and 1980 were focused on services to children of battered women, and on the development of advocacy program models. Program announcements outlining specific information on new or continuing ODV grant programs are published in the Federal Register. Announcements for ODV contract awards are published in the Commerce Business Daily. Articles and more general information on ODV Program activities appear regularly in the newsletter RESPONSE.

APPROPRIATIONS

Funds available in fiscal year 1980 are $1.2 million.

INFORMATION CONTACT

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Director
Office on Domestic Violence
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13.714 Medical Assistance Program--Medicaid, Title XIX (A)

PROGRAM DESCRIPTION

Medicaid Title XIX of the Social Security Act authorizes Federal grants to States for medical assistance to low-income persons who are aged 65 or over, blind, disabled, or members of families with dependent children.

USES AND USE RESTRICTIONS

States must provide for the categorically needy, in- and out-patient hospital services; other laboratory and x-ray services; skilled nursing home services; home health services for persons over 21; family planning services; physicians' services; and early and periodic screening, diagnosis, and treatment for individuals under 21. For the medically needy, States are required to provide any seven of these services for which Federal financial participation is available.

TYPES OF ASSISTANCE

Formula Grants. Transportation services to and from medical care, alcohol drug abuse and family planning counseling, and information and referral services are provided to recipients.

FORMULA AND MATCHING GRANTS

Federal funds are available to match State expenditures for medical care provided under the State Plan; the Federal share ranges from 50 percent to 78 percent according to a formula based upon the relation of State per capita income to national per capita income.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
State and local welfare agencies must operate under an HHS approved (Medicaid) State Plan and comply with all Federal regulations governing aid and medical assistance to the needy.

BENEFICIARY ELIGIBILITY:
Needy persons who are over 65, blind, disabled, members of families with dependent children, and, in some States, persons under age 21 may apply to a State or local welfare agency for medical assistance. Eligibility is determined by the State in accordance with Federal regulations.

CREDENTIALS/DOCUMENTATION:
Governors (or their designated Plan review agencies) must be given an opportunity to review the State Plan pursuant to Part III, Attachment A of OMB Circular No. A-95 (revised). Federal funds must go to a certified State welfare agency. Individuals must meet State requirements. Costs will be determined in accordance with FMC 74-4 for State and local governments.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: States should contact the HHS Regional Office for assistance with developing State Plans for the Medicaid program (see Appendix IV, Agency Regional and Local Office Addresses). State Governors review State Plans, amendments, quarterly estimates, and any other federally required reports prior to submission to the Department of Health and Human Services, HCFA.

Application Procedure: Individuals needing medical assistance should apply directly to the State or local welfare agency. States should contact the Regional Administrator, HCFA (see Appendix IV in the Catalog for location) for application forms (State Plans).

Award Procedure: Regional Administrators have authority to approve State Plans. Once a State Plan is approved, States are awarded funds quarterly based on their estimates of funds needed to provide medical assistance to the needy. Awards are made quarterly on a fiscal year basis as follows: September 1, December 1, March 1 and June 1. Notification of awards are made to the State Central Information Reception Agency in accordance with Treasury Circular 1082. Individuals receive medical care who are participating in the Medicaid program.

Deadlines: An individual needing medical assistance may apply to the State at any time. States must submit quarterly estimates of funds needed no later than August 15, November 15, February 15, and May 15 in order to receive a quarterly grant award.

Range of Approval/Disapproval Time: The States usually provide needy individuals with immediate medical assistance. State Plans may require up to 90 days for approval.

Appeals: Individuals denied medical assistance by the State or local welfare agency must be given a fair hearing on appeal (CFR 45, Chapter 2, Part 205.10, Part 3034, February 13, 1971). States have 60 days to resubmit revised State Plans.

Renewals: Recipients receive assistance as long as they are qualified under State requirements. Once a State Plan is approved, it remains in effect until revised or amended.

OBLIGATIONS
(Grants)

Fiscal Year 1978	$10,680,325,000
Fiscal Year 1979	$11,804,429,000
Fiscal Year 1980	est. $12,616,799,000

Range and Average of Financial Assistance: $862,235 to $1,705,750,707; $201,515,585.

PROGRAM ACCOMPLISHMENTS

The number of recipients receiving medical assistance in fiscal year 1979 is estimated to be 22,894,000. It is estimated that 23,005,000 will receive assistance in fiscal year 1980.

ENABLING LEGISLATION

Title XIX, Social Security Act as amended; Public Law 89-97; Public Law 90-248; Public Law 91-56; 42 U.S.C. 1396, et seq.; Public Law 92-223; Public Law 92-603; Public Law 93-66; Public Law 93-233.

INFORMATION CONTACT

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Acting Director
HCFA/Bureau of Program Policy
Medicare/Medicaid
Department of Health and Human Services
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Department of Health and Human Services
Social Security Administration
Aid to Families with Dependent Children

13.808 Assistance Payments--Maintenance Assistance (State Aid)

PROGRAM DESCRIPTION

This program encourages the care of dependent children in their own homes or in the homes of relatives by enabling each State to furnish financial assistance, rehabilitation, and other services, as far as practicable under the conditions in such State to needy dependent children and parents or relatives with whom they are living to help maintain and strengthen family life and to help such parents or relatives to attain or retain capability for the maximum self-support and personal independence consistent with the maintenance of continuing parental care and protection.

This program supports direct services.

USES AND USE RESTRICTIONS

Money payments by states made directly to eligible needy families with dependent children (and to needy, aged, blind, or disabled persons in Guam, Puerto Rico, and the Virgin Islands) are to cover costs for food, shelter, clothing, and other items of daily living recognized as necessary by each State's program. At the States' option, payments in the form of cash, protective, vendor or two party checks may assist needy families in emergency or crisis situations to avoid destitution or provide living arrangements. Under the AFDC program, payments are also made for care of specified children in foster homes or institutions. Federal funds up to $250 per home may be available for home repairs of a home owned by a recipient. Under the fully federally-funded repatriation program, money is provided for food, shelter, clothing, medical care (including hospitalization and treatment of mentally ill persons), and transportation to persons who have been returned to the United States because of destitution and who are not eligible for other financial assistance programs. Funds for State and local administration of programs are for costs of interviewing public assistance applicants for eligibility determination and validation of eligibility; costs of State and local personnel engaged in program direction and management; and other ongoing costs and activities related to administering the programs.

TYPES OF ASSISTANCE

Formula grants.

FORMULA AND MATCHING GRANTS

The Federal share for AFDC is based on a State's average monthly payment of $32 per recipient ($100 per child in foster care). Federal funds pay 5/6 of the first $18 of the average payment and then 50 percent to 60 percent of

the balance within the Federal average maximum. If it is to the State's benefit, it may use the medicaid formula with no maximum (provided the State has an approved medicaid Plan) which may range from 50 to 83 percent Federal funds. The Federal share of home repairs is 50 percent with a limit of $500 in Federal and State funds for any one home. The Federal share of payments for AFDC and the adult categories in Guam, Puerto Rico, and the Virgin Islands is determined by individual computation and subject to statutory ceilings.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
State or local welfare agencies must operate under HHS-approved State Plans and must comply with all Federal regulations governing aid and assistance to needy families with dependent children, and also to needy aged, blind or permanently and totally disabled persons in Guam, Puerto Rico, and the Virgin Islands.

BENEFICIARY ELIGIBILITY:
Needy families with dependent children deprived of parental support or care, and families with children needing emergency welfare assistance; destitute repatriates; or needy aged, blind or permanently and totally disabled persons in Guam, Puerto Rico, and the Virgin Islands.

CREDENTIALS/DOCUMENTATION:
Federal funds must go to a certified State welfare agency. Individuals must meet State eligibility requirements. Governors (or their designated Plan review agencies) must be given an opportunity to review the State Plan pursuant to Part III, Attachment A of OMB Circular No. A-95 (revised). Costs will be determined in accordance with FMC 74-4 for State and local governments.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: Applications are available from HHS/SSA Regional Offices. States should contact these offices for developing State Plans for various activities within the maintenance assistance program. State Governors review State Plans, amendments, quarterly estimates, and any other federally required reports prior to submission to SSA.

Application Procedure: Eligible individuals--Needy families with dependent children, and in Guam, Puerto Rico, and the Virgin Islands, the aged, blind, or disabled; and destitute repatriates should apply directly to the State or local welfare agency. States should contact SSA Regional Commissioners for application forms (State Plans). Regional Commissioners have authority to approve State Plans and amendments; disapproval authority is held by the SSA Commissioner. States contact HHS Regional Offices for applications for administrative funds.

Award Procedure: Once a State Plan is approved, states are awarded funds quarterly based on their estimates of maintenance assistance and administrative costs. Notification of awards must be made to the designated State Central Information Reception Agency in accordance with Treasury Circular 1082. Individuals receive monthly assistance checks from State or local welfare agencies.

Deadlines: Individuals may apply anytime to State or local welfare agencies for assistance. States' estimates must be submitted quarterly as follows: February 15, May 15, August 15, and November 15.

Range of Approval/Disapproval Time: State or local welfare agencies must have a time standard for notifying applicants of approval/disapproval, not over 45 days after application, except 60 days for aid to disabled. State Plan changes may require up to 90 days for approval.

Appeals: Individuals denied assistance must be given a fair hearing on appeal. State agencies may request a hearing before the Commissioner, Social Security Administration, regarding adverse decisions (45 CFR, Chapter 2, Section 205.10 pp.16-21, dated 4/2/74).

Renewals: Persons receiving assistance must be reviewed for eligibility every 12 months, except that AFDC eligibles must be reviewed and approved every 6 months. State Plans once approved remain in effect but parts may be amended or revised.

APPROPRIATIONS

Fiscal Year 1978	$6,354,412,000
Fiscal Year 1979	$6,628,305,000
Fiscal Year 1980 (est.)	$7,072,210,000

Range and Average of Financial Assistance: $1,177,000 to $921,000,000; $119,558,000.

PROGRAM ACCOMPLISHMENTS

In fiscal year 1978, an approximate average monthly number of 10,668,000 recipients received maintenance assistance. The figures for fiscal years 1979 and 1980 are estimated to be 10,538,000 and 10,618,000, respectively.

ENABLING LEGISLATION

Social Security Act of 1935, as amended; Public Law 74-271; Titles I, IV-Part A, X, XI, XIV, XVI; 42 U.S.C. 601 et seq, 1301 et seq, 1351 et seq; Public Law 86-571; 24 U.S.C. 321 et seq; Public Law 95-171; Public Law 95-216 (The Social Security Amendments of 1977); Revenue Act of 1978 and Public Law 95-600.

INFORMATION CONTACT

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14.120 Mortgage Insurance - Homes for Low and Moderate Income Families (Section 221[d][2])

PROGRAM DESCRIPTION

The objective of this program is to make homeownership more readily available to families who have been displaced by urban renewal or other Government actions as well as other low-income and moderate-income families. This program provides mortgage insurance to increase homeownership opportunities for low-income and moderate-income families, especially those displaced by urban renewal. HUD insures private lenders against loss on mortgage loans to finance the purchase, construction or rehabilitation on low-cost, single-family (1-4 unit) housing. Maximum insurable loans for an owner-occupant are $31,000 for a family (five or more persons), the limits are $36,000 or up to $42,000 in high cost areas. Higher mortgage limits apply to two-to-four-family housing.

This program supports direct services.

USES AND USE RESTRICTIONS

HUD insures lenders against loss on mortgage loans. These loans may be used to finance the purchase of proposed or existing low-cost one-family to four-family housing or the rehabilitation of such housing. Maximum insurable loans for an occupant mortgagor are $31,000 for a single family home, or up to $36,000 for a single family home in high cost areas. For a large family (five or more persons) the limits are $36,000 for a single-family home, or up to $42,000 for a single-family home in high cost areas. Higher mortgage limits are available for two-family to four-family housing.

TYPES OF ASSISTANCE

The types of assistance offered by this program are Insured Loans.

FORMULA AND MATCHING GRANTS

For most families purchasing single family units, the maximum amount of the loan is 97 percent of the appraised value, and closing costs. The down payment is the difference between the maximum loan amount and the purchase price of the home plus prepaid expenses. For displaced families the down payment required would be somewhat smaller, but in no event less than $200. The current maximum interest rate is 13 percent plus one-half percent for mortgage insurance premiums. The HUD application fee is $50 for existing, and $65 for proposed housing. The origination charge by mortgagees varies, but may not normally exceed 1 percent of the total mortgage.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
All families are eligible to apply. Displaced families qualify for special terms. Certification of eligibility as a displaced family is made by the appropriate local government agency. In addition, Section 221(d)(3) mortgages may be obtained by: public agencies; nonprofit, limited-dividend or cooperative organizations; private builders or investors who sell completed projects to such organizations. Section 221(d)(4) mortgages are limited to profit-motivated sponsors. Tenant occupancy is not restricted by income limits, except in the case of tenants receiving subsidies. The Section 221(d)(4) Program has provided the bulk of the financing assistance for Section 8 projects.

BENEFICIARY ELIGIBILITY:
In this program, beneficiary eligibility is identical to applicant eligibility.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: Applications are subject to State and areawide clearinghouses review pursuant to procedures in Part I, Attachment A of OMB Circular No. A-95 (revised).

Application Procedure: Application is submitted for review and approval or disapproval to the local HUD Field Office through an FHA-approved mortgagee. Application can also be made to the Full Service Housing Office serving the locality where the project is proposed.

Award Procedure: See Application Procedure.

Deadlines: None.

Range of Approval/Disapproval Time: Normal processing time is 5 days for approval of the property and 3 days for approval of the mortgagor.

Appeals: HUD will state the reasons for refusing an application. The applicant may reapply subject to the concurrence of the lender.

Renewals: Not applicable.

APPROPRIATIONS

Appropriated funds are not used in this program.

Level of insurance activity:

Fiscal Year 1978	$685,642,404
Fiscal Year 1979	$753,431,000
Fiscal Year 1980	$844,213,000

PROGRAM ACCOMPLISHMENTS

The cumulative activity through September 1977 is as follows: 837,092 units insured for a value of over $11 billion. In fiscal year 1978, 29,119 home

mortgage units valued at $685,642,404 were insured. Cumulative totals through September 30, 1978 are 798,935 home mortgage units insured, with a value of $11,031,416,442. In fiscal year 1979, 27,235 mortgages were insured with a value of $676,796,445.

ENABLING LEGISLATION

National Housing Act, as amended in 1954, Section 221(d)(2); Public Law 83-560; 12 U.S.C. 1715(b) 1715(1).

INFORMATION CONTACT

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Assistant Secretary for Housing
Department of Housing and Urban Development
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14.135 Mortgage Insurance - Rental Housing for Low and Moderate Income Families (Sections 221[d][3] and 221[d][4])

PROGRAM DESCRIPTION

The objective of this program is to provide good-quality rental housing within the price range of low-income and moderate-income families. Mortgage insurance is provided to finance rental or cooperative multifamily housing. To help finance construction or substantial rehabilitation of multifamily (5 or more units) rental or cooperative housing for low-income and moderate-income or displaced families, HUD conducts two related programs. Both insure project mortgages at the FHA ceiling interest rate. Projects in both cases may consist of detached, semidetached, row, walk-up, or elevator structures. The insured mortgage amounts are controlled by statutory dollar limits per unit which are intended to assure moderate construction costs. Units financed under both programs may qualify for assistance under Section 8 if occupied by eligible low-income families.

This program supports direct services.

Currently, the principal differences between the programs are two: HUD may insure 100 percent of total project cost under Section 221(d)(3) for nonprofit and cooperative mortgagors but only 90 percent under Section 221(d)(4) irrespective of the type of mortgagor; and statutory unit limit mortgage amounts are different for Section 221(d)(3) and for Section 221(d)(4).

Formerly, the two programs were distinguished by these additional differences. Projects financed under 221(d)(3) could qualify for a below-market interest rate (as low as 3 percent) and for rent supplements. Consequently, these projects were limited to a lower statutory cost ceiling per unit than was allowed under 221(d)(4) projects which did not benefit from these subsidies. Below-market interest rates and rent supplements are no longer available for new projects for these programs.

USES AND USE RESTRICTIONS

HUD insures lenders against loss on mortgages. Insured mortgages may be used to finance the construction or rehabilitation of rental detached, semidetached, row, walkup, or elevator-type rental housing containing 5 or more units. The unit mortgage limits for non-elevator apartments are as follows:

For Section 221(d)(3) non-elevator non-profit:

$21,563 efficiency
$24,662 one bedroom
$29,984 two bedroom
$38,379 three bedroom
$42,756 four or more

For 221(d)(3) non-elevator other mortgagors:

$19,406 0 bedrooms
$22,195 1 bedroom
$26,985 2 bedrooms
$34,541 3 bedrooms
$38,480 4 or more

For Section 221(d)(4) non-elevator all mortgagors:

$19,406 zero bed
$22,028 one bed
$26,625 two bedrooms
$33,420 three bedrooms
$37,870 four or more

Unit mortgage limits are somewhat higher for elevator-type structures. In areas where cost levels so require, limits per family unit increased up to 75 percent. Rental rates must permit occupancy by moderate income families and rents may be paid by the families or with Section 8 rental assistance.

TYPES OF ASSISTANCE

Guaranteed/Insured Loans are provided by this program.

FORMULA AND MATCHING GRANTS

The maximum amount of the loan would be equal to 90 percent of the estimated replacement cost in most cases and 100% for eligible mortgagors under §221(d)(3). The current maximum permissible interest rate is 13 percent plus 1/2 percent for mortgage insurance premiums. The HUD site appraisal and market analysis application and commitment fees are $3 per $1,000 of the mortgage amount. The HUD inspection fee may not exceed $5 per $1,000 of the mortgage amount.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Profit motivated sponsors, limited distribution and nonprofit sponsors, and others who meet FHA requirements for mortgagors.

BENEFICIARY ELIGIBILITY:
All families are eligible to occupy a dwelling in a structure whose mortgage is insured under the program, subject to normal tenant selection.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: The sponsor will have an initial conference with the local HUD Area or Insuring Office to determine the preliminary feasibility of the project before a site appraisal and market analysis application is submitted. HUD Regional and Area Offices will transmit a copy of the commitment application to the appropriate State clearinghouses and metropolitan or regional clearinghouses. Applications are subject to State and areawide clearinghouses review pursuant to procedures in Part I, Attachment A of OMB Circular No. A-95 (revised). An environmental clearance is required for this program. Application Procedure: The sponsor submits a formal application through an FHA-approved mortgagee to the local HUD insuring or Area Office.

Award Procedure: If the project meets program requirements, the Insuring or Area Office issues a commitment to insure the mortgage to the lender.

Deadlines: Deadlines are established on a case-by-case basis by the local HUD office, and are mutually agreed to at the pre-commitment conference.

Range of Approval/Disapproval Time: Processing time, depending upon the degree of preparation by the sponsor, will range from 3 to 9 months.

Appeals: If an application for mortgage insurance is refused, HUD will state the reasons for the refusal. If reapplication is desired, the applicant may reapply subject to concurrence of the lender.

APPROPRIATIONS

Fiscal Year 1978	$1,906,829,353
Fiscal Year 1979	$2,116,456,000
Fiscal Year 1980	$2,356,267,000

PROGRAM ACCOMPLISHMENTS

The cumulative activity through June 1977, for projects under Section 221(d)(4) totalled 281,731 units insured for a value of $4,670,329,338. The following figures for fiscal year 1978 include funding for 14.137 -- Morgage Insurance - Rental Housing for Low and Moderate Income Families, Market Interest Rate. In fiscal year 1978, 79,144 units valued at $2,019,689,704 were insured. Cumulative totals through September 30, 1978 are 511,376 units valued at $8,865,343,933.

ENABLING LEGISLATION

National Housing Act, as amended in 1959, Section 221; Public Law 86-372; 12 U.S.C. 1715(1).

INFORMATION CONTACT

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Office of Multifamily Housing Development
Assistant Secretary for Housing
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Department of Housing and Urban Development
Office of Housing
FHA Multifamily Mortgage Insurance Programs

14.139 Mortgage Insurance - Rental Housing in Urban Renewal Areas (Section 220-Multifamily)

PROGRAM DESCRIPTION

This program provides federal insurance for loans to finance mortgages on housing in federally designated urban renewal areas and to alter, repair or improve one-family to eleven-family housing in those areas. By insuring private lenders against loss, HUD encourages them to make mortgage and property improvement loans. HUD insures mortgages on new or rehabilitated homes or multifamily structures located in federally designated areas. HUD also ensures supplemental loans to finance improvements that will enhance and preserve salvageable homes and apartments in federally designated areas.

Maximum major home improvement loan amounts are $12,000 per family unit, or up to $17,400 per unit in high-cost areas. The total amount of the supplemental loan is limited to $40,000. These ceilings are also subject to limits on maximum property debt, including old and new obligations, according to formulas established under the program. Repayment term is 20 years or three-quarters of the remaining economic life, whichever is less.

In general, the property must be at least 10 years old. Newer properties can be improved with an insured loan only if they are in need of major structural improvements, damaged by fire, flood, or other casualty, or defective in a way not known at the time of completion. That includes new work, structural alterations and reconstruction, planning and changes for improved functions and modernization, and changes for aesthetic appeal and elimination of obsolescence. There are certain restrictions on nonessential or luxury work items. Properties improved must be brought into conformance with property standards adopted for the project area.

USES AND USE RESTRICTIONS

HUD ensures lenders against loss on mortgages. Insured mortgages may be used to finance proposed construction or rehabilitation of detached, semi-detached, row, walkup, or elevator type housing that has been rehabilitated by a local public agency. Property must consist of two or more units and must be located in an urban renewal area, urban redevelopment project, or code enforcement program area, or urban area receiving rehabilitation assistance as a result of natural disaster. Unit mortgage limits for nonelevator apartments are as follows: efficiency $19,500; one bedroom, $21,600; two bedrooms, $25,800; three bedrooms, $31,800; four or more bedrooms, $36,000. Limits per family unit are somewhat higher for elevator apartments. In areas where cost levels so require limits per family unit may be increased up to 75 percent.

TYPES OF ASSISTANCE

The assistance provided by this program are Guaranteed/Insured Loans.

FORMULA AND MATCHING GRANTS

For most mortgagors, the maximum amount of the loan may not exceed 90 percent of the estimated replacement cost. The current maximum permissible interest rate is 13 percent plus 0.5 percent for mortgage insurance premiums. The HUD site appraisal and market analysis application and commitment fees are $3 per $1,000 of the mortgate amount. The HUD inspection fee may not exceed $5 per $1,000 of the mortgage amount.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Eligible mortgagors include investors, builders, developers, sponsors, public bodies, individual homeowners, apartment owners, and others who meet FHA requirements for mortgagors. Lessees under a lease for a term of at least ten (10) years longer than the loan term are also eligible.

BENEFICIARY ELIGIBILITY:
All families are eligible to occupy a dwelling in a structure whose mortgage is insured under the program, subject to normal tenant selection.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: The sponsor has an initial conference with the local HUD Area or Insuring Office to determine the preliminary feasibility of the project before a site appraisal and market analysis application is submitted. Applications are subject to State and areawide clearinghouse reviews pursuant to procedures in Part I, Attachment A of OMB Circular No. A-95 (revised). An environmental impact statement is required for this program.

Application Procedure: The sponsor submits a formal application through an FHA-approved mortgagee to the local HUD Insuring or Area Office.

Award Procedure: If the project meets program requirements, the Insuring or Area Office issues a commitment to insure the mortgage to the lender.

Deadlines: Deadlines are established on a case-by-case basis by the local Insuring or Area Office, and are mutually agreed to at the pre-commitment conference.

Range of Approval/Disapproval Time: Processing time, depending upon the degree of preparation by the sponsor, will range from 3 to 9 months.

Appeals: If an application for mortgage insurance is refused, HUD will state the reasons for the refusal. If reapplication is desired, the applicant may reapply subject to concurrence of the lender.

Renewals: The term of a commitment to insure may be extended when more time is required.

APPROPRIATIONS

Fiscal Year 1978	$67,189,079
Fiscal Year 1979	$69,363,000
Fiscal Year 1980	$77,309,000

PROGRAM ACCOMPLISHMENTS

The cumulative home improvement loan activity through June 1977, was 7 loans on 8 units with a value of $35,550 insured; cumulative mortgage insurance through June 1977: 392 projects with 71,115 units and a total value of $1,374,911,602. In fiscal year 1978, 1,657 units valued at $67,189,079 were insured. Cumulative totals through September 30, 1978 are 72,923 units insured with a value of $1,446,729,381.

ENABLING LEGISLATION

National Housing Act as amended 1954, Section 220; Public Law 560; 12 U.S.C. 1754(k); Sections 220 and 220(h), National Housing Act (1934), (P.L. 73-479), as added by Section 102(a)(3), Housing Act of 1961 (P.L. 87-70).

INFORMATION CONTACT

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14.156 Lower-Income Housing Assistance Program
(Section 8 - Housing Assistance Payments Programs for Lower-Income Families)

PROGRAM DESCRIPTION

The Section 8 Housing Assistance Payments Program is designed to help lower-income families obtain safe and sanitary housing in privately-owned rental accommodations and to promote economically-mixed newly constructed housing. The housing must meet certain property standards and rents must fall within the range of fair market rents as determined by HUD. Under the Section 8 New Construction Program, nonprofit and profit-motivated developers, alone or together with public housing agencies, submit proposals to HUD, or apply to their State Housing Finance Agency. On acceptable completion of the project, HUD contracts to subsidize the rents on units to be occupied by eligible families.

This program supports direct services.

USES AND USE RESTRICTIONS

The program provides housing assistance payments to participating owners on behalf of lower-income families. Housing assistance payments make up the difference between the approved rent for the unit and the amount the occupant family can pay based on its income. Assisted families are required to contribute not less than 15, nor more than 25, percent of their adjusted family income toward rent.

TYPES OF ASSISTANCE

The type of assistance provided is rental assistance payments on behalf of lower-income families. The rental assistance payment makes up the difference between 15 and 25 percent of a tenant's adjusted income and the contract rent approved by HUD. HUD may pay the supplements for a maximum term of 40 years. Section 8 does not provide financing for the actual construction of the building, although HUD mortgage insurance programs and other acceptable means of financing may be used in conjunction with Section 8.

FORMULA AND MATCHING GRANTS

There are no matching requirements.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Any private owner or authorized public housing agency owner (any State, county, municipality or other governmental entity or public body, or agency or instrumentality thereof, which is authorized to engage in or assist in the development and operation of housing for low-income families) may apply to participate in the Section 8 program.

If a battered women's shelter decided to develop second-stage or long-term housing for lower-income women (and their children) they, like any developer, can apply for Section 8 assistance. There are two sources for this type of assistance: (1) a shelter can respond to a "Notification of Fund Availability" (NOFA) that is issued by the nearest HUD field office (a NOFA is published in local newspapers to indicate that there are funds available for a given number of Section 8 units), or (2) a shelter can apply directly to a State Housing Agency.

BENEFICIARY ELIGIBILITY:
Very low-income families (whose income does not exceed 50 percent of the median income) and lower-income families (whose income does not exceed 80 percent of the median income for the area) are eligible to receive assistance under the Section 8 program. A very low income or lower-income single person who is elderly, disabled or handicapped, displaced, or the remaining member of an eligibile tenant family is also eligible. At least 30 percent of the families assisted in any project should be very low income families with gross incomes not in excess of 50 percent of area median income.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: Funds for the Section 8 program are allocated to areas on a "fair share" basis in accordance with the housing need factors in Section 213(d) of the Housing and Community Development Act of 1974. The breakdown of these funds by housing type (new construction, substantial rehabilitation and existing) and by household type (elderly, family, and large family) is based on the goals contained in local Housing Assistance Plans. In addition, individual applications must be consistent with the goals of any applicable Housing Assistance Plan.

Application Procedure: Applications, known as Preliminary Proposals, may be submitted directly to local HUD field offices in response to a NOFA, or may be submitted to State Housing Agencies which have received set-asides of Section 8 funds.

Award Procedure: Preliminary Proposals received in response to a NOFA are reviewed by the HUD field office for compliance with program standards and those which are approvable are compared against one another and the best ones selected for funding. Where application is made to a State Housing Agency, selection is made directly by that Agency using its set-aside of funds.

Deadlines: For proposals submitted in response to a NOFA, there is a deadline for receipt of elderly proposals, generally 35 days. The deadline for receipt will be stated in the NOFA. Proposals in response to a NOFA for

family projects are accepted as long as funds remain available.

Range of Approval/Disapproval Time: Approximately 45 to 90 days from receipt to selection of Preliminary Proposals.

Appeals: Not applicable.

Renewals: Not applicable.

APPROPRIATIONS (Figures represent combined appropriations for Section 8 Substantial Rehabilitation and New Construction)

	Budget Authority	Contract Authority
Fiscal Year 1978	$13,320,744,000	$539,982,000
Fiscal Year 1979	$16,390,683,000	$716,780,000
Fiscal Year 1980	$13,455,928,000	$580,438,000
Fiscal Year 1981	$16,961,024,000	$743,224,000

PROGRAM ACCOMPLISHMENTS

By the end of fiscal year 1980, a total of 639,725 units were receiving subsidies under the New Construction program.

ENABLING LEGISLATION

Section 8, U.S. Housing Act of 1937, (P.L. 73-479; as added by Housing and Community Development Act of 1974, (P.L. 93-383). Housing Act of 1937, Public Law 74-412; 42 U.S.C. 1401-1435, as amended by the Housing and Community Development Act of 1974, Public Law 93-383; 88 Stat. 662, 42 U.S.C. 1437f; the Supplemental Housing Authorization Act of 1977, Public Law 95-24; 91 Stat. 53; and the Housing and Community Development Act of 1977, Public Law 95-128; 91 Stat. 1111; Housing and Community Development Amendments of 1978, Public Law 95-557; 92 Stat. 2080. Section 236, National Housing Act (1934), (P.L. 73-479), as added by Section 201, Housing and Urban Development Act of 1968 (P.L. 90-448). Section 101, Housing and Urban Development Act of 1965, (P.L. 89-117).

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Department of Housing and Urban Development
Office of Housing
Section 8 - Existing Housing and Moderate Rehabilitation Program

14.156 Lower-Income Housing Assistance Program
(Section 8 - Housing Assistance Payments Program for Lower-Income Families)

PROGRAM DESCRIPTION

These programs are designed to aid lower-income families in obtaining decent, safe and sanitary housing in private accommodations and to promote economically mixed existing and moderately rehabilitated housing. Section 8 provides a rent subsidy to lower-income families and is not a direct loan or insurance program.

This program supports direct services.

USES AND USE RESTRICTIONS

Provides housing assistance payments to participating private owners to provide decent, safe, and sanitary housing for lower and very low-income families at rents they can afford. Housing assistance payments are used to make up the difference between the maximum approved rent due to the owner for the dwelling unit which is reasonable in relation to comparable market units and the occupant family's required contribution towards rent. Assisted families are required to contribute not less than 15, nor more than 25 percent of their adjusted family income toward rent. For the Existing Housing Program, the subsidy stays with the family when they move, provided that they are still eligible for assistance. For Moderate Rehabilitation, the subsidy stays with the unit.

TYPES OF ASSISTANCE

Direct Payments for housing assistance.

FORMULA AND MATCHING REQUIREMENTS

There are no matching requirements.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Any authorized public housing agency (PHA). Any State, county, municipality or other governmental entity or public body (or agency or instrumentality thereof) which is authorized to engage in or assist in the development or operation of housing for low-income families).

BENEFICIARY ELIGIBILITY:
Very low-income families (whose income does not exceed 50 percent of the median income for the area as determined by the Secretary with adjustments for smaller and larger families); lower income families (whose income does not exceed 80 percent of the median income for the area adjusted for family size). A very low income or lower income single person who is elderly, disabled or handicapped, displaced, or the remaining member of an eligibile tenant family is also eligible. At least 30 percent of the families assisted should be very low income families with gross incomes not in excess of 50 percent of area median income. Currently, some PHAs have given special priority for those families who are displaced, or who have been subject to domestic violence.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: The Chief Executive Officer of the unit of general local government in which the proposed assisted housing is located will have an opportunity to comment on the proposed housing. Where there is an approved Housing Assistance Plan, the local government has the opportunity to object to the approval of the application on the grounds that the application is inconsistent with its Housing Assistance Plan. Where there is no Housing Assistance Plan, the local government has an opportunity to comment upon, or provide information concerning the need for housing assistance and the availability of local facilities and public services to serve the proposed housing. The cover letter will invite a response within 30 days.

Application Procedure: Submission of Application for Housing Assistance Payments Program (Form HUD-52515), Existing Housing; Submission of application for Housing Assistance Payments Program (Form HUD-52515A), Moderate Rehabilitation by PHA in response to invitations from HUD.

Award Procedure: HUD Area, Service, or Insuring Office Director (or Regional Administrator for Region VIII) makes final decision to authorize approval of individual PHA applications.

Deadlines: Generally, applications for existing and moderately rehabilitated housing should be submitted within 30 days and 60 days, respectively, from the date of HUD invitation. Modification of the deadline may be allowed, under certain circumstances.

Range of Approval/Disapproval Time: Approximately 30 to 90 days for receipt and clearance of applications.

Appeals: Not applicable.

Renewals: Not applicable.

APPROPRIATIONS

	Existing Housing	Moderate Rehabilitation
Fiscal Year 1979	$3,288,070,000	$1,797,719,000
Fiscal Year 1980	$1,925,551,000	$1,719,000,000
Fiscal Year 1981	$3,600,000,000	$1,890,000,000

PROGRAM ACCOMPLISHMENTS

By the end of fiscal year 1979, a total of 465,397 units were receiving subsidies under the Existing Housing program. The first PMA applications for Moderate Rehabilitation were approved in fiscal year 1979.

ENABLING LEGISLATION

Housing Act of 1937, Public Law 75-412; 42 U.S.C. 1401-1435, as amended by the Housing and Community Development Act of 1974, Public Law 93-383; 88 Stat. 662, 42 U.S.C. 1437f; the Supplemental Housing Authorization Act of 1977, Public Law 95-24; 91 Stat. 53; and the Housing and Community Development Act of 1977, Public Law 95-128; 91 Stat. 1111; Housing and Community Development Amendments of 1978, Public Law 95-557; 92 Stat. 2080.

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Department of Housing and Urban Development
Office of Housing
Substantial Rehabilitation Program

14.156 Lower-Income Housing Assistance Program
(Section 8 - Housing Assistance Payments Program
for Lower-Income Families)

PROGRAM DESCRIPTION

The Section 8 Housing Assistance Payments Program is designed to help lower-income families obtain safe and sanitary housing in privately-owned rental accommodations and to promote economically-mixed substantial rehabilitation housing. The housing must meet certain property standards and rents must fall within the range of fair market rents as determined by HUD. Under the Section 8 Substantial Rehabilitation Program, nonprofit and profit-motivated developers, alone or together with public housing agencies, submit proposals to HUD, or apply to their State Housing Finance Agency. On acceptable completion of the project, HUD contracts to subsidize the rents on units to be occupied by eligible families.

This program supports direct services.

USES AND USE RESTRICTIONS

The program provides housing assistance payments to participating owners on behalf of lower-income families. Housing assistance payments make up the difference between the approved rent for the unit and the amount the occupant family can pay based on its income. Assisted families are required to contribute not less than 15, nor more than 25 percent of their adjusted family income toward rent.

TYPES OF ASSISTANCE

The type of assistance provided is rental assistance payments on behalf of lower-income families. The rental assistance payment makes up the difference between 25 percent of a tenant's adjusted income and the contract rent approved by HUD. HUD may pay the supplements for a maximum term of 40 years. Section 8 does not provide financing for the actual rehabilitation of the building, although HUD mortgage insurance programs and other acceptable means of financing may be used in conjunction with Section 8.

FORMULA AND MATCHING GRANTS

There are no matching grant requirements.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Any private owner or authorized public housing agency owner (any State, county, municipality or other governmental entity or public body, or agency or instrumentality thereof, which is authorized to engage in or assist in the development and operation of housing for low-income families) may apply to participate in the Section 8 program.

If a battered women's shelter decided to develop second-stage or long-term housing for lower-income women (and their children) they, like any developer, can apply for Section 8 assistance. There are two sources for this type of assistance: (1) a shelter can respond to a "Notification of Fund Availability" (NOFA) that is issued by the nearest HUD field office (a NOFA is published in local newspapers to indicate that there are funds available for a given number of Section 8 units), or (2) a shelter can apply directly to a State Housing Agency.

BENEFICIARY ELIGIBILITY:
Very low-income families (whose income does not exceed 50 percent of the median income) and lower-income families (whose income does not exceed 80 percent of the median income for the area) are eligible to receive assistance under the Section 8 program. A very low income or lower-income single person who is elderly, disabled or handicapped, displaced, or the remaining member of an eligibile tenant family is also eligible. At least 30 percent of the families assisted should be very low income families with gross incomes not in excess of 50 percent of area median income.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: Funds for the Section 8 program are allocated to areas on a "fair share" basis in accordance with the housing need factors in Section 213(d) of the Housing and Community Development Act of 1974. The breakdown of these funds by housing type (new construction, substantial rehabilitation and existing) and by household type (elderly, family, and large family) is based on the goals contained in local Housing Assistance Plans. In addition, individual applications must be consistent with the goals of any applicable Housing Assistance Plan.

Application Procedure: Applications, known as Preliminary Proposals, may be submitted directly to local HUD field offices in response to a NOFA, or may be submitted to State Housing Agencies which have received set-asides of Section 8 funds.

Award Procedure: Preliminary Proposals received in response to a NOFA are reviewed by the HUD field office for compliance with program standards and those which are approvable are compared against one another and the best ones selected for funding. Where application is made to a State Housing Agency, selection is made directly by that Agency using its set-aside of funds.

Deadlines: For proposals submitted in response to a NOFA, there is a deadline for receipt of elderly proposals, generally 35 days. The deadline for receipt will be stated in the NOFA. Proposals in response to a NOFA for

family projects are accepted as long as funds remain available.

Range of Approval/Disapproval Time: Approximately 45 to 90 days from receipt to selection of Preliminary Proposals.

Appeals: Not applicable.

Renewals: Not applicable.

APPROPRIATIONS (Figures represent combined appropriations for Section 8 Substantial Rehabilitation and New Construction)

	Budget Authority	Contract Authority
Fiscal Year 1978	$13,320,744,000	$539,982,000
Fiscal Year 1979	$16,390,683,000	$716,780,000
Fiscal Year 1980	$13,455,928,000	$580,438,000
Fiscal Year 1981	$16,961,024,000	$743,224,000

PROGRAM ACCOMPLISHMENTS

By the end of fiscal year 1978, a total of 124,837 units were receiving subsidies under the Substantial Rehabilitation program.

ENABLING LEGISLATION

Section 8, U.S. Housing Act of 1937, (P.L. 73-479), as added by Housing and Community Development Act of 1974, (P.L. 93-383). Housing Act of 1937, Public Law 75-412; 42 U.S.C. 1401-1435, as amended by the Housing and Community Development Act of 1974, Public Law 93-383; 88 Stat. 662, 42 U.S.C. 1437f, the Supplemental Housing Authorization Act of 1977, Public Law 95-24; 91 Stat. 53; and the Housing and Community Development Act of 1977, Public Law 95-128; 91 Stat. 1111; Housing and Community Development Amendments of 1978, Public Law 95-557; 92 Stat. 2080. Section 236, National Housing Act (1934), (P.L. 73-479), as added by Section 201, Housing and Urban Development Act of 1968 (P.L. 90-448). Section 101, Housing and Urban Development Act of 1965, (P.L. 89-117).

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Department of Housing and Urban Development
Office of Housing
Direct Loans for Housing for the Elderly or Handicapped
Section 202

14.157 Housing for the Elderly or Handicapped

PROGRAM DESCRIPTION

This program provides housing and related facilities (such as central dining facilities) for the elderly or handicapped. HUD makes long-term direct loans to eligible, private, nonprofit sponsors for new construction or rehabilitation of rental or cooperative housing facilities for elderly or handicapped persons. Single and multifamily structures are eligible. The current interest rate is based on the average U.S. Treasury borrowing rate during the preceding fiscal year. Loans may not exceed total development costs. In addition to receiving direct financing, sponsors also receive a set-aside of Section 8 housing assistance to subsidize ongoing operations. Each HUD Area Office will have a special pool of Section 8 funds to be used exclusively with the 202 Program.

This program supports direct services.

USES AND USE RESTRICTIONS

Direct loans may be used to finance the construction or rehabilitation of rental or cooperative detached, semidetached, row, walk-up, or elevator-type structures.

TYPES OF ASSISTANCE

Direct loans are offered to developers who are able to provide to the handicapped and elderly.

FORMULA AND MATCHING GRANTS

Not Applicable.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Private, nonprofit corporations and consumer cooperatives may qualify as sponsors. Public bodies and their instrumentalities are not eligible as Section 202 applicants.

BENEFICIARY ELIGIBILITY:
Beneficiaries of housing developed under this program must be elderly (62 years of age or older), physically handicapped, or developmentally disabled. Families whose incomes fall within 80 percent of the median family income for the area in which the project is located may benefit from subsidy payments under the Section 8 Housing Assistance Payments Program.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: At the fund reservation stage, a copy of each application/proposal will be forwarded to the Chief Executive Officer of the unit of local government in which the housing is to be located. The cover letter will invite a response within 30 days. Within threshold limits of the procedures for Protection and Enhancement of the Environmental Quality (36 F.R. 19182), an environmental impact statement may be required for this program. Applications are subject to State and areawide clearinghouses review pursuant to procedures in Part I, Attachment A of OMB Circular No. A-95 (revised).

Application Procedure: Applicants must submit a Request for Fund Reservation in response to an invitation published in the Federal Register, to the office specified in the invitation.

Award Procedure: Applications are reviewed and selected for funding against Regional allocations. Those selected for funding must meet the requirements of the Section 202 program. The Request for Direct Loan Financing, using FHA Form 2013, is reviewed to determine acceptability of project site and market, correctness of zoning, effect on environment, value of site and financial feasibility.

Deadlines: Applications must be submitted within the time period specified in the invitation, usually 10 to 12 weeks.

Range of Approval/Disapproval Time: Data not yet available on which to make a determination.

Appeals: None.

Renewals: None.

APPROPRIATIONS

Requested funds for fiscal year 1980 amount to $830 million.

PROGRAM ACCOMPLISHMENTS

From the date of enactment through 1972, loans for 45,275 units have been approved with a value of $579,444,000. After a brief suspension, the program was revised and reactivated by the Housing and Community Development Act of 1974. From resumption to September 30, 1976, loans were approved for 26,400 units; 21,000 units were approved for fiscal year 1977. For fiscal year 1978, 19,975 units were funded for a total of $749,600,000. For fiscal year 1979, 21,161 units were funded for a total of approximately $751,000,000.

ENABLING LEGISLATION

Housing Act of 1959, as amended by the Housing and Community Development Act of 1974, Title II, Public Law 86-372, 12 U.S.C. 1701q, 73 Stat. 654,667.

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Department of Housing and Urban Development
Community Planning and Development
Office of Block Grant Assistance

14.218 Community Development Block Grants/Entitlement Grants

PROGRAM DESCRIPTION

The objective of this program is to develop viable urban communities, including decent housing and a suitable living environment. The program also expands economic opportunities, principally for persons of low and moderate income. The focus is on the elimination of slums and blight. (The Block Grant Program consolidates seven former community development-type categorical programs, including Urban Renewal, Model Cities, Neighborhood Facilities, Open Space Land, Historical Preservation, Urban Beautification, the Basic Water and Sewer Facilities Program, Public Facilities Loans, and Rehabilitation Loans.)

This program supports direct services.

This program provides funds to localities for a variety of community development activities designed to eliminate slums and blight, assist low-income and moderate-income persons, and respond to urgent local needs. Within general guidelines established by HUD, local governments receive funding for activities they have planned and are responsible for administering. Over 1,500 localities throughout the U.S. have chosen to use some of their funds to operate property rehabilitation programs. Assistance is usually provided to individuals through direct grants and loans, or as private loan subsidies or guarantees. Individuals use these funds to repair properties to meet local code, weatherization, cosmetic or emergency repair standards. Both residential and non-residential properties are eligible. CDBG funds are also used by localities for capital improvements and municipal services. The principal methods of providing rehabilitation assistance are summarized below:

1. Direct Grant: The locality uses CDBG funds to make direct grants to property owners to cover the cost of rehabilitation. The property owner is not required to make repayment.

2. Direct Loan: The locality uses CDBG funds to make direct loans to property owners to cover the cost of rehabilitation. The loans usually carry a lower interest rate (0-6 percent) and a longer term of repayment (7-20 years) than are available from private lenders. Loan repayments may be used to make new loans.

3. Conditional Grant/Forgivable Loan: A conditional grant must be repaid if the property owner does not meet specified conditions, such as occupying the property for a certain period of time. A forgivable loan does not need to be repaid (is "forgiven") if certain conditions are met, such as property occupancy.

4. Partial Loan: A partial loan is made at below-market interest rates by the public agency to cover part of the cost of rehabilitation. The remaining part may be covered by a variety of outside sources as determined by the property owner (i.e., savings, personal loan, etc.). Its effect is to reduce the total cost of the rehabilitation work.

5. Rebate/Partial Grant: The public agency uses CDBG funds to make direct grants to property owners to cover part of the cost of rehabilitation. The remaining part is financed by outside sources of funds as with "partial loan," noted above. The public agency may give a rebate either before rehabilitation work begins or after rehabilitation is finished.

6. Interest Subsidized Loan: A private financial institution makes the loan to the property owner at the market interest rate to cover the full cost of rehabilitation. CDBG funds are used to pay a portion of the monthly payment to the lender, thereby creating a below-market loan for the borrower. The public agency usually pays the total subsidy amount at loan settlement instead of making monthly payments.

7. Principal Subsidized Loan: The cost of rehabilitation is financed in part by a private home improvement loan at market interest rate and in part by a grant of CDBG funds to the borrower. The property owner is required to make a monthly payment equal to the cost of the work financed at market rates.

8. Guaranteed Loan: CDBG funds are placed in an account with a private lending institution and are used to guarantee either in full or in part, conventional home improvement loans made to property owners at below-market interest rates. The amount of CD funds used is equal to either 1) the full guaranteed amount of the outstanding principal balance of all guaranteed loans, or 2) a percentage of the guaranteed amount of the outstanding principal balance of all guaranteed loans (such as 15 percent for an expected 5 percent default rate).

9. Compensating Balance Loan: CDBG funds are deposited in a private financial institution, and the institution makes improvement loans to property owners at below-market interest rates. The deposit account may be interest or non-interest bearing. Funds may be deposited as a lump sum or per transaction. Deposited funds guarantee loans, reduce risk, and subsidize the institution's loss in case of default.

10. Tax-Exempt Credit Agreement: Interest paid to the private financial institutions by a public agency is exempt from Federal income taxation. Funds for rehabilitation financing may be borrowed, therefore, at below-market interest rates, i.e., about 6 percent. This enables the public agency to make rehabilitation loans to property owners at about 6 1/2 percent, (1/2 percent pays for loan servicing). The public agency may assure loan repayment to the institution through establishment of a loan guarantee fund, or FHA Title I Property Improvement Loan Insurance may cover each loan made.

There are many variations of these ten basic methods being used by administering localities.

USES AND USE RESTRICTIONS

Cities may undertake a wide range of activities directed toward neighborhood revitalization, economic development, and provision of improved community facilities and services. Some of the specific activities that can be carried out with block grant funds include acquisition of real property, relocation and demolition, rehabilitation of residential and nonresidential structures, and provisions of public facilities and improvements, such as water and sewer facilities, streets, and neighborhood centers. In addition, block grant funds are available to pay for certain public services which are necessary or appropriate to support other block grant activities. Units of local government may contract with other local agencies or nonprofit organizations to carry out part or all of their programs. Generally, communities are restricted from constructing or rehabilitating public facilities for the general conduct of government and certain community-wide facilities (i.e., stadiums, sports arenas, cultural centers, central libraries, convention centers), and from constructing new housing, or of making housing allowance or other income maintenance-type payments. Neighborhood-based nonprofit organizations, local development corporations or Small Business Investment Companies may act as subgrantees to carry out neighborhood revitalization or community economic development projects in furtherance of block grant objectives. Cities have the discretion to design programs and establish priorities for the use of funds, so long as the programs conform to the statutory standards and program regulations. All projects or activities must either principally benefit low and moderate-income persons, aid in the prevention or elimination of slums and blight or meet other community development needs having a particular urgency. In the area of domestic violence, emergency shelters are eligible.

TYPES OF ASSISTANCE

Formula Grants are provided by this program. Grants are made directly to units of local government that may, in turn, make funds available to individuals and organizations for eligible and fundable community development activities.

FORMULA AND MATCHING GRANTS

Entitlements are based on a dual formula using factors of population, housing overcrowding, extent of poverty, age of housing, and growth lag. No matching requirement.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Each locality determines its own rehabilitation program rules and regulations. Although the Federal CDBG regulations permit rehabilitation of low-income and moderate-income properties on a city-wide basis, most localities define specific neighborhoods where rehabilitation will take place. In addition, many localities establish maximum income limits for participants in the rehabilitation program. Some communities limit rehabilitation assistance to owner-occupied property and others extend assistance to multi-family rental buildings. Cities in SMSA's with populations in excess of 50,000, "urban counties," as defined in the Act, and cities with populations of under 50,000 which are

central cities in SMSA's are all entitled to receive amounts of funds determined by a statutory formula.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: In preparing an application, environmental factors must be taken into account, and an activity requiring an environmental review must be reviewed before funds for that activity can be released. An environmental impact statement is necessary for this program. Applications are subject to State and areawide clearinghouses review pursuant to procedures in Part I, Attachment A of OMB Circular No. A-95 (revised).

Application Procedure: Every third year, localities submit a Community Development and Housing Plan and a Housing Assistance Plan. Each year localities submit an Annual Community Development Program, an Annual Housing Action Program, and a series of certifications regarding other Federal requirements. To determine loan availability, contact should be made directly with the local department that has been designated to administer the CDBG Program. Generally, this will be the Department of Community Development or Housing Rehabilitation Office.

Award Procedure: Applications are approved in the HUD Area Office. Notification of awards must be made to the designated State Central Information Reception Agency in accordance with Treasury Circular 1082.

Deadlines: Applications deadlines are not standardized. Each locality determines its own schedule.

Range of Approval/Disapproval Time: Within 75 days.

Appeals: None.

Renewals: A new application must be submitted each year.

APPROPRIATIONS

Fiscal Year 1978	$2,793,567,000
Fiscal Year 1979	$2,749,519,000
Fiscal Year 1980	$2,753,838,000

PROGRAM ACCOMPLISHMENTS

As of September 1979, there were 1,291 approved CDBG entitlement grants for fiscal year 1979.

ENABLING LEGISLATION

Title I of the Housing and Community Development Act of 1974, Public Law 93-383 as amended by the Housing and Community Development Act of 1977, Public Law 95-128 and the Housing and Community Development Amendments of 1978, Public Law 95-557; 42 U.S.C. 5301-5317. Section 312, Housing Act of 1964 (P.L. 88-560), as amended.

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Department of Housing and Urban Development
Community Planning and Development
Office of Block Grant Assistance

14.219 Community Development Block Grant/Small Cities Program

PROGRAM DESCRIPTION

The objective of this program is to assist communities generally under 50,000 population in providing decent housing and a suitable living environment, and expanded economic opportunities, principally for persons of low and moderate income.

This program supports direct services.

USES AND USE RESTRICTIONS

Generally, as in the case of entitlement grants, most activities previously eligible under the categorical program consolidated under the Act, and defined by the statute and regulations may be carried out, i.e., acquisition, rehabilitation or construction of certain public works facilities and improvements, clearance, housing rehabilitation, code enforcement, relocation payments and assistance, administrative expenses, economic development, and completing existing urban renewal projects. Communities are restricted from constructing or rehabilitating public facilities for the general conduct of government and certain community wide facilities, i.e., central libraries, stadiums, sports arenas, cultural centers, convention centers; and from underwriting the cost of constructing new housing or of making housing allowance or other income maintenance -- type payments. JOINT FUNDING: This program is considered suitable for joint funding with closely related Federal financial assistance programs in accordance with the provisions of OMB Circular No. A-111. For programs that are not identified as suitable for joint funding, the applicant may consult the headquarters or field office of the appropriate funding agency for further information on statutory or other restrictions involved.

TYPES OF ASSISTANCE

Project Grants are provided under this program. Grants are made directly to units of local government that may, in turn, make funds available to individuals and organizations for eligible and fundable community development activities. There are two types of grants: Single Purpose Grants and Comprehensive Grants. A Single Purpose Grant must address one of three areas of community development needs: 1) housing, 2) deficiencies in public facilities which affect the public health and safety, and 3) economic conditions principally affecting persons of low and moderate income. A Comprehensive proposal must include two or more related activities which address a substantial portion of the identified community development needs of one or more geographic areas designated by the applicant as areas of concentrated community development activities.

FORMULA AND MATCHING GRANTS

None.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Some of the entities eligible to receive block grant funds from a local unit of government under this section are: (i) A private non-profit entity which is any organization, corporation, or association, duly organized to promote and undertake community development activities on a not-for-profit basis, including new community associations as defined in § 570.403(b); (ii) A neighborhood-based nonprofit organization which is an association or corporation, duly organized to promote and undertake community development activities on a not-for-profit basis within a neighborhood as defined pursuant to § 570.201(c)(4). An organization is considered to be neighborhood-based if the majority of either its membership, clientele, or governing body are residents of the neighborhood where activities assisted with block grant funds are to be carried out. All states, counties, and units of general local government, except metropolitan cities and urban counties, may apply for Small Cities Grants.

BENEFICIARY ELIGIBILITY:
See Applicant Eligibility.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: In preparing an application, environmental factors must be taken into account, and an activity requiring an environmental review must complete review procedures before funds for that activity can be released. Applications are subject to State and areawide clearinghouses review pursuant to procedures in Part I, Attachment A of OMB Circular No. A-95 (revised). The standard application forms as furnished by the agency and required by OMB Circular No. A-102 must be used for this program. Small cities must submit preapplication within the time constraints established by their HUD Area Office.

Application Procedure: Applicant must file a preapplication and if the preapplication rates high enough under the selection criteria, an invitation to submit a full application will be issued. The full application will be reviewed to assure that it meets all requirements. A Comprehensive Program must also develop an acceptable strategy for meeting the needs of low and moderate income families.

Award Procedure: Applicants are advised of outcome by the Area Office. Notification of awards must be made to the designated State Central Information Reception Agency in accordance with Treasury Circular 1082.

Deadlines: Applications for fiscal year 1979 must be submitted according to the schedule in the published regulations.

APPROPRIATIONS

Fiscal Year 1978	$611,933,000
Fiscal Year 1979	$798,931,000
Fiscal Year 1980	$939,662,000
Fiscal Year 1981	$955,025,000 (estimated)

PROGRAM ACCOMPLISHMENTS

As of September 1979, fiscal year 1978 approvals totalled approximately 1,539 and fiscal year 1979 approvals totalled 1,793 for the Small Cities Program.

ENABLING LEGISLATION

Title I of the Housing and Community Development Act of 1974, Public Law 93-383, 42 U.S.C. 5301-5317.

INFORMATION CONTACT

James Forsberg
Director
Small Cities Division
Community Planning and Development
Office of Block Grant Assistance
Department of Housing and Urban Development
451 7th Street, S.W.
Washington, D.C. 20410
(202) 755-9267

Department of Housing and Urban Development
Urban Initiatives Anti-Crime Program

New Program (Urban Initiatives Anti-Crime Program)

PROGRAM DESCRIPTION

This program provides funding to various Public Housing Agencies to assist them in combatting crime in housing projects throughout the United States. These funds serve to: increase services that directly reduce crime, e.g., alcohol and drug abuse; improve victim/witness services; increase youth employment, child care, recreational, cultural, educational, and medical services; and provide technical assistance and training for professional staff and qualified tenants to administer these services. Improved management of public safety at a housing agency and physical securities are priorities to be achieved.

This program supports direct services.

USES AND USE RESTRICTIONS

The funds provided are for utilization by Public Housing Agencies to provide or obtain services which are directly related to meeting tenant needs and providing a wholesome, safe living environment.

TYPES OF ASSISTANCE

The funds will be administrated by the Public Housing Agency. The funds will be in the form of capital loan authority funds, community development discretionary funds, Department of Labor youth employment funds, Department of Justice Law Enforcement Assistance Administration juvenile justice, community anti-crime, and victim/witness funds, and Health and Human Services alcohol, drug abuse and mental health funds.

FORMULA AND MATCHING GRANTS

HUD has the administrative lead in terms of contracting, monitoring, and evaluating all awards.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Public Housing Agencies that can prove a need for the Urban Initiatives Anti-Crime Program are eligible to apply.

BENEFICIARY ELIGIBILITY:
Individuals who reside in the specific housing projects that have been granted anti-crime awards are eligible (not directly, but through the Public Housing Agency).

APPLICATION AND AWARD PROCESS

There are currently no funds available to fund additional Public Housing Agencies. Should additional funds be authorized, a solicitation will be transmitted to all Public Housing Agencies.

APPROPRIATIONS

In fiscal year 1979, HUD allocated $20 million under the capital loan authority modernization program. HUD also contributed $2.25 million of community development discretionary funds. The Labor Department contributed $8 million of youth employment funds. Since that time, the Law Enforcement Assistance Administration of the Department of Justice has contributed $1.6 million in juvenile delinquency prevention, community anti-crime and victim/witness funds, and the Alcohol, Drug Abuse and Mental Health Administration of the Department of Health and Human Services has contributed $.5 million.

PROGRAM ACCOMPLISHMENTS

As this is a new program, this category is not applicable.

ENABLING LEGISLATION

Public Housing Security Demonstration Act of 1978.

INFORMATION CONTACT

Lynn A. Curtis
Director
Urban Initiatives Anti-Crime Program
Department of Housing and Urban Development
451 7th Street, S.W.
Washington, D.C. 20410
(202) 755-2980

Department of Housing and Urban Development
Community/Tenant Services; Consumer Affairs

PROGRAM DESCRIPTION

The goal of this program is to increase resources to improve the quality of life for tenants. HUD furnishes technical assistance to the local management of public housing and HUD-assisted housing. Federal and community agencies provide social services, employment opportunities, and recreational programs for tenants through agreements negotiated nationally and through collaboration developed at regional, State, and local levels.

This program supports technical assistance.

USES AND USE RESTRICTIONS

Not applicable.

TYPES OF ASSISTANCE

Not applicable.

FORMULA AND MATCHING GRANTS

Not applicable.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Public housing authorities and managers of HUD-assisted housing are eligible to apply for programs and services from other agencies and resources.

BENEFICIARY ELIGIBILITY:
Tenants in public housing and other HUD-assisted multifamily rental housing are eligible to apply.

APPLICATION AND AWARD PROCESS

Eligible applicants should contact their local HUD Area and Insuring Offices to obtain specific application information.

APPROPRIATIONS

Not applicable.

PROGRAM ACCOMPLISHMENTS

In addition to HUD's Technical Assistance, the Departments of Justice, Labor, and Health, Education, and Welfare have contributed over $95 million in the

approximately 3,000 public housing authorities, managing 1,400,000 units that house more than 3 million tenants.

ENABLING LEGISLATION

Section 3(4), U.S. Housing Act of 1937 (P.L. 75-412).

INFORMATION CONTACT

Julia Higgins
Community Services Specialist
Department of Housing and Urban Development
NVACP-Office of Consumer Affairs
Community Services Branch
451 7th Street, S.W.
Washington, D.C. 20410
(202) 755-5356

Department of Housing and Urban Development
Consumer Forums and Consumer Publications

PROGRAM DESCRIPTION

The goal of this program is twofold: 1) to inform consumers about the Department of Housing and Urban Development and solicit their views on issues and programs; and 2) to encourage a working partnership between HUD's program officers and policy makers and the consumers of the Department's programs.

This program supports technical assistance.

USES AND USE RESTRICTIONS

State agencies may use the funds provided only for the purpose of conducting State Cosponsored Consumer Forums. Individuals may use the funds provided for the sole purpose of travel expenses for these forums.

TYPES OF ASSISTANCE

The type of assistance provided is in the form of Special Contract Funds.

FORMULA AND MATCHING GRANTS

Not applicable.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
State Agencies may apply for funds in order to conduct State Cosponsored Consumer Forums. Public and private institutions are also eligible.

BENEFICIARY ELIGIBILITY:
Individuals are eligible to apply for funds only for travel expenses to forums in which they are participants.

APPLICATION AND AWARD PROCESS

Applications for funds for the Consumer Forums and Consumer Publications Program must apply for funding at the Federal headquarters of HUD.

APPROPRIATIONS

In fiscal year 1979, $35,000 was appropriated under State Forums-Special Contract Funds. In fiscal year 1980, $50,000 was requested to be appropriated under State Forums-Special Contract Funds.

PROGRAM ACCOMPLISHMENTS

The Consumer Forums and Consumer Publications Programs has scheduled forums

to take place throughout the year. As this is a new program, precise statistics have not been made available.

ENABLING LEGISLATION

Not applicable.

INFORMATION CONTACT

Sherry Shields
Consumer Liaison Specialist
Department of Housing and Urban Development
NVACP-Consumer Liaison Division
451 7th Street, S.W.
Washington, D.C. 20410
(202) 755-6997

Department of Housing and Urban Development
Division of Consumer Complaints

PROGRAM DESCRIPTION

The goal of this program is to provide offices with listings of available resources for consumers with complaints (including victims of domestic violence). Thus, the program offices will be able to provide adequate information to any person who may contact their office for such assistance.

This program supports technical assistance.

USES AND USE RESTRICTIONS

Not applicable.

TYPES OF ASSISTANCE

This program provides information and referral assistance so that consumers' complaints are responded to in a timely and adequate manner.

FORMULA AND MATCHING GRANTS

Not applicable.

ELIGIBILITY REQUIREMENTS

There are no eligibility requirements. All individuals or organizations in need of service are eligible to apply.

APPROPRIATIONS

Not applicable.

PROGRAM ACCOMPLISHMENTS

Monitors the complaint process within the Department. Victims are referred to the appropriate agency for assistance.

ENABLING LEGISLATION

Not applicable.

INFORMATION CONTACT

Noel Vivaldi
Director
Division of Consumer Complaints/Consumer Protection
Department of Housing and Urban Development
451 7th Street, S.W.
Washington, D.C. 20410
(202) 755-5433

Department of Housing and Urban Development
Office of Policy Development and Research
Research Initiatives for Fiscal Year 1980-81

PROGRAM DESCRIPTION

The Office of Policy Development and Research combines the research, evaluation, and policy functions of the Department in a single office. It provides the Secretary an independent and objective perspective on existing and proposed programs. It conducts the Department's research, demonstrations, and evaluation activities on the major policy needs identified by the Department's leadership.

This program supports research.

Currently, concentration and special emphasis is placed on the key research areas identified below:

Cost of Housing. Looking at ways to reduce the component costs of housing (costs of developing, building, financing, and operating) as well as more efficient ways of helping lower-income people afford housing through subsidy programs.

Alternative Housing Finance Mechanisms. Analyzing the economic impact of potential legislation on alternative mortgage instruments, financial institution regulation and reform, and conventional Government National Mortgage Association (GNMA) mortgage-backed securities.

Urban Economic Development, Public Finance, and Tax Policy. Questions relating to the fiscal viability of central cities and how cities are affected by Federal policies.

Special Users: Elderly and Handicapped. How best to provide housing and housing-related services to people who have special requirements caused by age or physical condition.

Neighborhood Reinvestment and Revitalization. The process of neighborhood change and techniques designed to preserve neighborhoods by preventing and reversing decline.

Housing Market Discrimination and Racial Integration. Issues of economic and racial freedom of choice in housing, how changes in demographics may affect trends in location patterns.

USES AND USE RESTRICTIONS

This category is not applicable to this program. Decisions as to which projects are undertaken are made on an individual basis.

TYPES OF ASSISTANCE

Not applicable.

FORMULA AND MATCHING GRANTS

Not applicable.

ELIGIBILITY REQUIREMENTS

Expertise in one or more of the key research areas specified above.

APPLICATION AND AWARD PROCESS

Interested applicants should contact:

Department of Housing and Urban Development
Office of Procurement and Contracts (ACR)
451 7th Street, S.W., (Room B-133, 711 Bldg.)
Washington, D.C. 20410

APPROPRIATIONS

Fiscal Year 1979	$57,000,000
Fiscal Year 1980	$49,650,000
Fiscal Year 1981	unknown

PROGRAM ACCOMPLISHMENTS

Currently, projects are being readied for solicitation of interest. Therefore, the funding levels are to be determined.

ENABLING LEGISLATION

Section 501 and 502, Title V, Housing and Urban Development Act of 1970 (P.L. 91-609).

INFORMATION CONTACT

Chuck Taylor
Office of Policy Development and Research
Department of Housing and Urban Development
Room 8230
Washington, D.C. 20410
(202) 755-8238

Department of the Interior
Bureau of Indian Affairs
Indian Social Services

15.103 Child Welfare Assistance

PROGRAM DESCRIPTION

When services are unavailable from State or local public agencies, this program will provide foster home and appropriate institutional care for dependent, neglected, and handicapped Indian children residing on or near reservations, including those children living in jurisdictions under the BIA in Alaska and Oklahoma, when these services are not available from State or local public agencies.

USES AND USE RESTRICTIONS

Funds are to be used to pay for foster home or institutional care or certain other special needs relating to care and maintenance of children.

TYPES OF ASSISTANCE

Direct payments with unrestricted use.

FORMULA AND MATCHING GRANTS

None.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Dependent, neglected, and handicapped Indian children whose families live on or near Indian reservations or in jurisdictions under the Bureau of Indian Affairs in Alaska and Oklahoma. Application may be made by a parent or guardian or person having custody of the child.

BENEFICIARY ELIGIBILITY:
Same as Applicant Eligibility.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: None.

Application Procedure: A request for assistance to the Indian Agency Superintendent.

Award Procedure: Assistance is provided by the Indian Agency.

Deadlines: None.

Range of Approval/Disapproval Time: Generally a request is approved the same day or within 10 days, depending upon the extent of need and investigation necessary.

Appeals: An appeal from a decision of the local agency may be taken to the Area Director, an appeal from the Area Director to the Commissioner of Indian Affairs.

Renewals: Not applicable.

APPROPRIATIONS

(Direct Payments)

Fiscal Year 1979	13,590,000
Fiscal Year 1980	13,590,000 (estimated)
Fiscal Year 1981	11,190,000 (estimated)

PROGRAM ACCOMPLISHMENTS

Child welfare assistance was provided to a monthly average of 3,200 children in fiscal year 1979, and will be provided to an estimated monthly average of 3,300 children during fiscal year 1980 and fiscal year 1981.

ENABLING LEGISLATION

Snyder Act, November 2, 1921; Public Law 67-85, 42 Stat. 208; 25 U.S.C. 13; 25 CFR 20.

INFORMATION CONTACT

Louise M. Zokan
Child Welfare Specialist
Social Services
Bureau of Indian Affairs
Department of the Interior
Washington, D.C. 20245
(202) 235-2758

Department of the Interior
Bureau of Indian Affairs
Indian Social Services

15.113 General Assistance

PROGRAM DESCRIPTION

This program provides assistance for living needs to needy Indians on or near reservations when such assistance is not available from State or local agencies.

USES AND USE RESTRICTIONS

Provides cash payments to individuals and families to meet daily living needs (i.e., food, clothing, shelter, etc.).

TYPES OF ASSISTANCE

Direct payments with unrestricted use.

FORMULA AND MATCHING GRANTS

None.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Needy Indians living on or near Indian reservations or in jurisdictions under the Bureau of Indian Affairs in Alaska and Oklahoma.

BENEFICIARY ELIGIBILITY:
Same as Applicant Eligibility.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: None.

Application Procedure: A verbal or written request for assistance to the Indian Agency Superintendent.

Award Procedure: Assistance is provided by the Indian Agency

Deadlines: None.

Range of Approval/Disapproval Time: Generally within 10 days depending upon applicant need and the extent of investigation required.

Appeals: An appeal from a decision at the local Indian agency level may be taken to the Area Director; an appeal from the Area Director to the Commission of Indian Affairs.

Renewals: Not applicable.

APPROPRIATIONS

(Total program funds including direct payments)

Fiscal Year 1979	$55,978,000
Fiscal Year 1980	$51,101,000
Fiscal Year 1981	$53,356,000 (estimated)

PROGRAM ACCOMPLISHMENTS

General assistance was provided to a monthly average of 57,750 persons in fiscal year 1979 and will be provided to an estimated monthly average of 57,750 persons during fiscal year 1980, and fiscal year 1981.

ENABLING LEGISLATION

Snyder Act, November 2, 1921; Public Law 67-85; 42 Stat. 208; 25 U.S.C. 13; 25 CFR 20.

INFORMATION CONTACT

David L. Hickman
Family Assistance Specialist
Social Services
Bureau of Indian Affairs
Department of the Interior
Washington, D.C. 20245
(202) 235-2756

Department of the Interior
Bureau of Indian Affairs
Indian Social Services

15.144 Indian Child Welfare Act - Title II Grants

PROGRAM DESCRIPTION

This program will promote the stability and security of Indian tribes and families by the establishment of minimum Federal standards for the removal of Indian children from their families and the placement of such children in foster or adoptive homes. It will also provide assistance to Indian tribes in the operation of child and family service programs.

USES AND USE RESTRICTIONS

Funds are to be used for the operation and maintenance of counseling facilities, family assistance (homemaker and home counselers) day care, after school care recreational activities, respite care, employment of tribal courts personnel, education and training, foster care subsidy programs, legal advice and representation, home improvement programs, preparation and implementation of child welfare codes, and provision of matching share for other programs.

TYPES OF ASSISTANCE

Project grants.

FORMULA AND MATCHING GRANTS

None.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
The governing bodies of any tribe or tribes, or any Indian organization, including multi-service centers, may apply individually or as a consortium for a grant.

BENEFICIARY ELIGIBILITY:
Same as Applicant Elgibility.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program.

Application Procedure: Written resolution of governing body and submit grant application.

Award Procedure: Grant application is approved or disapproved, ranked and prioritized within the Area Office jurisdiction and transmitted to Central Office for final award process.

Deadline: Subject to periodic announcement in the Federal Register.

Range of Approval/Disapproval Time: 30 days.

Appeals: An appeal from a decision by the Agency Office may be taken to the Area Office; an appeal from the Area Office may be taken to the Commissioner of Indian Affairs.

Renewals: Not applicable.

APPROPRIATIONS

(Grants)	
Fiscal Year 1979	Not applicable
Fiscal Year 1980	$5,500,000 (estimated)
Fiscal Year 1981	$9,300,000 (estimated)

PROGRAM ACCOMPLISHMENTS

Program begins June 30, 1980.

ENABLING LEGISLATION

The Indian Child Welfare Act; November 8, 1978; Public Law 95-608; 92 Stat. 3075; 25 U.S.C. 1901; 25 CFR 23.

INFORMATION CONTACTS

Regional or Local Office:
Information can be secured from the Superintendents of Indian Agencies, and from Area Directors.

Headquarters Office:
Raymond V. Butler
Division of Social Services
Office of Indian Services
Bureau of Indian Affairs
1951 Constitution Ave.
Washington, DC 20245
(202) 235-2756

Department of Justice
Law Enforcement Assistance Administration
Court Delay Reduction Program

16.501 Law Enforcement Assistance - Discretionary Grants
(Safe Streets, Crime Control)

PROGRAM DESCRIPTION

This program is part of a long range LEAA effort to reduce court delay and congestion at the pre-trial, trial, and appellate stages of case processing. The objectives are to demonstrate (1) methods to reduce criminal and civil court case backlog and processing time while maintaining standards of fairness and due process and (2) mediation alternatives to court processing for minor disputes. Program efforts will provide financial and technical assistance to State or local governments and eligible private organizations.

This program supports direct services and technical assistance.

USES AND USE RESTRICTIONS

This program will support projects to reduce delay which are based on a thorough analysis of the applicant's court delay problem. Applicants should consider the entire range of causes contributing to court delay, whether or not the immediate solutions to problems fall within the province of the applicant's direct responsibility. Phase I of the two-phased approach to the program is the planning and analysis component through which local jurisdictions may request LEAA assistance in selecting appropriate methods to address their court delay and backlog problem. Phase II is the implementation or action part of the program providing significant grant fund assistance to a small number of selected sites. LEAA assistance is available for Phase II efforts directed at establishing metropolitan mediation centers which have strong court and prosecution linkages and are capable of handling a substantial volume of case referrals that would otherwise be processed through the formal justice system.

TYPES OF ASSISTANCE

Project Grants.

FORMULA AND MATCHING GRANTS

Phase I--Three to four sites will receive contracts ranging from $10,000 to $30,000 for periods up to 12 months to develop implementation plans for court delay reduction. Short term technical assistance in problem areas will also be provided by LEAA. Phase II--Up to five State/local courts will be selected by LEAA to participate as court delay reduction demonstration projects. In addition, three to four jurisdictions will receive metropolitan mediation center grant assistance. Phase II grant awards will usually range in size from $75,000 to $200,000. Metropolitan mediation center awards are targeted

to range in size from $75,000 to $150,000. Projects of 18 months duration are expected, and followup funding will normally not be available.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Eligible applicants will represent State court systems, local courts serving populations of 200,000 or over, local agencies engaged in planning or support of court operations, and nonprofit organizations. Applicants must be endorsed by local and State court leaders.

BENEFICIARY ELIGIBILITY:
See applicant eligibility.

APPLICATION AND AWARD PROCESS

No funding is available for fiscal year 1981.

APPROPRIATIONS

Fiscal Year 1978	$2,390,000
Fiscal Year 1979	$2,816,000
Fiscal Year 1980	$1,750,000

PROGRAM ACCOMPLISHMENTS

A grant of $98,000 was awarded to the Missouri Council on Criminal Justice in September 1979 for continuation funding of a model neighborhood mediation center in Kansas City, Mo., known as the Kansas City, Mo., Neighborhood Justice Center, for a 12-month period. The Georgia State Crime Commission received an award of $148,000 in July 1979 for continuation funding of a model neighborhood mediation center in Atlanta, Ga., known as the Neighborhood Justice Center of Atlanta, for 12 months. These are two of three original Justice Department field research projects and are serving as demonstration sites for inexpensive, expeditious, and fair resolution of citizen disputes through the process of conciliation and mediation.

ENABLING LEGISLATION

Justice System Improvement Act of 1979; P.L. 96-157.

INFORMATION CONTACTS

Nicholas L. Demos, Program Manager/or
Michael R. Maione, Court Specialist
Court Delay Reduction Program
Adjudication Division
Office of Criminal Justice Programs
Law Enforcement Assistance Administration
633 Indiana Avenue, N.W.
Washington, D.C. 20531
(202) 724-7681 or 7685

Department of Justice
Law Enforcement Assistance Administration
Family Violence Program

16.501 Law Enforcement Assistance - Discretionary Grants
(Safe Streets, Crime Control)

PROGRAM DESCRIPTION

The Family Violence Program is based on the recognition that family violence is both a social and legal problem and that a comprehensive community effort is essential to combat it. Thus, the program focuses on improving the justice system's handling of such family cases through a partnership with other community services.

This program supports direct services, demonstration grants, training, and technical assistance.

LEAA provides funds to support projects that demonstrate community-wide solutions to family violence--projects that involve the active participation of all relevant public and private agencies. Participants must include the obvious institutions--police, prosecutors, hospitals, mental health and social service agencies--and also local and professional organizations, public education, media, neighborhood groups, and schools. Grants finance planning, coordination, and central data collection, as well as new justice and social service program components necessary for a comprehensive approach to dealing with family violence.

The LEAA program, along with HHS's Office on Domestic Violence, also supports a free monthly newsletter as well as several other national level technical assistance efforts.

USES AND USE RESTRICTIONS

The program supports local action demonstration projects and national level training and technical assistance efforts. Funds cannot be used for research.

All local projects must demonstrate a community-wide approach to the problem by involving the active participation of all relevant public and private agencies. Projects must also include plans for specific improvements in the criminal and civil justice system's handling of family violence cases and describe how the authority of the justice system will be used in conjunction with new and existing mental health and social service agency activities.

TYPES OF ASSISTANCE

No funds are available for new projects in FY 1980.

Funds for local projects have supported planning, coordination and data collection as well as new justice-related and/or social service and mental health agency components necessary for a comprehensive approach.

Funds for national level projects have supported a national newsletter, a national resource center, training for agency personnel and various technical assistance efforts.

FORMULA AND MATCHING GRANTS

No funds are available for FY 1980. Matching requirements, if funds were available, range from no required match up to 50 percent cash match.

ELIGIBILITY REQUIREMENTS

Those eligible for local demonstration grants include:

a. Units of local governments or combinations of such units; and

b. Incorporated private non-profit agencies or organizations.

National-level training and technical assistance grants can be made to any public or private non-profit agency which has demonstrated the capability to meet the objectives of the proposed project.

APPLICATION AND AWARD PROCESS

No new grants will be awarded in FY 1981.

APPROPRIATIONS

Fiscal Year 1978	$2,400,000
Fiscal Year 1979	$2,100,000
Fiscal Year 1980	$3,000,000

PROGRAM ACCOMPLISHMENTS

The LEAA Family Violence Program was established in September 1977. To date, the Program has supported 28 local action demonstration projects. Several of these projects are in rural areas and have developed service delivery networks uniquely suited to the problem of family violence in rural areas. The 28 projects represent a range of public, private and grassroots organizations as well as various approaches to the problem. The projects are presently being evaluated by the URSA Institute under a grant from the LEAA Office of Juvenile Justice and Delinquency Prevention.

In addition to the demonstration projects, the Program supports a national newsletter and resource center in cooperation with the HHS Office on Domestic Violence. Other national level efforts include the development of education and treatment films for batterers, a special curriculum for the clergy and a monograph on improved police practices.

ENABLING LEGISLATION

Justice System Improvement Act of 1979; Public Law 96-157.

INFORMATION CONTACT

Jeannie Niedermeyer-Santos
Program Manager
LEAA/Family Violence Program
Office of Criminal Justice Programs
633 Indiana Avenue, N.W., Room 700
Washington, D.C. 20531
(202) 724-5905

Persons wishing to obtain information concerning LEAA programs should call (202) 724-5987 and they will be referred to the correct office.

Department of Justice
Law Enforcement Assistance Administration
National Victim/Witness Strategy Program

16.501 Law Enforcement Assistance - Discretionary Grants
(Safe Streets, Crime Control)

PROGRAM DESCRIPTION

This program was created to make funds available on the Federal, State, and local levels to promote integration and coordination of all victim/witness assistance programs. The objective of the program is to develop, expand, and improve the services to crime victims and witnesses through the creation or support of centralized structures or networks of victim/witness service providers and the mobilization of existing nongovernmental groups and organizations.

This program supports technical assistance.

USES AND USE RESTRICTIONS

Grant funds will not be utilized to provide direct services to victims and witnesses. Rather, funds will be made available to establish statewide networks, stimulate national organizations to develop victim/witness programs, and support the coordination of efforts and initiatives undertaken by other Federal agencies. All applicants must provide evidence of an administrative structure that can effectively achieve the project directives and must demonstrate the extent to which program requirements are met.

TYPES OF ASSISTANCE

Project Grants.

FORMULA AND MATCHING GRANTS

All projects will be awarded on a 12-month basis with consideration for one additional year based on review and LEAA program monitoring. First year funding will require a 10 percent cash match, and second year funding will require a 20 percent cash match.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Statewide networks: Any State or local unit of government, not-for-profit organization, or a coalition of these groups that can effectively coordinate victim/witness efforts in that State. Non-governmental organizations: Not-for-profit organizations (criminal justice or other) already active in fields germane to victim/witness services. Intergovernmental agencies: Federal agencies that have initiated or are considering initiating programs relating to victim/witness services at the State or local level.

BENEFICIARY ELIGIBILITY:
See applicant eligibility.

APPLICATION AND AWARD PROCESS

No funds are available for fiscal year 1981.

APPROPRIATIONS

Fiscal Year 1978	$2,000,000
Fiscal Year 1979	$3,000,000
Fiscal Year 1980	$3,500,000

PROGRAM ACCOMPLISHMENTS

Samples of two fiscal year 1979 networks projects are described. The New Jersey Department of Law and Public Safety Prosecutor's Supervisory Section established a victim/witness coordination program using the $71,875 award granted to the State Law Enforcement Planning Agency in September 1979. The California Office of Criminal Justice Planning used a $76,000 grant awarded in September 1979 to develop a California victim/witness assistance network to link all victim/witness programs within the State. The St. Louis (Missouri) section of the National Council of Jewish Women received $35,980 in September 1979 for Project "Know-How" for Victim/Witness Volunteers, a nongovernmental project to publish and disseminate a 200-page comprehensive "How-To" manual for voluntary organizations and to make preliminary selection of 15 sites for victim/witness programs to replicate the model outlined in the manual. Another nongovernmental project was the Program To Support a National Prosecutor's Resource Center. The goals of the project were to develop an ongoing victim/witness service "hotline" and establish new field units providing victim/witness assistance through the use of NDAA technical assistance. The $52,060 award was granted to the National District Attorneys Association in Chicago, Ill., in September 1979.

ENABLING LEGISLATION

Justice System Improvement Act of 1979; P.L. 96-157.

INFORMATION CONTACT

Jan Kirby
Program Manager
Victim/Witness Units, SPD
633 Indiana Avenue, N.W.
Room 707
Washington, D.C. 20531
(202) 724-5905

16.502 Law Enforcement Assistance--Improving and Strengthening Law Enforcement and Criminal Justice

PROGRAM DESCRIPTION

LEAA provides matching grants to each State, the District of Columbia, Virgin Islands, Puerto Rico, and 4 U.S. territories to plan and implement a State's law enforcement and criminal justice program as developed in the State comprehensive action plan. More than 75 percent of all LEAA funds are allocated to the States in the form of formula grants, and these funds are then dispensed to criminal justice and other appropriate agencies in State and local governments as well as appropriate private organizations that demonstrate the capacity to fulfill the State's plan. Persons interested in determining whether their State plan includes a program for domestic violence projects should contact their State's Criminal Justice Council.

This program supports direct services.

USES AND USE RESTRICTIONS

Uses include improvement and strengthening of the Criminal Justice System for the reduction of crime and delinquency, legislation (code revision), planning, evaluation, research, information systems, crime prevention, detection, deterrence, apprehension, diversion, adjudication, rehabilitation. JOINT FUNDING: This program is considered suitable for joint funding with closely related Federal financial assistance programs in accordance with the provisions of OMB Circular No. A-111. For programs that are not identified as suitable for joint funding, the applicant may consult the headquarters or field office of the appropriate funding agency for further information on statutory or other restrictions involved.

TYPE OF ASSISTANCE

Formula Grants. Project Grants. The program includes individual financial assistance, medical care, transportation, employment/training and legal services, counseling, technical assistance, information and referral, and volunteer services.

FORMULA AND MATCHING GRANTS

An annual formula grant is awarded to each State based on a formula that includes population, index crimes, and criminal justice expenditures. Grantees are required to provide cash match of 10 percent of the total costs. Construction and projects that have equipment as the primary purpose are ineligible for funding. Action grant funds passed through to units of local governments will vary according to the State-local apportionment of total State criminal

justice expenditures for the preceding fiscal year.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
States must prepare a three year application, based upon crime problems and criminal justice needs. All purposes must conform to those specified in the legislation.

BENEFICIARY ELIGIBILITY:
Units of State and local governments, and nonprofits that impact upon the criminal justice system.

CREDENTIALS/DOCUMENTATION:
Governors (or their designated Plan review agencies) must be given an opportunity to review the State application pursuant to Part III, Attachment A of OMB Circular No. A-95 (revised). Costs will be determined in accordance with FMC 74-4 for State and local governments.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: Applications are subject to State and areawide clearinghouses review pursuant to procedures in Part I, Attachment A of OMB Circular No. A-95 (revised). The standard application form is furnished by the Federal agency and required by OMB Circular No. A-102 to be used for this program. If required by State legislature, the application plan must be submitted by the chief executive to the legislature for advisory review prior to submission to LEAA.

Application Procedure: The application is submitted to LEAA following the criteria set out in LEAA guidelines. Refer to section on Regulations, Guidelines, and Literature.

Award Procedure: Letter to Governor and State Criminal Justice Council (CJC) Director upon approval by LEAA with copies of grant award to both. One copy of grant award must be signed by CJC Director and returned to LEAA. Notification of awards must be made to the designated State Central Information Reception Agency in accordance with Treasury Circular 1082.

Deadlines: Fiscal year 1981 applications are due August 31, 1980.

Range of Approval/Disapproval Time: No later than 90 days after the date of submission. However, full award will not be made until final action on the year appropriations has taken place.

Appeals: Hearings held by the Administration.

Renewals: Application is approved for 3 year period. Annual resubmission for changed portions of application.

APPROPRIATIONS

Fiscal Year 1978	$333,566,000
Fiscal Year 1979	$346,668,000
Fiscal Year 1980	$240,000,000 (formula grant only)
Fiscal Year 1981 (recom by Pres)	0

Range and Average of Financial Assistance: Fiscal year 1981 President's budget calls for no funding of formula grants.

PROGRAM ACCOMPLISHMENTS

States have earmarked a large amount of their action grants for corrections programs. Detection and apprehension of offenders, corrections and juvenile delinquency prevention and control are the most heavily funded areas, as well as probation/parole and community-based corrections.

ENABLING LEGISLATION

Justice System Improvement Act of 1979; Public Law 96-157. Persons wishing to obtain information concerning LEAA programs should call (202) 724-5987, and they will be referred to the correct office.

INFORMATION CONTACT

George H. Bohlinger III
Acting Assistant Administrator
OCJP
633 Indiana Avenue, N.W.
Washington, D.C. 20531
(202)724-7644

16.502 Law Enforcement Assistance--Improving and Strengthening Law Enforcement and Criminal Justice (Safe Streets, Crime Control)

PROGRAM DESCRIPTION

This program provides a system that identifies the substance abusing offender through screening, the provision of diagnostic and referral services in order to find the appropriate treatment facility, and continuous monitoring. Now a national priority program, TASC focuses on Statewide implementation through State or private agencies. Services have been provided for victims of domestic violence and their families.

This program supports direct services.

USES AND USE RESTRICTIONS

Not applicable.

TYPES OF ASSISTANCE

Project grants for drug and alcohol criminal justice diversion projects.

FORMULA AND MATCHING GRANTS

Fifty percent.

ELIGIBILITY REQUIREMENTS

States and private agencies with Statewide acceptance.

APPLICATION AND AWARD PROCESS

The application process for fiscal year 1980 has been completed. The fiscal year 1981 budget allocation and application deadline has not yet been determined. For additional information please contact: Karen McFadden, Program Manager, TASC, Corrections Division, Office of Criminal Justice Programs, Washington, D.C. 20531.

APPROPRIATIONS

Fiscal Year 1978		$4,400,000
Fiscal Year 1979		$4,000,000
Fiscal Year 1980	est.	$3,700,000

PROGRAM ACCOMPLISHMENTS

Over $30 million has been expended by LEAA for the development, testing, demonstration, and evaluation of the National TASC program in approximately two-thirds of all the States. In that period of time, the program model was proven effective, and TASC became a National Priority Program.

ENABLING LEGISLATION

Justice System Improvement Act of 1979; Public Law 96-157. Persons wishing to obtain information concerning LEAA programs should call (202) 724-5987 and they will be referred to the correct office.

INFORMATION CONTACT

Karen McFadden
Corrections Division
Law Enforcement Assistance Administration
Department of Justice
633 Indiana Avenue, N.W.
Washington, D.C. 20531
(202) 724-5944

16.507 Law Enforcement Research and Development--Project Grants

PROGRAM DESCRIPTION

The agency objective is to encourage and support research and development that will improve and strengthen all activities pertaining to crime prevention, crime reduction, and enforcement of criminal law.

This program supports research and technical assistance.

USES AND RESTRICTIONS

The funds may be used to conduct research and development pertaining to the above objectives, including the development of new or improved approaches, techniques, and to carry out programs of behavioral research on the causes of crime and means of preventing crime, and to evaluate criminal justice programs and procedures. JOINT FUNDING: This program is considered suitable for joint funding with closely related Federal financial assistance programs in accordance with the provisions of OMB Circular No. A-111. For programs that are not identified as suitable for joint funding, the applicant may consult the headquarters or field office of the appropriate funding agency for further information on statutory or other restrictions involved.

TYPES OF ASSISTANCE

Grants, Cooperative Agreements, and Contracts.

FORMULA AND MATCHING GRANTS

Formula and Matching Requirements: The Institute shall require, whenever feasible, as a condition of approval of a grant or contract that the recipient contribute money, facilities, or services to carry out the purpose for which the grant or contract is sought.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
The National Institute of Justice is authorized to make grants to, or enter into contracts or cooperative agreements with public agencies, institutions of higher education, private organizations and qualified individuals. Applicants from the Territories of the United States are also eligible to participate in this program as are profit-making organizations willing to waive their fees.

BENEFICIARY ELIGIBILITY:
Same as Applicant Eligibility.

CREDENTIALS/DOCUMENTATION:
The applicant must furnish, along with the application for a grant, cooperative agreement or contract, resumes of principal investigator and key personnel, details of the budget composition, goals, impact, methods, evaluation, schedule and resources of the project. Costs will be determined in accordance with FMC 74-4 for State and local governments.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: The Institute publishes its program plan describing the opportunities that will be available and makes awards to individuals (through the Visiting Fellowship Program), public agencies, universities and other organizations to conduct research of national significance in the criminal justice field and supports a Graduate Research Fellowship Program. In addition, the National Criminal Justice Reference Service (NCJRS), is supported to catalogue, abstract and disseminate information about major criminal justice research endeavors, and NIJ research opportunities.

The grants of the National Institute are usually awarded after a competitive process initiated by the solicitation of proposals or concept papers for research in a particular area specified by the Institute. Each solicitation is announced in the Federal Register and our Research Bulletin. Copies of the Program Plan and Research Bulletin are available upon written request to: NCJRS, Box 6000, Rockville, Maryland 20850.

In addition, NIJ has an unsolicited research program which supports projects on topics other than those decided upon by the Institute. The announcement of that program is also available from NCJRS.

A potential grantee or contractor may also contact the Institute to determine whether a similar proposal has already been funded or whether or not the proposal is within the objectives and priorities of the Institute. Standard application forms furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program.

Application Procedure: Application procedures are specified in each program announcement as is the name of someone who may be contacted for additional information. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure: The Institute uses the peer review process to ensure fair and knowledgeable evaluation of papers and proposals. Proposals are evaluated according to the criteria specified in the program solicitation. Notification of awards must be made to the designated State Central Information Reception Agency in accordance with Treasury Circular 1082.

Deadlines: As specified in the announcement.

Range of Approval/Disapproval Time: 90 days for full proposals.

Appeals: Hearing by Director.

Renewals: None.

APPROPRIATIONS

Fiscal Year 1978	$21,000,000
Fiscal Year 1979	$25,980,000
Fiscal Year 1980	$25,000,000

Range and Average of Fiscal Assistance: In amounts consistent with the Institute's plans, priorities and levels of financing, and as specified in the announcement.

PROGRAM ACCOMPLISHMENTS

Project reports and program implementation manuals are available from the NCJRS, P.O. Box 6000, Rockville, Maryland 20850.

Violent crime is an Institute "priority research issue." Ongoing projects include comprehensive Studies of Weapons and Violent Crime, Collective Disorders and Violence, and Homicide. These studies will compile comprehensive state-of-the-art knowledge bases on their respective subjects; will collect and analyze data from criminal justice and other relevant sources; and (based on their findings) will make recommendations for future criminal justice policy, strategies, and research. The Institute is also currently sponsoring basic, longitudinal research into the causes and correlates of violent criminality.

The research project "Non-Stranger Violence: The Criminal Court's Response" addresses the issues of case acceptance by prosecution, relative deterioration, and reasons for dismissal of non-stranger violence cases in the courts; assesses the adequacy of the criminal court's response to non-stranger violence cases using data from case files and interviews in four jurisdictions throughout the United States. The final report should produce policy implications for criminal justice planners and should help determine which non-stranger violence cases are most in need of criminal justice intervention.

A Program Model is currently being developed to provide guidelines for improving services to battered spouses, examining the performances of the criminal justice system as well as the effectiveness of non-criminal justice intervention strategies. The role of the police, the prosecutor and the court will be examined and guidelines for improving effectiveness and responsiveness to victim needs will be developed. Alternative methods for dealing with cases including the use of arbitration, pre-trial diversion programs, counseling and social service referrals will be examined. Developments in legislative reform and public education will also be analyzed.

The recommendations presented in this report will be drawn from the experience of 28 LEAA funded family violence demonstration projects and studies funded by NIJ as well as those by LEAA's Office of Juvenile Justice and Delinquency Prevention and the Department of Health and Human Services.

In addition to these major on-going studies there are also current graduate research fellowships which have been awarded to support the dissertation research and writing of doctoral candidates on topics relevant to family violence. And, as of this writing, the Unsolicited Research Program has

applications pending which propose research on various aspects of the interaction between family violence and the CJ system.

ENABLING LEGISLATION

Justice System Improvement Act of 1979; Public Law 96-157.

INFORMATION CONTACTS

National Criminal Justice Reference Service
Box 6000
Rockville, Maryland 20850

Harry M. Bratt, Acting Director
National Institute of Justice
Department of Justice
633 Indiana Avenue, N.W.
Washington, D.C. 20531
(301) 492-9104

Department of Justice
Law Enforcement Assistance Administration
Bureau of Justice Statistics

16.510 Statistics on Crime and Criminal Justice

PROGRAM DESCRIPTION

To collect, evaluate, publish, and disseminate statistics and and other information on the condition and progress of law enforcement within and outside of the United States.

This program supports research.

USES AND USE RESTRICTIONS

Data on crime and the criminal justice processes are collected, analyzed, published, and disseminated. Statistical assistance is given to State and local units of government through guidelines and standards for the development of criminal justice statistics systems.

TYPES OF ASSISTANCE

Dissemination of Technical Information.

FORMULA AND MATCHING GRANTS

None are required.

ELIGIBILITY REQUIREMENTS.

Not applicable.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program.

Application Procedure: Inquiries may be sent to the Director, Bureau of Justice Statistics, Department of Justice, Washington, DC 20531.

Award Procedure: Notification of awards must be made to the designated State Central Information Reception Agency in accordance with Treasury Circular 1082.

Deadlines: None.

Range of Approval/Disapproval Time: Not applicable.

Appeals: Not applicable.

Renewals: Not applicable.

APPROPRIATIONS

Not applicable.

PROGRAM ACCOMPLISHMENTS

In fiscal year 1977, reports were issued on employment and expenditures in criminal justice; local jails, juvenile detention and correctional facilities; victims of crimes; sourcebook of criminal justice statistics; data collection on victims of crimes, prisons and prisoners in State correctional systems, and comparative data reports. In addition, the Bureau is releasing a statistical report on Intimate Victims: A Study of Violence Among Relatives and Friends. This report is based upon aggregate data for the years 1973-76 from the National Crime Survey which involves an ongoing national survey of 60,000 households (about 132,000 respondents) and was released in April 1980.

ENABLING LEGISLATION

Justice System Improvement Act of 1979; Public Law 96-157. Persons wishing to obtain information concerning LEAA programs should call (202) 724-5987 and they will be referred to the correct office.

INFORMATION CONTACT

Patsy Klaus
Social Science Analyst
Bureau of Justice Statistics
Department of Justice
Room 700
633 Indiana Avenue, N.W.
Washington, D.C. 20531
(301) 492-9070

16.535 Office of Community Anti-Crime Programs (CACP) (B)

PROGRAM DESCRIPTION

The LEAA provides support funds for neighborhood and community group projects that actively involve area residents in effective crime prevention programs. Projects funded have included community-based victim assistance, neighborhood mediation centers, and community-based support for ex-offenders. Programs providing shelter services only are not eligible, but more comprehensive programs that included domestic violence as a component could be considered.

USES AND USE RESTRICTIONS

The funds may be used to support community and neighborhood groups to mobilize and involve residents in effective anti-crime programs which will prevent crime, reduce the fear of crime and improve cooperation among residents and criminal justice officials, including the development of new or improved approaches and techniques to carry out programs designed to prevent crime.

TYPES OF ASSISTANCE

Project Grants. Counseling services for individuals and families in the areas of alcohol/drug abuse, law, and employment/training. Technical assistance, educational, and information and referral services are available.

FORMULA AND MATCHING GRANTS

Not applicable.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
The Office of Community Anti-Crime Programs is authorized to make grants to incorporated nonprofit community/neighborhood organizations. Applicants from territories of the United States are also eligible to participate in this program. Grants will not be awarded to State or local units of government or their agencies. As a general rule, grants will not be awarded to more than one community organization serving as a coordinator of neighborhood projects within a similar geographic area.

BENEFICIARY ELIGIBILITY:
Residents of the applicant's target communities.

CREDENTIALS/DOCUMENTATION:
The applicant must furnish along with the application: Demonstration of a membership which consists of community and neighborhood residents. Documentation

of the specific steps taken to seek out neighborhood groups and residents within a target community and a list of groups. A geographic definition of the community and neighborhoods to be served and a community profile including, but not limited to, such factors as crime rates, economic conditions, etc., evidence that local criminal justice agencies in the community have been consulted regarding proposed activities, a description of current anti-crime programs and organization's history in crime prevention efforts, resumes of already identified key personnel, details of budget composition, goals, impact, methods, evaluation, schedule and resources of project.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: Applications are subject to State and areawide clearinghouses review pursuant to procedures in Part I, Attachment A of OMB Circular No. A-95 (revised).

Application Procedure: Applicant submits proposal of LEAA form 424-101. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure: Upon approval by LEAA, a letter and award package is sent to the grantee. One copy of the grant award and special conditions must be signed by the authorized official and returned to LEAA.

Deadlines: If funding is available, applications will be accepted in fiscal year 1981 (spring).

Range of Approval/Disapproval Time: Not to exceed 90 days.

Appeals: Hearing by the Administration.

Renewals: There will be some continuation funding with a 25 percent decrease in funds.

APPROPRIATIONS

Fiscal Year 1980	$10,000,000
Fiscal Year 1981	None

Range and Average of Financial Assistance: $20,000 to $150,000; $100,000.

PROGRAM ACCOMPLISHMENTS

Provided "seed money" to grass-roots community organizations to improve and expand their crime prevention activities. Also provided technical assistance to community organizations in the preparation of Federal forms.

ENABLING LEGISLATION

Section 301(b), Omnibus Crime Control and Safe Streets Act of 1968; Public Law 90-351; as amended by the Crime Control Act of 1976; Public Law 94-503; 42 U.S.C. 3731.

INFORMATION CONTACT

James Hagerty, Director
Community Anti-Crime Programs Division
Law Enforcement Assistance Administration
Department of Justice
633 Indiana Avenue, N.W.
Washington, D.C. 20531
(202) 724-6589

Due to pending legislation and reorganization of LEAA, final budget allocations and program plans for fiscal year 1980 are not available. Persons wishing to obtain information concerning LEAA programs should call (202) 724-5987 and they will be referred to the correct office.

Department of Justice
Law Enforcement Assistance Administration
Office of Juvenile Justice and Delinquency Prevention

16.540 Juvenile Justice and Delinquency Prevention

PROGRAM DESCRIPTION

The Office of Juvenile Justice and Delinquency Prevention (OJJDP) administers the Juvenile Justice and Delinquency Prevention Act of 1974, as amended, public law 93-415. The purpose of the act is to provide the resources, leadership, and coordination necessary to:

1. develop and implement effective methods of preventing and reducing juvenile delinquency;

2. develop and conduct effective programs to prevent delinquency, divert juveniles from the traditional juvenile justice, system, and provide critically needed alternatives to institutionalization;

3. improve the quality of juvenile justice in the United States; and,

4. increase the capacity of State and local governments, and public and private agencies to conduct effective juvenile justice and delinquency prevention and rehabilitation programs and to provide research, evaluation and training services in the field of juvenile delinquency prevention.

The OJJDP conducts these activities principally through four mandated program efforts: (1) the Concentration of Federal Effort Program; (2) the Formula Grants and Technical Assistance Program; (3) the Special Emphasis Grant Program; and, (4) the National Institute for Juvenile Justice and Delinquency Prevention (NIJJDP). The Concentration of Federal Effort Program entails the development of objectives and priorities for all Federal juvenile delinquency programs; the implementation of Federal juvenile programs among and with other Federal agencies; the annual development of a concise report of Federal juvenile delinquency programs; the provision of technical assistance to governmental and other agencies concerning juvenile delinquency programs; and the development of a comprehensive plan for Federal juvenile delinquency programs.

The formula grants program provides formula grant funds to participating States and territories. The major objectives of the formula grants program include assisting State and local communities in providing resources to develop and implement effective methods of preventing and reducing juvenile

delinquency; increasing the capacity of State and local governments to conduct effective juvenile justice and delinquency prevention programs; promoting and expediting necessary system and process changes for the deinstitutionalization of status offenders from detention and correctional facilities; and removing or providing adequate separation of alleged or actual juvenile delinquents from adults incarcerated in jails and other correctional facilities.

The Special Emphasis program is essentially a national priority discretionary program designed to develop and implement new approaches, techniques, and methods in juvenile delinquency programs including efforts to deinstitutionalize categories of juveniles from correctional facilities or systems; improve the capacity of public and private agencies and organizations to provide services to juveniles thought to be in danger of becoming delinquents; develop and implement model programs and methods to keep students in elementary and secondary schools and to prevent unwarranted, arbitrary suspensions and expulsions; rehabilitate serious offenders and support programs which prevent serious juvenile crimes; facilitate the adoption of standards for the administration of juvenile justice; and develop and support programs addressing advocacy activities aimed at improving services to youth impacted by the juvenile justice system.

The NIJJDP principally conducts research into the problems of juvenile delinquency and evaluates juvenile justice programs; develops standards for the administration of juvenile justice; provides training for persons working or preparing to work in the delinquency field; and acts as an information clearinghouse.

The OJJDP program supports direct services and technical assistance.

USES AND USE RESTRICTIONS

The Formula Grants Program:

This program allocates formula grant funds to States and territories on the basis of their relative population under age 18. No allotment to any State shall be less than $225,000. The Virgin Islands, Guam, American Samoa, and the Trust Territory of the Pacific Islands shall receive no allotment less than $56,250. To be eligible, a State must submit a comprehensive plan embodying some of the purposes of the Act and including provisions for: (1) Establishing an advisory group appointed by the Chief Executive of the State to carry out specified functions and participating in the development and review of the State's juvenile justice plan; (2) Provide that within 3 years of submission of the initial plan, those juveniles charged with or convicted of committing offenses that would not be criminal if committed by an adult, or such nonoffenders as dependent and neglected children are removed from juvenile detention and correctional facilities; and (3) Provide that juveniles alleged to be or found to be delinquent and youths within the purview of the deinstitutionalization mandate not be confined or detained in any institution in which they have regular contact with adult persons incarcerated because they have been convicted of a crime or are awaiting trial on

criminal charges. Once the plan is approved, each State determines the specific use of funds. The SPA's are responsible for processing applications for funds and administering funded projects. Two-thirds of planning funds must be passed through to units of local governments, unless a waiver is granted. JOINT FUNDING: This program is considered suitable for joint funding with closely related Federal financial assistance programs in accordance with the provisions of OMB circular number A-111. For programs that are not identified as suitable for joint funding, the applicant may consult the headquarters or field office of the appropriate funding agency for further information on statutory or other restrictions involved.

Special Emphasis Program:

To be eligible for a special emphasis grant, an applicant must: (1) respond to requirements of specific program guidelines issued by the OJJDP; (2) be consistent with the objectives and priorities of OJJDP on a State's comprehensive juvenile justice and delinquency prevention plan; (3) provide for proper program administration, evaluation, and budget reporting; (4) demonstrate that the overall quality of the program proposal is technically sound and will achieve the required program objectives at the highest possible level; and (5) respond to clear and documentable needs. Technical assistance is provided to public and private agencies, institutions, and individuals in developing and implementing juvenile delinquency programs. In addition, support is provided for the major program thrusts of OJJDP: Special Emphasis Grant Program, Concentration of Federal Effort, NIJJDP, and Formula Grants to States. JOINT FUNDING: The same procedures apply here as reported under Formula Grants.

NIJJDP:

It is the purpose of the Institute to provide a coordinating center for the collection, preparation, and dissemination of useful data regarding the prevention and treatment of juvenile delinquency; to provide training for persons -- professionals, paraprofessionals, and volunteers -- where activities relate to juvenile delinquency programs; and to support development of standards for the administration of juvenile justice. The funds may be used to conduct research, development and evaluation into any aspect of juvenile delinquency, including the development of new or improved programs that show promise of preventing or treating juvenile delinquency; to carry out programs of behavioral research on the causes of juvenile crime, means of prevention, and to evaluate juvenile programs and procedures.

TYPES OF ASSISTANCE

Formula grants, project grants, and contracts.

FORMULA AND MATCHING GRANTS

Formula Grants Program:

Formula based on population. Grantees are required to provide dollar for dollar match on planning funds. Action programs require no match. At

least 66 and 2/3 percent of the funds received by the State under section 222(a), Juvenile Justice and Delinquency Prevention Act of 1974, must be expenditure programs of local government, insofar as they are consistent with the State plan. This provision may be waived at the discretion of the OJJDP Administrator for any State if the services for delinquent or potentially delinquent youth are organized primarily on a statewide basis. Fiscal year action funds may be carried forward for obligation for 2 years subsequent to the fiscal year of award. Under a letter of credit, drawdowns may be made.

States that have established operating State Criminal Justice Councils must be in accordance with the Justice System Improvement Act of 1979.

Special Emphasis and Technical Assistance:

Special emphasis funds are available to public and private nonprofit agencies, organizations, individuals, State and local units of government, and combinations of State or local units. Technical assistance is available to States, general purpose local government agencies, combinations of such States or units, or other private agencies, organizations, institutions, or individuals.

NIJJDP:

Funds are available to public or private agencies, organizations or individuals.

BENEFICIARY ELIGIBILITY

Basically units of a State and its local government, public and private organizations, and agencies involved in juvenile delinquency prevention, treatment, and rehabilitation.

APPLICATION AND AWARD PROCESS

It is estimated that the entire OJJDP fiscal year 1981 allocation will be approximately $100 million. Fiscal year 1980 appropriations for Formula Grants - $61 million; Special Emphasis - $34 million; and Technical Assistance - $3,215,000.

PROGRAM ACCOMPLISHMENTS

NIJJDP:

Under the LEAA Family Violence Program, 23 projects focused on preventing and treating family violence and two projects focused on treatment of child sexual abuse have been implemented. The projects represent a variety of services, including general information, sheltercare, legal assistance, and diversion programs for offenders. The national evaluation data indicate that over 10,000 victims have contacted the family violence project and over 6,000 of these persons have received some type of service. The sexual abuse projects have handled over 2,000 contacts and provided services to approximately 1,200 children. Both types of projects have also undertaken activities

to improve the community response to family violence and sexual abuse of juveniles.

INFORMATION CONTACT

Ira Schwartz
Administrator
Office of Juvenile Justice and
Delinquency Prevention
633 Indiana Avenue, N.W.
Washington, D.C. 20531
(202) 724-7751

Department of Labor
Employment and Training Administration
Comprehensive Employment and Training Programs

17.232 Comprehensive Employment and Training Programs

PROGRAM DESCRIPTION

The objective of this program is to provide job training and employment opportunities for economically disadvantaged, unemployed, and underemployed persons and to assure that training and other services lead to increased earnings and enhanced self-sufficiency by establishing a flexible decentralized system of Federal, State, and local programs.

USES AND USE RESTRICTIONS

Title I--Administrative Provisions contains the general provisions governing the Act, including the designation of State and local prime sponsors to administer the program, the planning and plan approval process and special responsibilities of the Governor and State and local advisory councils. The title also establishes an Office of Management Assistance to provide support to prime sponsors and contains provisions relating to program audits and investigation and compliance activities. This title also states time limitations for participation in programs authorized by the Act, as well as new provisions for wages for public service employment. The participation limits are: 78 weeks in any 5-year period for public service employment; 1,000 hours in any 1-year period and 2,000 hours in any 5-year period for work experiences; and 30 months in any 5-year period for overall participation in CETA. In addition, payment of allowances to classroom training participants is limited to 104 weeks in any 5-year period. Title II--Comprehensive Employment and Training Services - combines the comprehensive manpower services previously authorized under Title I and the public service employment program previously authorized under Title II. Allowable program activities include classroom and on-the-job-training, work experience, upgrading, retraining, and other services (Parts A, B, and C) and transitional public service employment (Part D) needed to enable participants to obtain unsubsidized employment. Participants enrolled in training programs and services (except upgrading and retraining) must be economically disadvantaged and either unemployed, underemployed, or in school. Participants in public service employment must be economically disadvantaged and have been unemployed 15 of the 20 weeks immediately prior to application, or be a member of a family which is receiving public assistance. Title IV YCCIP--Youth are employed on community-planned projects that produce tangible benefits to the community. The projects are organized by private nonprofit organizations and agencies that are sensitive to the needs of youth. YETP--Projects provide a variety of year-round employment and training activities intended to enhance job prospects and career opportunities that will lead to unsubsidized employment in the public and private sectors of the economy. SYEP--Provides employment and training activities during the summer months--between the close and reopening of school but not later than September 30. All programs

are targeted to economically disadvantaged, unemployed, or underemployed youth except in YETP where 10 percent of the funds are for youth of all economic backgrounds. Title VI--Provides for a countercycle public service employment program, authorizing the funding of sufficient jobs to employ 20 percent of the number of unemployed in excess of a 4 percent rate of unemployment when national unemployment is 7 percent or higher. Fifty percent of the funds may only be used for the employment of persons in projects of limited duration and all persons employed outside of the projects must be employed at entry level positions.

TYPES OF ASSISTANCE

Formula Grants; Project Grants.

FORMULA AND MATCHING GRANTS

Fiscal Year 1980:
Title II B and C -- (1) 50 percent of funds shall be determined by the amount allocated to the prime sponsor in fiscal year 1979 (for this part) compared to allocations for all prime sponsors. (2) 37 1/2 percent of funds shall be allocated based on the total number of unemployed persons in the prime sponsorship area relative to all other prime sponsor areas. (3) 12 1/2 percent of funds shall be based on the relative number of low-income families within the prime sponsor jurisdiction compared to all other prime sponsor jurisdictions. States are allocated one percent to their State Employment and Training Council for the costs incurred in carrying out provisions of section 110; 4 percent of the total B and C allocation for Governor's coordination and special services under section 105, and where deemed necessary by the Governor for additional support of State Employment and Training Council; 6 percent of the total funds available under Parts B and C for financial assistance for vocational education; one percent of funds available under the entire Title II for encouraging coordination and establishing linkages between prime sponsors and appropriate educational agencies and institutions. Title II D -- At least 85 percent of the funds available are allocated among eligible applicants based on the proportion of unemployed persons residing in each area relative to all such areas' unemployed, the sponsors' share of families with an annual income below the low-income levels and the sponsors' share of 'excess' (more than 4-1/2 percent of the labor force) unemployed persons. Title IV - YCCIP--75 percent of the funds are allocated among the States on the basis of the relative number of unemployed persons within each State as compared to all States except that not less than one-half of 1 percent shall be allocated for projects under this subpart within any one State and not less than one-half of 1 percent shall be allocated in the aggregate for projects in Guam, the Virgin Islands, American Samoa, the Northern Mariana Islands, and the Trust Territory of the Pacific Islands. Title VI - Not less than 85 percent of the funds appropriated are allotted as follows: (a) 50 percent in proportion to each area's share of all unemployed persons; (b) 25 percent in proportion to the area's share of all unemployed persons in excess of 4-1/2 percent of the labor force; and (c) 25 percent among areas of substantial (6-1/2 percent for 3 consecutive months) unemployment as defined in the CETA regulations.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Title II, Parts B and C - States, units of general local government having a population of 100,000 or more, consortia of local government units at least one of which has a total population of 100,000 or more, units of local government considered eligible by the Secretary because of special circumstances, U.S. Territories, and a limited number of Concentrated Employment Program grantees in existence in December 1973 when CETA was enacted. Indian reservations entitled to Title II-D and Title VI money.

BENEFICIARY ELIGIBILITY:
Title II, Parts A, B, and C - Economically disadvantaged persons (70 percent of the BLS lower living standard income level or OMB poverty criteria) and unemployed, underemployed or in school except for persons enrolled under Part C (upgrading) who have been employed for the prior 6 months and are operating at less than full skill potential, primarily in entry level positions which offer little advancement opportunity. For retraining, a person must have received a bona fide layoff notice in the last 6 months and have little opportunity for reemployment. Title II D: economically disadvantaged and unemployed 15 out of the 20 weeks immediately prior to application, or a member of a family which is receiving public assistance. Title IV: YCCIP--Youth, 16-19 years of age, in-school or out-of-school and unemployed. Preference is given to out-of-school young people who experience severe problems in finding employment. YETP--Youth who are 14-21 years of age, in-school and are unemployed or underemployed and who have severe handicaps in obtaining employment, and whose total family income, annualized on a 6-month basis does not exceed 85 percent of the Bureau of Labor Statistics lower living standard income level or who are economically disadvantaged. Up to 10 percent of the funds may be used to serve a mixture of youth from all economic backgrounds. SYET--In-school and out-of-school youth, 14-21 years of age. Youth applying for participation in these programs must meet the criteria for economically disadvantaged lower living standard level. Title VI: Unemployed 10 of the 12 weeks immediately prior to application, and have a family income which does not exceed 100 percent of the lower living standard income level or be a member of a family which has been receiving public assistance for 10 of the last 12 weeks. Participation in all programs under the Act is limited in duration to 2-1/2 years in a 5 year period.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: Applicants submit a notification of intent to apply for prime sponsorship to the Regional Administrator for the Employment and Training Administration, (RA), the Governor, and appropriate A-95 clearinghouses. The standard preapplication forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. Applications are subject to State and areawide clearinghouse reviews pursuant to procedures in Part I, Attachment A of OMB Circular No. A-95 (revised).

Application Procedure: Applicants which have been designated as prime sponsors and eligible to receive funds will be furnished a grant application package which is to be submitted to the RA with copies to the Governor and appropriate State and areawide A-95 clearinghouses. A summary of the application will be published in one issue of a general circulation newspaper.

Copies of the summary will be provided to appropriate units of general local government, Indian prime sponsors, and to labor organizations where appropriate.

Award Procedure: The award is made by the Regional Administrator for Employment and Training Administration. Notification of awards must be made to the designated State Central Information Reception Agency in accordance with Treasury Circular No. 1082.

Deadlines: Each prime sponsor applicant shall submit its grant application to the RA on or before the date set by the Secretary.

Range of Approval/Disapproval Time: No application shall be disapproved until prime sponsor has been given not less than 30 days to remedy defect found in the application.

Appeals: Final disapproval of an application or plan must not be made without affording the eligible applicant opportunity for a Hearing.

Renewals: None.

APPROPRIATIONS

(Grants)	
Fiscal Year 1978	
Titles I, II, and VI	$3,974,694,000
Title IV	$1,395,762,000
Fiscal Year 1979 Actual	
Titles II, and VI	$7,819,454,000
Title IV	$1,392,096,000
Fiscal Year 1980 Actual	
Title II and VI	$5,166,000,000
Title IV	$1,434,661,000

PROGRAM ACCOMPLISHMENTS

Total enrollments at the end of fiscal year 1979 for Title II B/C and Title II D - 948,855; for Title IV, 9 months YCCIP - 38,989; YETP - 371,252; and SYEP - 805,102. Total enrollments for Title VI - 267,033.

ENABLING LEGISLATION

Titles I, II, IV, VI, and VII of the Comprehensive Employment and Training Act of 1973, as amended, Public Law 95-524, 92 Stat. 1909, 29 U.S.C. 801 note.

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Department of Labor
Employment and Training Administration
Employment and Training Research and Development Projects

17.233 Employment and Training Research and Development Projects

PROGRAM DESCRIPTION

The objectives of this program are to support employment and training studies to develop policy and programs for achieving the fullest utilization of the Nation's human resources; to improve and strengthen the functioning of the Nation's Employment and Training System; to develop new approaches to facilitate employment of the difficult to employ, and to conduct R&D addressing the employment implications of long-term social and economic trends and forces.

USES AND USE RESTRICTIONS

(1) Research projects are for the purpose of development of employment and training knowledge with special emphasis on generalized policy and program applications. Studies may be limited to special areas only where they can be shown to provide a basis for generalized conclusions or to have application over a wide area. (2) Although service is not the primary function of experimental and demonstration projects, the demonstration population participating in them receives services specific to the project design. Projects focus on distinctive training or employment problems which are not being met effectively by established employment and training service programs and seek to determine how to meet such problems through new techniques. Funds can be used to finance all operating costs required by specified project designs (such as administrative costs, training, counseling, recruiting, job development, and placement). (3) Experimental and demonstration funds are concentrated on developing new techniques which might significantly guide overall policy and programming. They are not available to extend methods developed in some areas or occupation (even though such projects might have distinctive elements and be useful for the particular area), or to make up for what essentially are inadequacies in the level of resources available for employment and training programs. Research and development projects facilitating the implementation of CETA State and local employment and training program operations are encouraged. Such projects should be of general applicability. JOINT FUNDING: This program is considered suitable for joint funding with closely related Federal financial assistance programs in accordance with the provisions of OMB Circular No. A-111. For programs that are not suitable for joint funding, the applicant may consult the headquarters or field office of the appropriate funding agency for further information on statutory or other restrictions involved.

TYPES OF ASSISTANCE

Project Grants (Contracts).

FORMULA AND MATCHING GRANTS

In certain instances the performing organizations are required to make a more than token contribution to the total cost of the project in accordance with FMC 73-3.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
State colleges and universities, public, private, junior and community colleges, State and local government organizations including U.S. Territories, and other organizations and individuals capable of fulfilling the objectives of the programs. There are no formal guidelines or conditions performers must meet other than that they have demonstrated financial responsibility and competence to fulfill the terms of the contract or grant.

BENEFICIARY ELIGIBILITY:
In the case of research projects beneficiary eligibility is same as applicant eligibility. With respect to experimental and demonstration projects, eligibility is determined by the specific design of each project; this is a function of the objectives of the project and the characteristics of the target group. Costs will be determined in accordance with FMC 73-8 for educational institutions.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: None.

Application Procedure: (1) Research Projects -- made in the form of a proposal that complies with specific guidelines obtainable from the Employment and Training Administration Office of Research and Development. (2) Experimental and Demonstration projects -- preliminary proposals or full formal proposals may be submitted directly to headquarters office. No specific format required. For some types of projects, Research, Demonstration, and Experimentation requests for procurement may be issued; these specify application procedures for the particular project. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure: No special procedural steps; consultation with affected agencies or organizations developed on project by project basis. (See procedure described in guidelines published in Research and Development Projects: See "Regulations, Guidelines, and Literature" below.) Notification of awards must be made to the designated State Central Information Reception Agency in accordance with Treasury Circular 1082. Supplemental reporting procedures are used on a semi-annual basis.

Deadlines: Not applicable.

Range of Approval/Disapproval Time: 45 to 90 days.

Appeals: Not applicable (except in cases when RFP is used).

Renewals: Extensions available upon approval, or refunding may be negotiated.

APPROPRIATIONS

Fiscal Year 1978	$12,763,000
Fiscal Year 1979	$14,300,000 (estimated)
Fiscal Year 1980	$14,300,000 (estimated)

PROGRAM ACCOMPLISHMENTS

In fiscal year 1978, approximately 125 new R&D or major modifications of existing projects were initiated. In fiscal year 1979, approximately 100 refunding and new R&D projects will be funded. Program activities estimated for fiscal year 1980 project 100 new and continuing undertakings.

ENABLING LEGISLATION

Title III of the Comprehensive Employment and Training Act of 1973 as amended by Public Law 95-524, 92 Stat. 1909, 29 U.S.C. 801 notes. Part C, Title IV of the Social Security Act, as amended.

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Department of Labor
Employment and Training Administration
Special Programs and Activities for the Disadvantaged

17.243 Special Programs and Activities for the Disadvantaged

PROGRAM DESCRIPTION

The objectives of this program are to provide, foster, and promote training and other employment related services to groups with particular disadvantages in the labor market; to promote and foster new or improved linkages among the network of Federal, State, and local employment; and to train agencies and components of the private sector, to carry out other special Federal responsibilities under CETA.

USES AND USE RESTRICTIONS

Funds may be used to provide or arrange for job training, related services, and job opportunities for members of groups with particular disadvantages in the general labor market or in certain segments of the labor market. These groups may include displaced homemakers, offenders, persons with limited English-speaking ability, handicapped persons, young people, single parents, older persons, women, minorities, welfare clients, persons lacking educational credentials, and persons dislocated from their jobs as a result of plant closings, natural disasters, and like circumstances. Project grants may also be made for a wide variety of promotional, developmental, and research-related activities associated with special Federal responsibilities under CETA.

TYPES OF ASSISTANCE

Project Grants.

FORMULA AND MATCHING GRANTS

Not required by statute but may be administratively imposed for particular solicitations or groups of project grants.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
State and local governments, Federal agencies, private nonprofit and profit-making organizations, educational institutions, and Native American entities qualifying for assistance under Section 302 of CETA. NOTE: Applicant eligibility may be restricted one or more applicant classes under particular announcements and solicitations.

BENEFICIARY ELIGIBILITY:
Generally limited to the economically disadvantaged, normally with further targeting by sex, age group, race, and/or other demographic criteria.

CREDENTIALS/DOCUMENTATION:
Nongovernmental entities ordinarily must furnish documentary evidence of adequate financial controls. Costs will be determined in accordance with FMC 74-4 for State and local governments, FMC 73-8 for publicly financed educational institutions, and the appropriate parts of 41 CFR 1-15 for other recipients.

APPLICATION AND AWARD PROCESS

Preapplication Coordination: Solicited Proposals and Applications - ETA will furnish instructions regarding preapplication procedures in the solicitation; Unsolicited Proposals - Preapplications should be prepared and submitted in accordance with 20 CFR 697.205.

Application Procedure: Solicited Proposals and Applications - Instructions regarding application procedures will be furnished in the solicitation; Unsolicited Proposals - Applicants should follow instructions furnished by ETA in response to the unsolicited preapplication. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure: Grants are usually awarded by the ETA Office of National Programs. Occasionally, awards are made by the Office of Policy, Evaluation, and Research and by ETA regional offices. Award procedures will vary according to the purpose of the grant or group of grants concerned. Notification of award must be made to the designated State Central Information Reception Agency in accordance with Treasury Circular 1082. Supplemental reporting procedures are used on a semiannual basis.

Deadlines: Unsolicited preapplications - none. Solicited proposals and applications - ETA will indicate applicable deadlines in the solicitation.

Range of Approval/Disapproval Time: From 30-90 days.

Appeals: None.

Renewals: Renewals are not automatic but are often made where warranted by effective performance.

APPROPRIATIONS

Fiscal Year 1979	$168,327,000 (estimated)
Fiscal Year 1980	$186,507,000 (estimated)
Fiscal Year 1981	$151,298,000 (estimated)

PROGRAM ACCOMPLISHMENTS

Some of the major accomplishments include the following: Targeted Outreach projects placed 14,000 minority and women trainees into skilled jobs in fiscal year 1979. The same number of placements is projected for fiscal year 1980; National OJT projects trained 22,000 persons in fiscal year 1979 with the same number projected for fiscal year 1980. CETA Older Workers projects provided community service jobs for 2,200 older persons in fiscal year 1979 and a slightly smaller number is projected for fiscal year 1980.

ENABLING LEGISLATION

Comprehensive Employment and Training Act of 1973, Title III, Sections 301, 304-306, 308, Part A, as amended by Public Law 95-524, 92 Stat. 1909, 29 U.S.C. 801 note.

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Legal Services Corporation

PROGRAM DESCRIPTION

The Legal Services Corporation is a private nonprofit organization formed by Congress in 1974. The corporation provides "financial support for legal assistance in non-criminal proceedings or matters to persons financially unable to afford legal assistance."

This program supports direct services.

USES AND USE RESTRICTIONS

Legal Assistance is provided only in noncriminal matters. Services are provided exclusively to the poor.

TYPES OF ASSISTANCE

Problems dealt with by local Legal Services programs fall into a number of categories: consumer, housing, administrative benefits, family, employment, and others.

Limited research fellowship grants are available to individuals for research projects which examine legal service issues affecting the low-income population.

The National Center on Women and Family Law serves as a new "backup center" of the Legal Services Corporation. The backup center does not distribute funds, but it provides support and technical assistance to attorneys, paralegals, clients, and client organizations. The National Center was established to explore new approaches to the problems of poor women and their families by developing and disseminating information, conducting impact litigation, and providing legislative and administrative advocacy. Its first priority is to address the issue of violence against women in domestic relationships.

FORMULA AND MATCHING GRANTS

Local offices receive funding based on the number of poor persons in the service area. These numbers are based on the 1970 census. The money allocated breaks down to approximately $7 per eligible person or resources equivalent to two attorneys per 10,000 eligible persons.

ELIGIBILITY REQUIREMENTS

APPLICANT ELIGIBILITY:
Limited research fellowship grants may be available to some domestic violence research projects. For specific information and guidance on this area, the Legal Services Corporation's Research Institute should be contacted.

BENEFICIARY ELIGIBILITY:
Individuals with income below 125 percent of the poverty level are eligible.

APPLICATION AND AWARD PROCESS

Individuals seeking legal advice and/or representation should apply through their local LSC funded legal services program. Application is made at the Federal level for the research fellowship grants.

APPROPRIATIONS

Fiscal year 1980's budget is $300 million. Ninety-five percent of this budget goes to local legal service programs while the remaining five percent is allocated to administrative costs. These administrative costs include research fellowships. The budget for fiscal year 1978 was $205 million, and for 1979 it was $270 million.

PROGRAM ACCOMPLISHMENTS

There are 335 local legal assistance programs established by the Corporation throughout the country. Many of these programs are instrumental in acquiring protective orders and temporary restraining orders for victims of domestic violence as well as obtaining divorces and child custody. The degree to which the individual programs deal with the area of domestic violence depends on the priorities set by the local program and the available civil remedies within the State. Local programs must receive community input, however, in establishing their priority. This restriction is monitored by the Legal Services Corporation. The Corporation can impact upon the local legal service programs it funds through training programs as well.

ENABLING LEGISLATION

Legal Services Corporation Act of 1974, as amended.

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Subject Index

Adoption 153

Agriculture 45

Alcoholism 75, 98, 111

Child abuse and neglect 107, 109, 128, 149, 153, 211

Children 1, 33, 39, 42, 51, 78, 81, 84, 88, 91, 95, 111, 114, 119, 159, 165, 168, 215

Community services 4, 7, 10, 13, 16, 21, 23, 137, 245

Counseling 10, 75, 149, 153, 156, 215

Courts 217, 229, 257

Data collection 109, 219, 233

Day care 149, 215

Domestic violence 162

Drug abuse 75, 101, 227

Education

- adult basic 21, 56
- consumer 62
- higher 54, 67, 70
- career 65, 70, 73, 245
- vocational 59, 62, 70, 84, 88
- continuing 56, 70, 134
- public 51, 114

Elderly 1, 4, 21, 91, 95, 131, 134, 137, 140, 143, 146, 165, 168, 190, 209

Employment 21, 54, 75, 149, 156, 245, 250, 253

Family planning 149, 165

Food and nutrition 21, 26, 30 33, 36, 39, 42, 62, 75, 111, 114, 134, 137, 168, 213

Foster care 211, 215

Handicapped 65, 78, 81, 84, 88, 117, 134, 165, 168, 190, 209, 211

Health information 75, 78, 110, 111

Health professions 104

Health services 36, 75, 78, 81, 84, 88, 110, 114, 134, 149, 165

Housing 21, 131, 149, 153, 168, 171, 174, 178, 181, 184, 187, 190, 193, 209, 213

Indians 36, 75, 111, 122, 134, 209, 211, 213, 215

Infants 81

Law enforcement 19, 222, 224, 229, 233, 235

Legal assistance 149, 215, 222, 235, 257

Mental health 91, 95, 104, 107

Migrants 51, 111

Military 49

Minorities 95, 134, 209

Nutrition

see food

Parents self-help 128

Rape 91

Recreation 215

Referral 75, 111, 257

Rural areas 23, 45, 81, 134

Shelters 149, 174, 181, 184, 187, 193, 219, 235

Social services 1, 10, 95, 111, 114, 134, 149, 156, 162, 219

Training

vocational 21, 84, 88, 104, 149, 156, 245, 250, 253

Volunteers 1, 4, 7, 10, 13, 16, 149